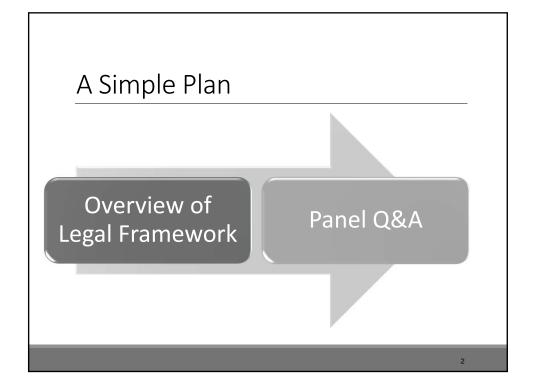
Accessing Records in Financial Exploitation Cases

AIMEE WALL, UNC SCHOOL OF GOVERNMENT
RAJEEV PREMAKUMAR, NC DEPARTMENT OF JUSTICE
MATTHEW CORDELL, WARD AND SMITH



DRAFT 2/10/2015

Legal Framework

Article 14: Criminal Law

- Knowingly, by deception or intimidation, to obtain or use older or disabled adult's funds, assets, or property with the intent to deprive the adult or benefit someone else
- Allows district attorney to freeze assets in some circumstances
- ∘ G.S. 14-112.2; 112.3



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Legal Framework



Article 6: Freeze and Inspect Order

- Reasonable cause to believe
- Disabled adult lacks the capacity to consent, is in need of protective services and is being financially exploited by his caretaker; and
- No other person is able or willing to arrange for protective services.
- Notice to caretaker and financial institution
- Expires after 10 days, unless extended by court
- ∘ G.S. 108A-106(f)

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Legal Framework

NEW Article 6A: Subpoena

- o District court shall issue subpoena if
 - Investigating a credible report of financial exploitation
 - The financial records are needed in order to substantiate or evaluate the report
 - Time is of the essence in order to prevent further exploitation
- Allows the financial institution to challenge the subpoena
- Notice to customer required, but may be delayed

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Discussion

- How does DSS become involved?
- How did DSS access financial records before?
- ❖ Why would DSS use the subpoena?
- What happens after the court issues a subpoena?

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Resources

Online AOC training

http://www.nccourts.org/Training/ Default.asp

US DOJ Elder Justice

http://www.justice.gov/elderjustice/

Questions?

Aimee Wall

- ∘ wall@sog.unc.edu
- · 919.843.4957

Raj Premakumar

- rpremakumar@ncdoj.gov
- · 919.716.6400

Nancy Warren

- Nancy.warren@dhhs.nc.gov
- · 919.855.3643

Matthew Cordell

- mac@wardandsmith.com
- · 919.277.9196

Amy Funderburk

- Amy.L.Funderburk@ nccourts.gov
- · 919.890.1302

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