Guardianship Training for Clerks: Costs, Fees, Appeals

Judicial College
School of Government, UNC-Chapel Hill
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Costs and Fees

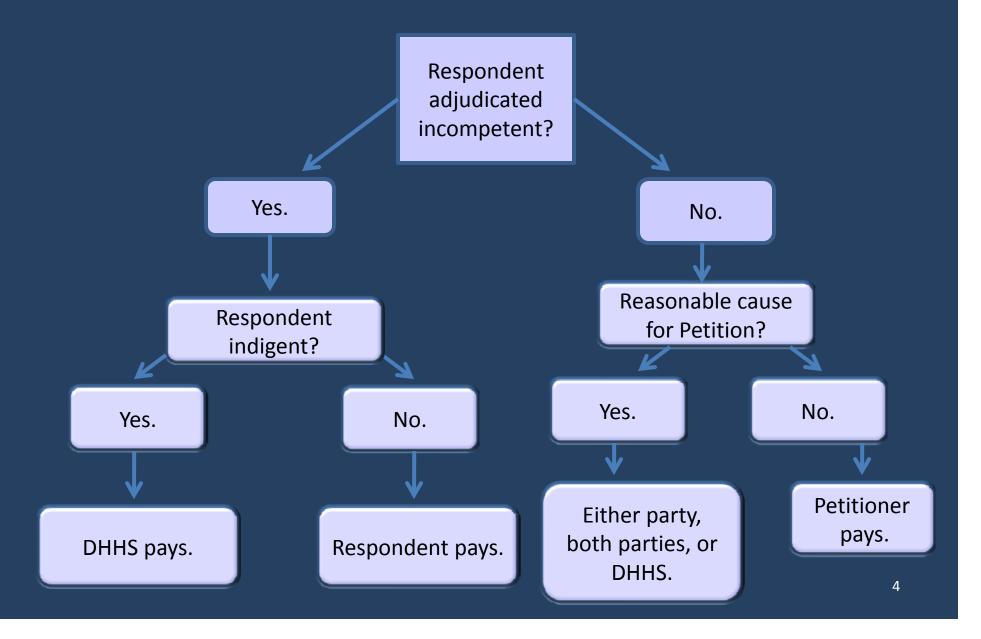
- Governing Statute: § 35A-1116.
- Costs and fees assessed:
 - Cost of MDE
 - Fees of counsel, G.A.L. fees, witness fees
 - Other costs in special proceedings. (7A-306)
 - \$10.00 for use of courtroom
 - \$40.00 General fee
 - Service of Process fees



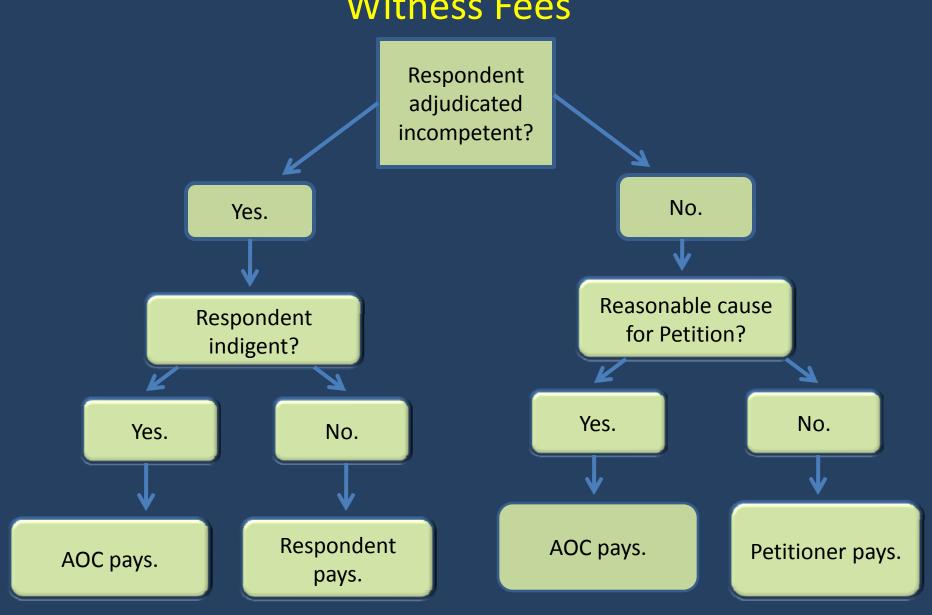
Costs and Fees

- § 35A-1116 conditions the apportionment of costs and fees on whether
 - Respondent is adjudicated incompetent;
 - Respondent is indigent; and
 - Petitioner had reasonable basis for bringing Petition.
- Clerk will need to make determination of Respondent's indigence and, if case dismissed, Petitioner's good cause to bring Petition.

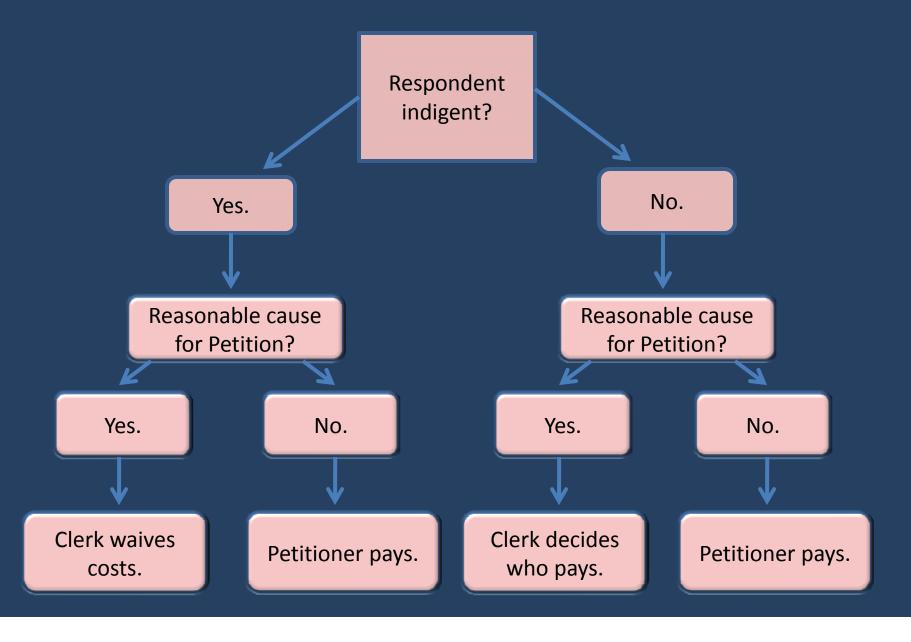
Cost of MDE



Appointed Attorney Fees, G.A.L. Fees, Witness Fees



All Other Costs



Costs and Fees

Form for Apportionment of Special Proceeding Costs and Fees: AOC-A-84.



- Appeal of Clerk's orders in incompetency and guardianship proceedings is to Superior Court
- Governing Statutes: 35A-1115 and 1-301.
- Appellant's notice of appeal must be filed within 10 days of entry of Order.



- Appeal of incompetency determination is "de novo"
 - Superior Court holds new hearing similar to clerk's and enters order of incompetency or dismissal



- Appeal of guardianship determination is "on the record"
 - –Superior Court reviews record before the clerk to determine:
 - Whether clerk's findings of fact are supported by the evidence in the record;
 - Whether the clerk's conclusions of law are supported by the findings of fact; and
 - Whether the clerk's order is consistent with conclusions of law and applicable legal standards.



- If Superior Court finds error in guardianship determination, must remand to clerk for further proceedings.
- Superior Court does not decide on appointment of guardian. That issue remains the exclusive jurisdiction of the clerk.



Preserving the Record:

- Where a hearing is bifurcated, clerk should record the guardianship phase for "on the record" appeal.
- Where a hearing is not bifurcated, clerk should record entire hearing to preserve all portions relevant to guardianship determination.

Clerk of Court

- Adjudicates Incompetency
- Appoints Guardian

Superior Court

- Reviews Incompetency Order "De Novo"
- Reviews Guardian Appointment "On the Record" and remands to clerk as necessary

Court of Appeals

- Reviews Superior Court's Orders per Chapter
 7A and Rules of Appellate Procedure
- Appeal to Supreme Court usually discretionary