

## SOG contempt resources (selected)

- North Carolina Trial Judges' Bench Book, DCJ Volume 2, Chapter 4, Contempt (most comprehensive)
- Contempt (overview), AOJ Bulletin, M. Crowell 2015: (http://sogpubs.unc.edu/electronicversions/pdfs/aojb1503.pdf)
- Contempt of Court Online training module (https://www.sog.unc.edu/courses/online-modules/contempt-court)
- Numerous blog posts at "On the Civil Side": (civil.sog.unc.edu/)
- Numerous blog posts at Criminal Law Blog (nccriminallaw.sog.unc.edu)

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## Contempt authority is statutory

NC Gen. Stat. Chapter 5A
Criminal Contempt
Civil Contempt
Contempt by Juveniles

CRIMIN	IAL	CIVIL	
To <i>punish</i> fo	r an act	To <i>coerce</i> compliance	
already com		with court order	
G.S. 5A-11 to	5A-17	G.S. 5A-21 to 5A-26	
UNC LEGISLE OF CONTRACTION			
4			
		d in civil <i>and</i> criminal	
contempt for same act.			
		-G.S. 5A-12(d), -21(c), -23(g)	
UNC LEIGHT OF GOVERNMENT			
5			
<u>Kolczak v. Jo</u>	<i>hnson,</i> 26	0 NC App 208 (2018)	
Trial court found civil contempt for violations of custody order:			
<ul> <li>failing to inform father of certain events as required by the custody order,</li> <li>failing to give father the right of first refusal when she needed childcare for the child as specified in the custody order,</li> </ul>			
<ul> <li>allowing her husband to be present when the children were at her home when order provided that children were to have no contact with the husband, and</li> <li>scheduling the children for camps during times that interfered with father's custodial time</li> </ul>			
screduling the children.  with the children.	.a. an ior camps during	sames and interfered with lattice 3 custodial time	
Court of Appeals stated:     It is not "appropriate that appropriate shall ourse condition could course the defendant to			
comply with the co	ourt order as opposed	vil purge condition could coerce the defendant to to punishing her for a past violation."	

Reynolds v. Reynolds, 356 NC 287 (2002), adopting dissent in 147 NC App 566 (2001) Criminal or Civil ???: "Defendant is guilty of ... contempt and ordered an active sentence of thirty days in [jail] suspended on the following conditions: Defendant's posting of a cash bond or security of at least \$75,000.00 to secure and assure the timely payment of future cash child support; Defendant immediately paying Plaintiff's attorney the sum of \$212.52, "representing interest on the four delinquent child support payments"; Defendant timely paying each cash child support amount due; and Defendant immediately paying \$10,000.00 in attorney's fees."  $\,$ UNC

### **CRIMINAL**

To *punish* for an act already committed

G.S. 5A-11 to 5A-17

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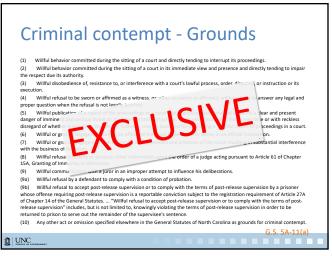
## (1) Willful behavior committed during the sitting of a court and directly tending to interrupt its proceedings. (2) Willful behavior committed during the sitting of a court in its immediate view and presence and directly tending to impair the respect due its authority. (3) Willful disobedience of, resistance to, or interference with a court's lawful process, order, directive, or instruction or its execution. (4) Willful refusal to be sworn or affirmed as a witness, or, when so sworn or affirmed, willful refusal to answer any legal and proper question when the refusal is not legally justified. (5) Willful publication of a report of the proceedings in a court that is grossly inaccurate and presents a clear and present danger of imminent and serious threat to the administration of justice, made with knowledge that it was false or with reckled integrand of whether it was false. On person, however, may be punished for publishing a truthir lepror tof proceedings in a court. (6) Willful or grossly negligent failure by an officer of the court to perform his duties in an official transaction. (7) Willful or grossly negligent failure to comply with schedules and practices of the court resulting in substantial interference with the business of the court. (9) Willful communication with a juror in an improper attempt to influence his deliberations. (y) willful refusal by a defendant to comply with a condition of probation. (9a) Willful refusal by a defendant to comply with a condition of probation. (9b) Willful refusal to accept post-release supervision or to comply with the terms of post-release supervision by a prisoner whose offense requiring post-release supervision are perputable conviction subject to the registration requirement of Article 27A of Chapter 14 of the General Statutes. ... "Willful refusal to accept post-release supervision or to comply with the terms of post-release supervision" includes, but is not limited to, knowingly violating the terms of post-release supervision" includes, but not limited to, knowingly violating the terms of post-release supervision in order to be returned to prison to serve out the remainder of the supervisee's sentence.

(10) Any other act or omission specified elsewhere in the General Statutes of North Carolina as grounds for criminal contempt

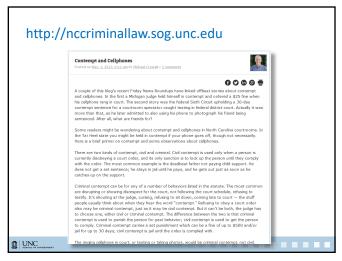
Criminal contempt - Grounds

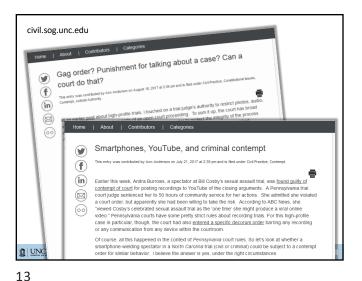
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G.S. 5A-11(a)



# Criminal contempt - Grounds (1) Willful behavior committed during the sitting of a court and directly tending to interrupt its proceedings. Examples: • Attorney repeatedly demanding to be heard (after warnings to stop) and inciting his purported client to disrupt proceedings. In re Nakell (1991). • Mobile phone ringing? See State v. Phair (2008) (9) Willful crimally a defendant to comply with a condition of probation. (9a) Willful refusal to accept post-release supervision or to comply with the terms of post-release supervision by a prisoner whose offense required post-release supervision or to comply with the terms of post-release supervision to provide conviction subject to the registration requirement of Article 27A of Chapter 14 of the General Statutes. ... "Willful refusal to accept post-release supervision or to comply with the terms of post-release supervision in order to be returned to prison to serve out the remainder of the supervises servence. (10) Any other act or omission specified elsewhere in the General Statutes of North Carolina as grounds for criminal contempt.





**Criminal contempt - Grounds** 

(2) Willful behavior committed during the sitting of a court in its immediate view and presence and directly tending to impair the respect due its authority.

### Examples:

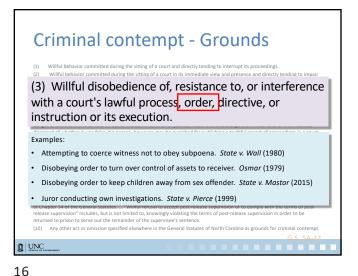
- Yelling at/insulting the judge.
- Coming to court drunk. State v. Ford (2004)
- Racial slur directed at court officer. State v. Johnson (2015) (unpub'd)
- Refusal to rise/stand when directed. State v. Randall (2002)

(9b) Writin returns to accept post-release supervision or to comply with the terms of post-release supervision by a prisoner-whose offense requiring post-release supervision is a protrable conviction subject to the registration requirement of Article 27A of Chapter 14 of the General Statutes. ... "Willful refusal to accept post-release supervision or to comply with the terms of post-release supervision "includes, but is not limited to, knowingly violating the terms of post-release supervision in order to be returned to prison to serve out the remainder of the supervises's sentence.

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(10) Any other act or omission specified elsewhere in the General Statutes of North Carolina as grounds for criminal contempt.

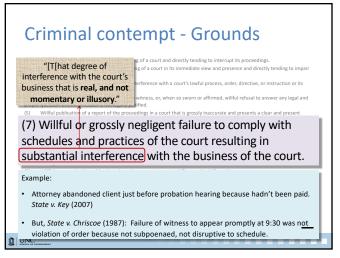
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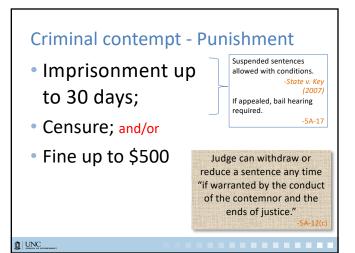


# Criminal contempt - Grounds 1) Willful behavior committed during the sitting of a court and directly tending to interrupt its proceedings. 2) Willful behavior committed during the sitting of a court in its immediate view and presence and directly tending to impair the respect due its authority. 3) Willful disobedience of, resistance to, or interference with a court's lawful process, order, directive, or instruction or its execution. 4) Willful refusal to be sworn or affirmed as a witness, or, when so sworn or affirmed, willful refusal to answer any legal and proper question when the refusal is not legally justified. 4) Willful or grosssly negligent failure by an officer of the court to perform his duties in an official transaction. With the business of the court. Examples: • Attorney twice attempting to introduce polygraph results in criminal trial. In re Cogdell (2007) • Attorney repeatedly failing to comply with Rape Shield Statute when questioning witness. State v. Okwara (2012) 10) Any other act or omission specimed essewhere in the General Statutes of North Carolina as grounds for criminal contempt.

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# Criminal contempt - Grounds (1) Willful behavior committed during the sitting of a court and directly tending to interrupt its proceedings. (2) Willful behavior committed during the sitting of a court in its immediate view and presence and directly tending to impair the respect due its authority. (3) Willful disobedience of resistance to, or interference with a court's lawful process, order, directive, or instruction or its execution. (4) Willful refusal to be sworn or affirmed as a witness, or, when so sworn or affirmed, willful refusal to answer any legal and proper question when the refusal is not legally justified. (5) Willful publication of a report of the proceedings in a court that is grossly inaccurate and presents a clear and present (7) Willful or grossly negligent failure to comply with schedules and practices of the court resulting in substantial interference with the business of the court. Example: • Attorney abandoned client just before probation hearing because hadn't been paid. State v. Key (2007) • But, State v. Chriscoe (1987): Failure of witness to appear promptly at 9:30 was not violation of order because not subpoenaed, not disruptive to schedule.





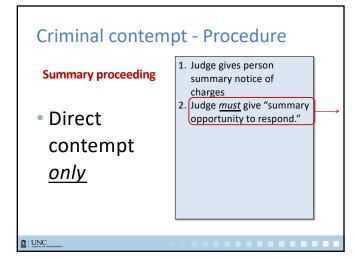


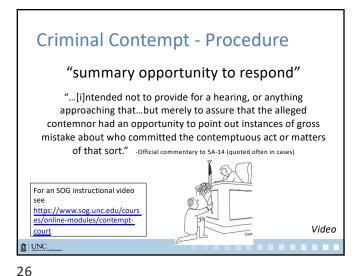
## Criminal contempt - Procedure Summary proceeding Plenary proceeding All indirect contempt contempt Direct contempt (at court's option)

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### Criminal contempt - Procedure "Direct criminal contempt" is act of **Summary proceeding** contempt committed: Within sight or hearing of presiding judicial official; and In, or in immediate proximity to, Direct room where proceedings are being held: a Likely to interrupt or interfere with matters before the court. contempt only Summary proceeding appropriate "when necessary to restore order or maintain dignity and authority of the court." UNC.

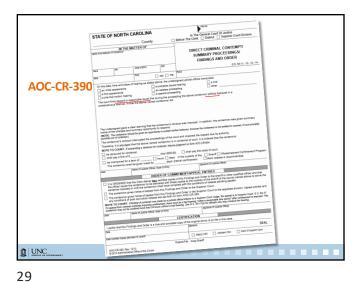




"Summary opportunity to respond" In re Korfmann, 786 S.E.2d 768 (N.C. App. 2016). Trial judge: Court of Appeals: This Court takes the strong position that technology is not to be utilized by jurors and, in fact, this jury has been warned several times not to use. **Contempt order** VACATED: In my opinion the utilization by the juror is blatantly disrespecting the Court's order not to use. "The trial court did not Sir, I think that what I am going to do with you is I am going to send you to Wilson County Jail for 30 days for failing to follow the order given to you by this Court. give appellant the necessary 'summary The ladies and gentlemen of this jury are now excused. notice of the charges and You can get a certificate as to where you have been for a summary opportunity the last several days. You are excused. to respond[.]" This gentleman is in your custody. UNC.

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### Criminal contempt - Procedure 1. Judge gives person **Summary proceeding** summary notice of charges 2. Judge <u>must</u> give "summary Direct opportunity to respond." 3. Judge finds facts contempt supporting summary imposition of measures. only Must find willfulness. (Failure is fatal.) Must state facts found "beyond reasonable doubt." (Failure is fatal.) UNC.



Criminal contempt - Procedure

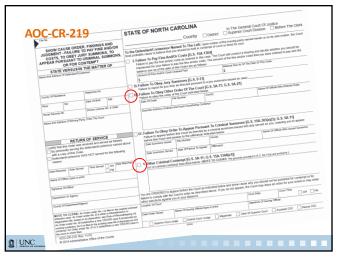
**Plenary proceeding** 

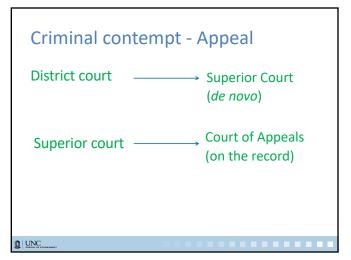
- All <u>in</u>direct contempt
- Direct contempt (at court's option)

UNC.

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### Criminal contempt - Procedure Show cause order Must state facts upon which **Plenary proceeding** order is based. o Form: AOC-CR-219 Hearing (non-jury trial) Burden of proof on State All indirect o Beyond a reasonable doubt o Indigent entitled to counsel contempt $\circ \ \ \text{Self-incrimination protection}$ applies. Direct contempt Order o Guilty or not guilty (at court's option) o Findings of fact required. o Must find willfulness (or prior warning). o Must state "beyond reasonable" doubt." Failure is fatal. UNC





CRIMINAL

CIVIL

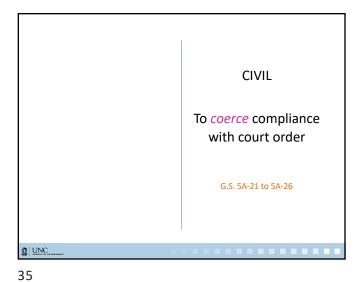
To punish for an act already committed

G.S. 5A-11 to 5A-17

CIVIL

To coerce compliance with court order

G.S. 5A-21 to 5A-26



## Civil contempt

 Only purpose is to coerce compliance with a court order after court concludes party has the present ability to comply with the order

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## Civil contempt – The Remedy Imprisonment -Until complies with purge condition(s) NO DAMAGES (e.g., Blevins v. • For child support – indefinite NO FINES (5A-21(d)) Attorney fee-shifting • For non-monetary orders – indefinite allowed • For other monetary orders – indefinite But re-commitment required Very limited at 90 days with de novo hearing. general civil? One year maximum. Certain domestic judgments UNC

## Civil contempt – The basis Failure to comply with an order of a court $i\underline{f}$ (1) The order remains in force; (2) The purpose of the order is served by compliance; (3) Noncompliance by the person to whom the order is directed is willful; and (4) The person to whom the order is directed is

able to comply or is able to take reasonable

measures to comply.

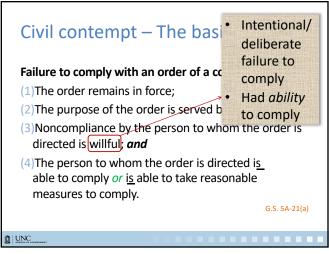
G.S. 5A-21(a)

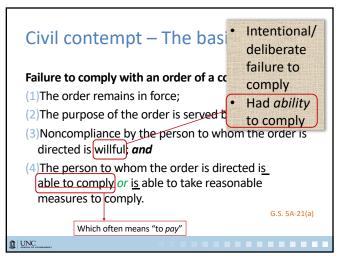
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## Civil contempt – The basis

1	ith an order of a court if	
(1)The order remain		
(2)The purpose of th	ne order is served by Spears v. Spears, COA 2016)	
(3)Noncompliance b	y the person to whom the order is	
directed is willful;	•	
(4)The person to wh	om the order is directed is	
	is able to take reasonable	
measures to comp		
	G.S. 5A-21(a)	
UNC		-
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	General rule:	
	If court approves and adopts	
	agreement of parties, contempt is not available	
	See Crane v. Green, 114 NC App	
	105 (1995)	
Consent	<ul> <li>If court makes findings of fact and conclusions of law,</li> </ul>	
	contempt is available ´	
Orders	<ul> <li>See Nohejl v. First Homes of Craven County, Inc., 120 N.C. App.</li> </ul>	
	188 (1995)	
	Domestic Relations Cases	-
	All consent orders are enforceable	
	by contempt	
	Henderson v. Henderson, 307 NC 401     (1983)	
	• Walters v. Walters, 307 NC 381 (1983)	
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### Civil contempt – "Ability" to pay **Inadequate findings** More specificity needed · Able to work ("able-Liquid assets bodied") Or, [for purge] assets that can "not incompetent" be liquidated ("reasonable measures") " $\underline{x}$ amount of education and Available/disposable income experience" "able to work in x industry" Other available • "is employed" (or "has been funds/resources employed since...") Other reasonable steps - Clark, 171 N.C. App. 120 (2005); Hodges, 64 N.C. App. 550 (1983) UNC

### Civil contempt – Court's order Court must include: What are the <u>facts</u> constituting the • Findings as to elements in G.S. 5A-21(a). non-compliance? What Non-compliance with order that acts/omissions? (1) Remains in force; How was it (2) The purpose of the order is served by compliance; willful? Intent (3)Noncompliance by the person to whom the order Ability is directed is willful; and (4)The person to whom the order is directed is able to comply or is able to take reasonable measures to comply. If contempt found, how the contemnor may purge.

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### Civil contempt – Court's order **Court must include:** What are the <u>facts</u> constituting the non-compliance? • What • Findings as to elements in G.S. 5A-21(a). Non-compliance with order that acts/omissions? (1) Remains in force; How was it (2) The purpose of the order is served by compliance; willful? (3)Noncompliance by the person to whom the order Intent Ability is directed is willful; and (4)The person to whom the order is directed is able to comply *or* is able to take reasonable measures to comply. If contempt found, how the contemnor may purge.

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## Civil contempt – purge conditions Defendant must "hold the keys to the jail"

## Civil contempt - purge conditions

- Present ability to do (not future, open-ended, or "indefinite" (Wellons, 229 N.C. App. 164 (2013))
  - Yes: D must "pay \$1000"; or D must "turn over the car to plaintiff"
  - No:
    - "D must pay child support obligations as they come due"

    - "D must pay \$500 per month"
       "D may not remove the child from North Carolina in the future without court permission." Ning Gao (2013)
- Clear conditions.
  - Yes: "Pay \$x." "Deliver car to Plaintiff's home." "Execute x document." "Pay child's outstanding tuition." "Pay existing credit card balance." (Watson 2007)

- "D shall not harass or interfere with Plaintiff's custody of the children." Scott v. Scott, 157 N.C. App. 382 (2003)
- "D shall not at any time...punish the minor children in any manner that is stressful, abusive, or detrimental."

  Cox v. Cox, 133 N.C. App. 221 (1999)

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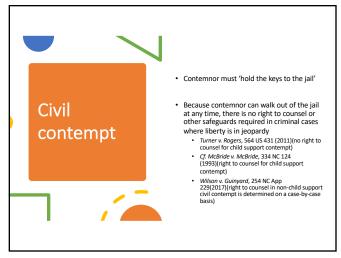
## GS 5A-22(a)

- A person imprisoned for civil contempt must be released when his civil contempt no longer continues.
- The order of the court holding a person in civil contempt must specify how the person may purge himself of the contempt.

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Watson v. Watson 187 NC App 55 (2007)

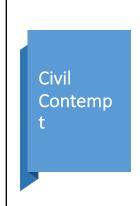
- "A civil contempt proceeding does not command the procedural and evidentiary safeguards that are required by criminal contempt proceedings."
- Why??



## Civil Contempt Procedure - Civil initiated either by: - Motion filed by a party. - GS 5A-23(a1) - Show cause order from court. - GS 5A-23 - No statutory authority to issue order for arrest for failing to show up - If initiated by motion, moving party has burden of going forward at hearing - If initiated by show cause order, respondent obligor has burden of going forward at hearing.

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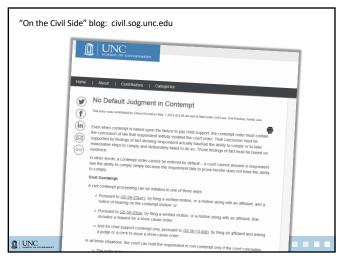
## Civil Contempt Procedure Show cause cannot issue unless judicial official determines, based on verified motion and sworn statement, there is probable cause to believe obligor is in civil contempt. \*\*Requires information sufficient to warrant a prudent man in believing obligor is in civil contempt. The finding of probable cause justifies the shifting of the burden of presenting evidence in the contempt trial \*\*Requires information sufficient to warrant a prudent man in believing obligor is in civil contempt.



- Order of civil contempt must contain findings of fact supported by evidence in the record establishing defendant has the present ability to comply with the number of great
- See Durham DSS ex rel Alston v. Hodges, COA January 2, 2018
- There is no contempt by default

  http://civil.sog.unc.edu/no-default-judgment-in-contempt/
  https://civil.sog.unc.edu/contempt-examinisming-adjuny-ro-pay/

  - Tigani v. Tigani, 805 SE2d 546 (NC App 2017)



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## Civil contempt - Appeal

To Court of Appeals

- · Within 30 days
- Immediately appealable due to "substantial right"
- · "On the record" review

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