

**10A NCAC 70A .0102            CONFIDENTIALITY: CENTRAL REGISTRY:  
RESPONSIBLE INDIVIDUALS LIST: ABUSE AND NEGLECT  
CASES**

(c) Information submitted by county departments of social services to the Responsible Individuals List of abuse and serious neglect cases is confidential except as otherwise required by law. The Responsible Individuals List shall identify parents, guardians, caretakers or custodians who have been identified as responsible individuals in substantiated cases of abuse or serious neglect. Information from this list shall be used exclusively for the purpose of determining current or prospective employability or fitness to care for or adopt children.

(d) Requests for information from the Responsible Individuals List shall be in writing and shall include a last name, first name, middle initial, date of birth, gender and social security number of the individual to be checked.

(e) Authorized persons, as defined in 10A NCAC 70A .0104(b)(1), must inform responsible individuals if the reason they are being denied is due to information obtained from the Responsible Individuals List.

*History Note: Authority G.S. 7B-311; 143B-153;*

*Eff. February 1, 1976;*

*Readopted October 31, 1977;*

*Amended Eff. June 1, 1990; January 1, 1983;*

*Temporary Amendment Eff. July 10, 1991, For a Period of 180 Days to Expire on January 5, 1992;*

*Amended Eff. May 1, 2006; July 1, 1993; December 1, 1991.*

**10A NCAC 70E .1115            RESPONSIBLE INDIVIDUALS LIST**

(a) An applicant is not eligible for licensure if the applicant has within the last five years been substantiated for abuse or serious neglect and is placed on the Responsible Individuals List as defined in North Carolina General Statute 7B-311.

(b) After five years, an applicant who is on the Responsible Individuals List may be considered for licensure based on the following factors:

- (1) nature of the substantiation;
- (2) length of time since the substantiation;
- (3) circumstances surrounding the substantiation;
- (4) evidence of rehabilitation;
- (5) history of convictions and violations; and
- (6) letter of support for licensure from the executive director of the agency.

(c) The supervising agency shall provide documentation to the licensing authority of the results of Child Abuse and Neglect Central Registry Checks of states where the applicant has resided the past five years.

*History Note: Authority G.S. 131D-10.1; 131D-10.3; 131D-10.5; 143B-153;*

*Eff. September 1, 2007;*

*Amended Eff. August 1, 2011.*