

State of North Carolina
LOCAL GOVERNMENT COMMISSION
 3200 Atlantic Avenue, Raleigh, North Carolina 27604
REPORT OF DEPOSITS AND INVESTMENTS
 (As required by G.S. 159-33 and G.S. 115C-446)

CASH DEPOSITS & INVESTMENTS
 AS OF
 JUNE 30 2016

Name of Unit: _____

Legal Name of Unit: _____

LGC Use Only

Unit Code

Unit Type

Rec'd Date

CERTIFICATION

This is to certify that the data contained in this report is accurate to the best of my knowledge and belief.

Name of Official (Type or print your name)

Unit Mailing Address - Street

Telephone Number, including area code

Number

Extension

Title (i.e. Finance Officer, Treasurer, etc)

Unit Mailing Address - City, State & Zip Code

Email Address

Signature of Official (only if mailing or faxing)

I. Cash on hand (see Instruction 2)Amount

Petty cash funds and change funds

Undeposited receipts

Total Cash on Hand

\$

Manual Preparers: ENTER TOTAL CASH ON PAGE 2 SECTION VI LINE 1

II. Pooling Method Financial Institutions

Manual Preparers: LIST ONLY BANKS INCLUDED ON POOLING BANK MEMO

Select Bank from Drop Down menu

Do not select the same bank name more than one time

Demand
DepositsTime
DepositsInterest Bearing
Checking Accounts

Enter total amount for each Account type at the bank going across

Regular Savings

C.D.s

(1) _____

(2) _____

(3) _____

(4) _____

(5) _____

FINISTAR (total pooling amount from statement)
attach statement or leave blank if NA

--- Total Pooling Banks - Finistar

Supplemental Pages - TOTALS (Pooling Method)

\$

\$

\$

\$

Grand Total Pooling Deposits

\$

Grand Totals for All Pooling Banks

\$

\$

\$

\$

Manual Preparers: ENTER GRAND TOTAL ON PAGE 2 SECTION VI LINE 2

III. Dedicated Method Financial Institution (see Instruction 3 & 5):

Note: All Housing Authorities must use the Dedicated Method rules.

Manual Preparers: fill out Section VII on Pg.2 for each Dedicated Bank

List the Bank Name and locationA
Demand
DepositsB
Time
DepositsC
Interest Bearing
Checking Accounts

Do not enter the same bank name more than once

Enter total amount for each Account type at the bank going across

Regular Savings

C.D.s

(1) _____

(2) _____

FINISTAR (total dedicated amount from statement)
attach statement or leave blank if NA

--- Total Dedicated Banks Finistar

CDARS TOTAL - attach statement or leave blank if NA

ICS - attach statement or leave blank if NA

Supplemental Pages - TOTALS (Dedicated Method)

\$

\$

\$

\$

Grand Total - Dedicated

\$

Grand Totals for All Dedicated Banks

\$

\$

\$

\$

Manual Preparers: ENTER GRAND TOTAL ON PAGE 2 SECTION VI LINE 3

IV. Investments

North Carolina Capital Management Trust Cash Portfolio

North Carolina Capital Management Trust Term Portfolio

Short Term Investment Fund (STIF)

OPEB Irrevocable Trust - Funds with State Treasurer

OPEB Irrevocable Trust - Other (Please provide a copy of portfolio listing)

Hospital Funds Invested with State Treasurer

Amount

Total Sect. IV Investments

\$

Manual Preparers: ENTER GRAND TOTAL ON PAGE 2 SECTION VI LINE 5

Go to Pg. 2 Section V to List All Additional Investments

Government Agencies, Government Securities, Commercial Paper, Bankers Acceptance and Other Investments

REPORT OF DEPOSITS AND INVESTMENTS

V. **Investments (see Instruction 7):**

Send your own supplemental report that includes details and market value totals for each type below.*(send with LGC-203)

INVESTMENT TOTALSagrees to Supplementary Pages or supplemental
report provided by Unit

Name of Custodian

	or Safekeeper	Type of Security	Purchase Amount Total	* Market Value Total
Government Securities				\$
Government Agencies				\$
Commercial Paper				\$
Bankers' Acceptance				\$
Other Investments				\$
Repurchase Agreements (see Instruction 8):				\$
Grand Total of Sect. V Investments (See Instruction 9)				\$

VI. **Summary** (see Instruction 11): Please recheck totals.

- (1) Cash on hand (ENTER TOTAL FROM PAGE 1 SECTION I)
- (2) Total cash on deposit for Pooling Method (from SECTION II)
- (3) Total cash on deposit for Dedicated Method (from SECTION III)
- (4) Total cash (Lines 1, 2 & 3 totaled)
- (5) Investments - Pg. 1 (totals Section IV)
- (6) Investments - Pg. 2 (totals Section V)
- (7) Total cash and investments (total lines 4, 5 & 6 combined)
- (8) Less: Unexpended bond and/or note proceeds
- (9) Net cash and investments (line 7 minus 8)

\$
\$
\$
\$
\$
\$
\$
\$
\$

VII. **Deposits in Dedicated Method Financial Institutions:** (See Instruction 12)

Name of financial institution (1)	(a) Deposit Amount	(b) FDIC Insured Amount	(a)-(b) Uninsured Amount
Total Demand deposits: Sec. III. - <u>A</u>	\$	\$ 250,000	\$
Time deposits: regular savings & CD's combined: Sec. III. <u>B</u>	\$		
NOW, SUPERNOW, and Money Market Interest Bearing checking accounts: Sec. III. <u>C</u>			
Total Accounts (not including demand deposits)	\$	\$ 250,000	\$
		Total Uninsured	\$
Name of Escrow Agent (or Federal Reserve Bank location, if applicable).			
Is there a signed escrow agreement in place (nonapplicable for Federal Reserve Bank)?	No	Yes	
Does the unit receive timely confirmations of pledged collateral from the escrow agent?	No	Yes	

REQUIRED for banks listed on page 1 in SECTION III

Securities Pledged as Collateral with Third Party Escrow Agent	
MARKET VALUE of pledged securities at June 30 or December 31	
U.S. Treas. & agencies	
State of N.C. /county/municipal	
Other	
Market Value of Total Pledged *	\$
Market Value of total Pledged must meet or exceed Total uninsured	
* Attach confirmation from depository	