

2018 Child Support Enforcement: Representing Respondents

March 1, 2018 / Chapel Hill, NC Sponsored by the The University of North Carolina School of Government and Office of Indigent Defense Services

ELECTRONIC COURSE MATERIALS



2018 CHILD SUPPORT ENFORCEMENT: REPRESENTING RESPONDENTS

Thursday, March 1, 2018 UNC School of Government, Chapel Hill, NC

Cosponsored by the UNC-Chapel Hill School of Government & Office of Indigent Defense Services

AGENDA

| 8:00—8:45am | Check-in |
|-------------|---|
| 8:45—9:00 | Welcome and Announcements Austine Long, Program Attorney, UNC School of Government |
| 9:00—10:00 | Issues in Civil Contempt [60 min] Cheryl Howell, Professor, UNC School of Government |
| 10:00—10:45 | Dispositions in Criminal Contempt [45 min] James Markham, Associate Professor, UNC School of Government |
| 10:45—11:00 | Break |
| 11:00—12:00 | Changes in Child Support Federal Rules and Regulations [60 min] Ben Kull, Attorney, Polanco Law, Raleigh, NC |
| 12:00-12:45 | Lunch (provided in the building) |
| 12:45—2:00 | Community Resources [75 min] Gina Reyman, Managing Attorney, Legal Aid of North Carolina, Durham, NC Tara Myers, Division Director of Vocational Rehabilitation, NCDHHS, Raleigh, NC Michelle K. Muir, Regional Operations Director, NC Dept. of Commerce, Cary, NC |
| 2:00—2:15 | Break |
| 2:15—3:15 | Advocacy in Child Support Contempt Cases [60 min] Wendy Sotolongo, Parent Representation Coordinator, Office of Parent Representation, Office of Indigent Defense Services, Durham, NC Andrew Jones, Assistant Public Defender, Carteret County, NC Ashley Lorance, Attorney, Gaston County, NC Manisha Patel, Attorney, Guilford County, NC |
| 3:15—4:15 | Ethical Considerations in Representing a Client With Diminished Capacity in a Contempt Matter [60 min] [ETHICS] Joyce Terres, Assistant Appellate Defender, Office of Parent Representation, Office of Indigent Services, Durham, NC |

Civil Contempt and Child Support Enforcement

Cheryl Howell School of Government January 2018

SOG Resources

- Family Law Bench Book, Child Support Chapter (enforcement section)
 - <u>http://defendermanuals.sog.unc.edu/content/other</u> <u>-manuals</u>
 - <u>http://www.ncids.org/ChildSupport/ReferenceMate</u> rials/RefMaterialsLinks.htm
- Bench Book Volume 2, Contempt Chapter

 <u>https://www.sog.unc.edu/sites/www.sog.unc.edu/f</u> iles/Bench%20Book%20-%20Vol%202%20-%2004%20Contempt.pdf

SOG Resources

Contempt (overview), AOJ Bulletin, M. Crowell 2015:

(http://sogpubs.unc.edu/electronicversions/pdfs/aojb1 503.pdf)

- Contempt of Court Online training module (https://www.sog.unc.edu/courses/onlinemodules/contempt-court)
- Numerous blog posts at "On the Civil Side": <u>(civil.sog.unc.edu/)</u>
- Numerous blog posts at Criminal Law Blog (nccriminallaw.sog.unc.edu)

Civil Contempt • Read GS Chapter 5A • 5A-21 through 5A-24 governs civil • Purpose of civil contempt is to force compliance with existing order • Only remedy for civil contempt is

- imprisonment until compliance with purge
- Civil contempt only appropriate when respondent has the present ability to comply

New Federal/State Regulations

- Read about federal and state direction to child support enforcement agencies regarding the use of contempt to enforce child support
 - <u>https://civil.sog.unc.edu/new-regulations-</u> regarding-contempt-in-iv-d-child-support-cases/

Procedure

- Civil initiated either by:
 Motion filed by a party.
 - GS 5A-23(a1)
 - Show cause order from court.
 - GS 5A-23
- If initiated by motion, moving party has burden of going forward at hearing
- If initiated by show cause order, respondent obligor has burden of going forward at hearing.

Procedure

- Show cause cannot issue unless judicial official determines, based on verified motion and sworn statement, there is probable cause to believe obligor is in civil contempt.
 - Probable cause requires trustworthy information sufficient to warrant a prudent man in believing obligor is in civil contempt.
 - Young v. Mastrom, Inc., 149 NC App 483 (2002)

GS 5A-23

- (c). The person ordered to show cause may move to dismiss the order
- (e). At the conclusion of the hearing, the judicial official must enter a finding for or against the contemnor on each of the elements set out in GS 5A-21(a)

Civil Contempt

- Order of civil contempt must contain findings of fact supported by evidence in the record establishing defendant has the present ability to comply with the purge ordered
 - See Durham DSS ex rel Alston v. Hodges, COA January 2, 2018
- There is no contempt by default
 - <u>http://civil.sog.unc.edu/no-default-judgment-in-</u> <u>contempt/</u>
 - <u>https://civil.sog.unc.edu/contempt-establishing-ability-to-pay/</u>

McBride v. McBride, 334 NC 124 (1993)

- Overruled Jolly wherein court held no right to counsel because civil contemnor "holds the keys to the jail."
- *McBride*: contemnor does not "hold keys to the jail" if he cannot pay the purge.

McBride v. McBride, 334 NC 124 (1993)

- Despite requirements of the law, "experience has shown" "trial courts do not always make [the required] determination."
- Attorneys will prevent "unjustified deprivation of a defendant's physical liberty" and "increase the accuracy of the proceeding."

Is this enough?

- · Defendant was ordered to pay child support
- Defendant has not paid

What about this?

- Is healthy and able-bodied
- $\,{}^{\circ}$ Is presently employed
- Owns a car

- $\,\circ\,$ No ill health or incapacity since entry of order
- $\,{}^{\circ}\,$ Has ability to earn good wages as a salesman
- $\,\circ\,$ Is experienced in the restaurant business
- $\,{}^{\circ}$ Never requested modification of order

Mauney v. Mauney, 268 NC 254 (1966)

- No findings insufficient to establish defendant's ability to pay at any time during the period in which he was in arrears.
- Court should "take an inventory of the property of the defendant; find what are his assets and liabilities and his ability to pay and work - an inventory of his financial position."

What about...

Alleged contemnor:

- Is an able-bodied 32-year old
- Attended high school up to 10th grade
- $^\circ$ Has work experience in the furniture industry but prefers to work outside jobs
- \circ Worked full time for 8 months last year as a brick mason

Clark v. Gragg, 171 NC App 120 (2005)

- Findings insufficient to show defendant's noncompliance was willful.
- To support conclusion of willful noncompliance, must establish ability to pay "during the time he was in default".
- In addition, court must find present ability to comply with purge.
 - Order must show contemnor "holds the keys to the jail".

Is this enough?

- Defendant was ordered to pay child support
- Defendant has not paid
- Clearly not enough to support issuance of a show cause order

What about....?

- Defendant was ordered to pay child support
- Defendant has not paid

• Upon information and belief, contemnor has the ability to pay

Remedy Person found in civil contempt for failure to pay child support "may be imprisoned as long as the civil contempt continues." Imprisonment is the only remedy Short 'stays' of incarceration are permissible but not suspended sentences

- Suspended sentences See Reynolds v. Reynolds, 356 NC 287 (2002) See Gordon v. Gordon, 233 NC App 477 (2014)(60 day stay okay where evidence showed ability to pay purge in 60 days)
- Contempt order must provide "purge"
 Must specify how and when contempt will be lifted
 Indefinite civil contempt is not allowed
 Wellons v. White, 748 SE2d 709 (2013)

Attorney Fees

- Court can order contemnor to pay a "reasonable attorney fee" to moving party in child support case for contempt proceeding
- But only if court finds:
 - Moving party has insufficient means to defray cost of litigation
 - Moving party acted in good faith
 - The lawyer's skill
 - The lawyer's hourly rate, and
 - The nature and scope of services rendered



New Regulations Regarding Contempt in IV-D Child Support Cases

Author : Cheryl Howell

Categories : Contempt, Family Law

Tagged as : child support, child support enforcment, contemptIV-D

Date : June 30, 2017

Effective January 19, 2017, the federal Department of Health and Human Services (DHHS) adopted a final rule titled "Flexibility, Efficiency, and Modernization in Child Support Enforcement Programs." 81 Federal Register 93492 (Dec. 20, 2016). This rule mandates numerous changes to the policies and procedures of state child support enforcement programs, but one change of particular importance to state trial courts involves the use of contempt procedures to enforce child support obligations. According to the Comments to the new rules, the change in the federal regulations regarding the use of contempt is intended to ensure that the "constitutional principles articulated in Turner v. Rogers, 564 U.S. 431 (2011)[addressing the rights of obligors in child support contempt proceedings], are carried out in the child support program, that child support case outcomes are just and comport with due process, and that enforcement proceedings are cost-effective and in the best interest of the child." 81 FR at 93532.

Federal Direction to State Enforcement Programs

The new federal rule amends <u>45 CFR 303.6</u> to require all state enforcement programs to develop guidelines for the use of civil contempt as an enforcement mechanism in child support cases. The Comment to the new rule focuses on the US Supreme Court decision in <u>Turner v. Rogers</u> as justification for clarifying the need to better protect the due process rights of obligors in contempt proceedings. The Comment states:

"As the U.S. Supreme Court stated in <u>Turner</u>, a noncustodial parent's ability to pay constitutes the critical question in a civil contempt case. ... Contempt is an important tool for collection of child support when used in appropriate cases where evidence exists that the noncustodial parent has the income and assets to pay the ordered monthly support obligations, but willfully fails to do so, and the purge amounts or conditions are within the noncustodial parent's ability to pay or meet. The <u>Turner</u> opinion provides the child support program with a guide for conducting fundamentally fair and constitutionally acceptable proceedings."

81 FR at 93532.

Effective January 19, 2017, <u>45 C.F.R. 303.6(c)(4)</u> requires that all state child support offices establish guidelines for the use of civil contempt in IV-D cases. The guidelines must:

"include requirements that the IV-D agency:

(i) Screen the case for information regarding the noncustodial parent's ability to pay or otherwise comply with the order;

(ii) Provide the court with such information regarding the noncustodial parent's ability to pay, or otherwise comply with the order, which may assist the court in making a factual determination regarding the noncustodial parent's ability to pay the purge amount or comply with the purge conditions; and

(iii) Provide clear notice to the noncustodial parent that his or her ability to pay constitutes the critical question in the civil contempt action."

North Carolina Response to the New Regulation

The North Carolina Child Support Enforcement Agency has complied with the new federal mandate by adopting the following guidelines, published in the Child Support Services Manual found on the website of the NC Department of Health and Human Services, <u>https://www2.ncdhhs.gov/info/olm/manuals/dss/cse/man/</u>:

GUIDELINES FOR USE OF CIVIL CONTEMPT IN IV-D CASES

"The federal Office of Child Support Enforcement (OCSE) has stated: "Civil contempt that leads to incarceration is not, nor should it be, standard or routine child support practice." Prior to considering the use of contempt proceedings in a delinquent case, CSS caseworkers should consider the use of administrative enforcement remedies. If a repayment plan can be negotiated successfully, this approach can be considered as a cost savings to the CSS agency.

...

If caseworkers determine other enforcement remedies to be inadequate, then prior to initiating a contempt proceeding in court, they must screen the case for information regarding the NCP's [NCP is the noncustodial parent] ability to pay (or otherwise comply with the order, if appropriate). This review of the case is important because the NCP's ability to pay will be a critical issue at the contempt hearing, since the court must find that the NCP has the ability to comply with the underlying order before holding the NCP in civil contempt. Caseworkers must share the results of this review with the IV-D attorney, so that the IV-D attorney can present this information to the court, either if the court requests it or as is otherwise appropriate.

Alternatively, if the results of the review indicate that the amount of the current court-ordered obligation may no longer be consistent with the NCP's ability to pay, caseworkers should consider whether modification of the order might be appropriate.

Prior to a civil contempt hearing, the NCP must also be given notice that his/her ability to pay will be a critical question at the hearing. This notice is included in the Order To Appear And Show Cause (DSS-4663). However, if a county does not use the DSS-4663, then the county must ensure that this notice is provided to the NCP. "

Show Cause Orders

As I said in my earlier blog post <u>"No Contempt by Default,"</u> North Carolina law allows a show cause order to be issued to initiate a contempt proceeding only upon the establishment of probable cause that the obligor is in contempt of court. The information the state guidelines now require child support enforcement to obtain should provide the court with the information necessary to determine whether the party seeking the show cause order has the evidence necessary to support a contempt order. *See also* On The Civil Side blog post <u>"Contempt: Establishing Ability to Pay.</u>"



No Default Judgment in Contempt

Author : Cheryl Howell

Categories : Civil Law, Civil Practice, Family Law

Tagged as : Contempt; child support

Date : May 1, 2015

Even when contempt is based upon the failure to pay child support, the contempt order must contain the conclusion of law that respondent willfully violated the court order. That conclusion must be supported by findings of fact showing respondent actually has/had the ability to comply or to take reasonable steps to comply and deliberately failed to do so. Those findings of fact must be based on evidence.

In other words, a contempt order cannot be entered by default - a court cannot assume a respondent has the ability to comply simply because the respondent fails to prove he/she does not have the ability to comply.

Civil Contempt

A civil contempt proceeding can be initiated in one of three ways:

- Pursuant to <u>GS 5A-23(a1)</u>, by filing a verified motion, or a motion along with an affidavit, and a notice of hearing on the contempt motion; or
- Pursuant to <u>GS 5A-23(a)</u>, by filing a verified motion, or a motion along with an affidavit, that includes a request for a show cause order;
- And for child support contempt only, pursuant to <u>GS 50-13.9(d)</u>, by filing an affidavit and asking a judge or a clerk to issue a show cause order.

In all three situations, the court can hold the respondent in civil contempt only if the court concludes:

- The order being violated remains in force;
- The purpose of the order may still be served with the respondent's compliance with the order;
- The respondent's failure to comply with order is willful; and
- The respondent **has the present ability to comply** with the order in whole or in part or take reasonable steps that would enable him/her to comply in whole or in part.

<u>GS 5A- 21(a).</u>

Since the purpose of civil contempt is to force compliance, the only remedy is imprisonment until the respondent complies with the order. <u>GS 5A-21</u>. The court must ensure the respondent "holds the keys to the jail" by ordering a purge that respondent has the actual present ability to perform. *Jolly v. Wright*, 300 NC 83 (1980)(respondent must have the actual present ability to purge himself of contempt at the time he is jailed).

Who Issues the Show Cause in Civil Contempt?

For civil contempt actions pursuant to <u>GS 5A-23(a)</u>, only a judge can issue the show cause order. <u>Moss v. Moss, 222</u> <u>NC App 75 (2012)</u>. In child support cases, <u>GS 50-13.9(d)</u> allows the show cause to be issued either by a judge or by a clerk of court.

When Can a Show Cause Order be Issued?

No show cause should be issued unless there are facts in the verified motion or affidavit that will support the conclusions required for contempt. This is because the show cause is issued only upon a finding of **probable cause** to believe obligor is in contempt. <u>GS 5A-23(a)</u>. This means that in addition to alleging respondent has failed to comply with an order, the motion/affidavit also must contain credible allegations that provide a reasonable ground for believing the respondent is willfully failing to comply with the order. <u>Young v. Mastrom, Inc., 149 NC App 483 (2002)</u>.

'Burden of Proof'

When contempt is initiated pursuant to GS 5A-23(a1) by motion and notice of hearing, the moving party has the burden of going forward with evidence at the contempt hearing to establish the factual basis for contempt. GS 5A-23(a1).

When contempt is initiated by a verified motion or affidavit and the issuance of a show cause order, either pursuant to <u>GS 5A-23(a)</u> or <u>GS 50-13.9(d)</u>, the burden of going forward with evidence at the hearing is upon respondent. <u>Shumaker v. Shumaker, 137 NC App 72 (2000)</u>. However, this is only because a judge or clerk previously determined – based on specific factual allegations in the verified motion or affidavit – there is probable cause to believe respondent is in contempt.

Despite this shifting of the burden of proof, no contempt order can be entered without sufficient evidence to support the conclusion that respondent acted willfully and has the present ability to comply with the purge ordered by the court. *Henderson v. Henderson*, 307 NC 401 (1983); *Lamm v. Lamm*, 229 NC 248 (1948). While appellate courts have stated that a respondent who fails to make an effort to show a lack of ability to comply "does so at his own peril", *Hartsell v. Hartsell*, 90 NC App 380 (199), it is clear there can be no default contempt order.

Criminal Contempt

There is only one way to initiate an indirect criminal contempt proceeding. <u>GS 5A-15(a)</u> provides that a judicial official – either a clerk or a judge – initiates the proceeding by issuing a show cause order. The statute does not require a verified motion or affidavit, but the show cause order must contain adequate information to put respondent on notice of the allegations forming the basis for the charge. *O'Briant v. O'Briant*, 313 NC 432 (1985).

The purpose of criminal contempt is to punish, so the focus is on the past behavior of respondent. So for example, if contempt is based on the failure to pay child support, criminal contempt must be based on the conclusion – adequately supported by factual findings that are adequately supported by evidence – respondent willfully failed to pay at some point in the past. In criminal proceedings, despite the fact that the action is initiated by a show cause order, the burden of presenting evidence at trial always remains with the moving party and the court must find willful disobedience beyond a reasonable doubt. <u>GS 5A-15(f)</u>.

As the goal of criminal contempt is to punish rather than force compliance, the court has the option of ordering imprisonment, a fine, or censure. <u>GS 5A-12</u>. None of these require the court to conclude respondent has the present ability to comply **at the time the contempt order is entered**, as is required with a purge in civil contempt.

Ability to Pay

So what evidence is sufficient to show actual ability to comply? That's the topic of my next blog. Stay tuned.



Contempt: Establishing Ability to Pay

Author : Cheryl Howell

Categories : Civil Law, Civil Practice, Family Law

Tagged as : Contempt; Ability to pay; Child support

Date : May 8, 2015

In my last post, <u>No Default Judgment in Contempt</u>, I wrote about the requirement that all contempt orders contain the conclusion that respondent acted willfully when committing the act that is the basis for contempt. Of course, that conclusion must be supported by findings of fact, which in turn must be based on evidence.

So what findings are sufficient to support the required conclusion when contempt is based on the failure to pay money, such as child support?

Ability to Pay

When contempt is based on the failure to pay, willfulness must be established by evidence that the respondent has or had the ability to pay all or some portion of the amount owing and deliberately failed to do so. *Mauney v. Mauney*, 268 NC 254 (1966). Ability to pay is established by showing either that respondent has income or cash sufficient to pay or that there are steps respondent can take that would allow him/her to pay some or all of the amount owing. *Jones v. Jones*, 62 NC App 748 (1983).

Ability to Pay When?

Criminal contempt is to punish past conduct. So to support an adjudication of criminal contempt for failure to pay support, the court must conclude respondent had the ability to pay when the payment became due or at some time thereafter. *Mauney, id.* Because the purpose of criminal contempt is to punish past behavior, a person can be held in criminal contempt even if that person has fully complied with the order by the time of the contempt hearing. <u>Reynolds v.</u> <u>Reynolds, 147 NC App 566 (2001)(dissent adopted by 356 NC 287 (2002))</u>.

On the other hand, civil contempt is to force compliance with the court order. Therefore, to support an adjudication of civil contempt for failure to pay, the court must conclude respondent has the present ability to pay at the time of the hearing. *Mauney, <u>id</u>.* Because the only purpose of civil contempt it to force compliance, a respondent cannot be held in civil contempt if respondent has fully complied with the order to pay by the time of the contempt hearing. <u>Ruth v. Ruth, 158 NC App 123 (2003).</u> A civil contempt order also must find respondent has the present ability to comply with the purge condition that is imposed as a result of the contempt adjudication. A respondent must actually "hold the keys to the jail" at the time (s)he is incarcerated for civil contempt. <u>Shippen v. Shippen, 204 NC 188 (2010)</u>; *Jolly v. Wright,* 300 NC 83 (1980).

Able-bodied, under no disability, enough?

Mauney and other opinions established the rule that when a contempt charge is based on the failure to pay, the court must make an investigation into the current financial status of respondent to determine if (s)he has the present ability to pay the amounts set by order of the court. *Moore v. Moore*, 35 NC App 748 (1978). The trial court in *Mauney* supported contempt with these findings:

[T]he defendant 'is a healthy, able bodied man, 55 years old, presently employed ...and has been so employed for many months; that he owns a Thunderbird automobile; he has not been in ill health or incapacitated since the date of [the] order...; the defendant has the ability to earn good wages in that he is a trained and able salesman, and is experienced in the restaurant business; and has been continuously employed since the order.

Mauney, 268 NC at 266.

The Supreme Court held these findings insufficient, stating:

The finding of facts in this case is not a sufficient basis for the conclusion that defendant's conduct was willful and deliberate. [Citing <u>Vaughan v. Vaughan, 213 N.C. 1989]</u>, the court below should take an inventory of the property of the plaintiff; find what are his assets and liabilities and his ability to pay and work -an inventory of his financial condition.'

Mauney, 268 NC at 268.

See also <u>Clark v. Gragg. 171 NC App 120 (2005)</u>("able-bodied, 32 year old with tenth grade education and work experience" insufficient), and *Hodges v. Hodges*, 64 NC App 550 (1983)("able-bodied" and "was capable of and had the means or should have had the means" to make payments insufficient).

Must respondent have cash on hand?

Ability to pay can be shown by evidence that respondent has sufficient cash or income to pay. *McMiller v. McMiller*, 77 NC App 808 (1985). *See also Ahern v. Ahern*, 63 NC App 728 (1983) (income can be established by showing how much respondent spends). Or, ability to pay can be shown by evidence that there are reasonable steps respondent can take that would enable him/her to pay but respondent is deliberately failing to take those steps. *Adkins v. Adkins*, 82 NC App 289 (1986)(reasonable steps include liquidating assets); *McMiller*, <u>id.</u> (same).

While deliberately and in bad faith failing to look for work or accept employment will support contempt, *Frank v. Glanville*, 45 NC App 313 (1980), a court cannot base contempt on failure to work unless there is evidence that jobs actually are available. *Self v. Self*, 55 NC App 651 (1982).

Right to Appointed Counsel

The law regarding the need for evidence of actual ability to pay before a person can be held in contempt for failure to pay child support is not new. However, parents are incarcerated on a regular basis in this State and throughout the country based on court orders entered without appropriate findings and conclusions.

Recognizing this as a problem, the North Carolina Supreme Court held in *McBride v. McBride*, 334 NC 124 (1993), that respondents in contempt cases have the right to court-appointed counsel if indigent and if there is a likelihood of incarceration. In overturning previous precedent to the contrary, the court held:

An examination of civil contempt cases ... indicates that the failure of trial courts to make a determination of a contemnor's ability to comply is not altogether infrequent... Despite the statutory requirements, experience ... has shown that trial courts do at times order the imprisonment of an unrepresented civil contemnor in a nonsupport case without determining whether he is able to pay....

McBride, 334 NC at 131 and n.4.

Unfortunately, appellate cases continue to show a problem in the trial courts. While appointed counsel should help, it is everyone's responsibility to ensure parents are not jailed simply because they fail to pay support.

Civil Contempt - Ensuring Noncustodial Parents Have the Ability to Pay

Overview

As the federal agency responsible for funding and oversight of state child support programs, OCSE has an interest in ensuring that:

- constitutional principles articulated in the U.S. Supreme Court Decision in *Turner v. Rogers*, 564 U.S.____, 131 S Ct. 2507 (2011) are carried out in the child support program,
- · child support case outcomes are just and comply with due process, and
- enforcement proceedings are cost-effective and in the best interest of the child.

The *Turner* case provides OCSE and state child support agencies with an opportunity to evaluate the appropriate use of civil contempt and to improve program effectiveness, including adequate case investigation. As the U.S. Supreme Court stated in *Turner v. Rogers*, a noncustodial parent's ability to pay constitutes the "critical question" in a civil contempt case, whether the state provides legal counsel or alternative procedures designed to protect the indigent obligor's constitutional rights.

The <u>final rule</u> revises 45 CFR 303.6(c)(4), by establishing criteria that child support agencies must use to determine which cases to refer and how they prepare cases for a civil contempt proceeding. The main goal is to increase consistent child support payments for children by ensuring that low-income parents are not incarcerated unconstitutionally because they are poor and unable to comply with orders that do not reflect their ability to pay. In addition, the final rule is intended to reduce the routine use of costly and often ineffective contempt proceedings and increase case investigation and more cost-effective collection efforts.

What is new

Section \$303.6(c)(4) of the final rule requires the state child support agency to establish procedures for the use of civil contempt petitions. Before filing a civil contempt action that could result in the noncustodial parent being sent to jail, states must ensure that the child support agency has screened the case to determine whether the facts support a finding that the noncustodial parent has the "actual and present" ability to pay or to comply with the support order.

The child support agency must also provide the court with information regarding the noncustodial parent's ability to pay or otherwise comply with the order to help the court make a factual determination regarding the parent's ability to pay the purge amount or comply with the purge conditions.

Finally, prior to going to court, the state must give clear notice to the noncustodial parent that his or her ability to pay constitutes the critical question in the civil contempt action.

How this affects states

The new rule provides state child support agencies with a guide for conducting constitutionally acceptable proceedings. The final rule will reduce the risk of erroneous deprivation of the noncustodial parent's liberty, without imposing significant fiscal or administrative burden on the state. States that have reduced their over-reliance on contempt proceedings have found that they increased collections and reduced costs at the same time. There is no evidence that the routine use of contempt proceedings improves collection rates or consistent support payments to families.

States have considerable flexibility in implementing these provisions. The provisions are based upon successful case practice in a number of states that conduct case-specific investigations and data analyses. Child support agencies will need to take steps to determine how to implement these changes in their states, which may include educating and collaborating with the judiciary.

How this affects families

Research shows that routine use of civil contempt is costly and counterproductive to the goals of the child support program.¹ All too often it results in the incarceration of noncustodial parents who are unable to pay to meet their purge requirements.² Modernizing practices in this area will encourage parents to comply with child support orders, maintain legitimate employment, and minimize the accumulation of unpaid child support debt. These guideline provisions help ensure that child support case outcomes are just and comply with due process, and that enforcement proceedings are cost-effective and in the best interest of the child.

See Elizabeth G. Patterson, *Civil Contempt & the Indigent Child Support Obligor: The Silent Return of* Debtor's Prison, 18 Cornell Journal of Law & Public Policy 95, 126 (2008) (*Civil Contempt*), available at: http://www.lawschool.cornell.edu/research/jlpp/upload/patterson.pdf.

^{2.} See Rebecca May & Marguerite Roulet, Ctr. for Family Policy & Practice, A Look at Arrests of Low-Income *Fathers for Child Support Nonpayment: Enforcement, Court and Program Practices*, 40 (2005), available at: http://www.cffpp.org/publications/LookAtArrests.pdf.



Modification for Incarcerated Parents

Overview

The majority of federal and state prisoners are parents, and many have child support orders that were established before incarceration.¹ Incarceration can result in the accumulation of high levels of child support debt because parents have little to no ability to earn income while they are incarcerated and reduced ability to pay off the debt when released.² Studies find that incarcerated parents leave prison with an average of \$20,000 or more in unpaid child support, with no means to pay upon release.³ This accumulated child support debt is rarely paid. Research finds that uncollectible debt substantially reduces noncustodial parent earnings, which in turn reduces child support payments to their families. One study found that people released from jail are unemployed 9 weeks more per year and annual earnings are reduced by 40%.⁴ On the other hand, reducing uncollectible debt can increase payments.⁵

The goal of the <u>final rule</u> revisions is to increase consistent child support payments for children by setting child support orders based on the noncustodial parent's earnings, income, or other evidence of ability to pay, including for incarcerated parents. Children do not benefit when their parents engage in a cycle of nonpayment, underground income generation, and re-incarceration. Support orders modified for incarcerated parents, based on their current ability to pay, result in less debt accrual, more formal employment, more child support payments, and less need for enforcement after they are released.

Despite the significant research on the consequences of continuing the accrual of support when it is clear there is no ability to pay, about one quarter of states treat incarceration as "voluntary unemployment". These "voluntary unemployment" rules typically pre-date the federal review and adjustment statute that requires states to modify support orders when parents experience a substantial change in circumstances, and block the federal rule's application.

What is new

The final rule provides that state guidelines under 45 CFR 302.56(c)(3) may not treat incarceration as "voluntary unemployment" in establishing or modifying child support orders. The new rule prohibits states from legally barring modification of support obligations during incarceration. We have also revised § 303.8(c) to indicate that the reasonable quantitative standards that the state develops for review and adjustment must not treat incarceration as a legal bar for petitioning for and receiving an adjustment of an order.

Existing review and adjustment regulations specify the requirements that a state must meet for adjusting to child support orders in IV-D cases. The rule adds a requirement that state child support agencies may elect in its state plan to initiate review of an order after learning that a noncustodial parent will be incarcerated more than 180 calendar days. If the state has not elected this new option, then within 15 business days of learning that the noncustodial parent will be incarcerated more than 180 calendar days, the state must notify both parents of their right to request a review.

How this affects states

States should determine whether they have "voluntary unemployment" policies or standards that legally prevent incarcerated parents from obtaining a review and adjustment of their orders upon a showing of a substantial change in circumstances. If so, they must conform their policies within one year after completion of the first quadrennial review of the state's guidelines that commences more than one year after publication of the final rule. Since states may elect to initiate the review upon learning of the noncustodial parent's incarceration for over 180 calendar days, we encourage states to implement this proactive approach to ensure that orders are based on the noncustodial parent's ability to pay during his or her incarceration. When modifying orders, states may consider an incarcerated parent's income and assets in setting the order amount. In electing this state plan option, the state may also need to consider whether further changes to state laws are required to implement this procedure.

A number of states conduct data matches with correctional facilities in the state to determine whether a parent is incarcerated. We encourage, but are not requiring states to actively establish partnerships with federal, state, local, and private prisons to conduct data matches to locate, as well as to educate incarcerated parents about the child support program. We encourage states to develop electronic interfaces with corrections institutions to maximize the identification of incarcerated parents and to implement outreach strategies designed to educate incarcerated parents of their rights to request reviews of their support orders, which will help to increase program efficiency.

How this affects families

Setting and modifying realistic child support obligations for incarcerated parents can improve their ability to provide consistent support for their children upon release from prison.⁶ With this rule change, formerly incarcerated noncustodial parents will be more likely to meet their child support obligations, benefiting their children by improving child support compliance and reliability, and reducing uncollectable debt. Other collateral consequences associated with orders set beyond a noncustodial parent's ability to pay may also decline, such as increased underground employment activity and reduced contact with their children. We also expect that more incarcerated parents learn about their right to request a review of their child support orders early in their prison terms in an effort to manage their debt.

- 1. Christopher Mumola, Incarcerated Parents and Their Children, Bureau of Justice Statistics Special Report, August 2000, NCJ 182335.
- Council of State Governments, Report of the Re-Entry Policy Council: Charting the Safe and Successful Return of Prisoners to the Community, Justice Center, 2005, available at: <u>http://www.csgjusticecenter.org/wp-content/uploads/2013/04/1694-11.pdf</u>. For further background, see Jessica Pearson's article, Pearson, Jessica, "Building Debt While Doing Time: Child Support and Incarceration," Judges' Journal 43:1, Winter 2004, available at: <u>https://csdaca.org/wp-content/uploads/2014/11/BuildingDebt-2.pdf</u>.
- 3. U.S. Department of Health and Human Services, Office of Child Support Enforcement, Incarceration, Reentry, and Child Support Issues: National and State Research Overview, 2006; Pamela Ovwigho, et al., *The Intersection of Incarceration and Child Support: A Snapshot of Maryland's Caseload*, School of Social Work, University of Maryland, 2005; Esther Griswold and Jessica Pearson, *Twelve Reasons for Collaboration Between Departments of Correction and Child Support Enforcement Agencies*, Corrections Today, June 2003.
- 4. See our, "Jobs not Jail Infographic", published October 2015 on OCSE website at: <u>http://www.acf.hhs.gov/sites/</u> <u>default/files/programs/css/jobs_not_jail_final_10_02.pdf</u> and Collateral Costs: Incarceration's Effect on Economic Mobility. The Pew Charitable Trusts. (2010, September), available at: <u>http://www.pewtrusts.org/~/media/legacy/</u> uploadedfiles/pcs_assets/2010/collateralcosts1pdf.pdf.
- 5. For further information, see Carolyn J. Heinrich, Brett C. Burkhardt, and Hilary M. Shager, *Reducing Child Support Debt and Its Consequences: Can Forgiveness Benefit All*? (2010), available at: http://www.irp.wisc.edu/research/childsup/cspolicy/pdfs/2007-09/FamiliesForward_3_19_10.pdf; Maria Cancian, Carolyn Heinrich, and Yiyoon Chung, *Does Debt Discourage Employment and Payment of Child Support*? (2009), available at: http://www.irp.wisc.edu/publications/dps/pdfs/2007-09/FamiliesForward_3_19_10.pdf; Maria Cancian, Carolyn Heinrich, and Yiyoon Chung, *Does Debt Discourage Employment and Payment of Child Support*? (2009), available at: http://www.irp.wisc.edu/publications/dps/pdfs/dp136609.pdf; and Harry Holzer, Paul Offner, and Elaine Sorensen, *Declining Employment Among Young Black Less-Educated Men: The Role Of Incarceration and Child Support* (2004), available at: http://www.urban.org/uploadedpdf/411035_declining_employment.pdf.
- 6. Alicia Bannon, Mitali Nagrecha and Rebekah Diller, *Criminal Justice Debt a Barrier to Reentry*, Brennan Center for Justice, 2010; *Report of the Re–Entry Policy Council: Charting the Safe and Successful Return of Prisoners to the Community*, Council of State Governments, 2005; Esther Griswold and Jessica Pearson, *Twelve Reasons for Collaboration between Departments of Correction and Child Support Enforcement Agencies*, Corrections Today, June 2003.

issue the documents for service at the employer's address. Similarly, if an attempt to serve the documents at the employer's address fails and the NCP's current mailing or residential address is recorded in ACTS, caseworkers immediately should issue new documents for service at the mailing/residential address.

If service was unsuccessful because the NCP's address is wrong or no longer valid or if an attempt to serve an NCP at the employer's address reveals that the NCP is no longer employed there, CSS caseworkers need to document this information in ACTS.

If the service is unsuccessful due to evasion or because the NCP is "unavailable", caseworkers should generate new documents as appropriate and reissue them. However, if the return of service indicates a date when the NCP parent will become "available", CSS caseworkers should document that date so that the necessary service of process documents can be issued at that time.

SHOW CAUSE PROCEEDINGS

GENERAL INFORMATION

This topic contains information on the following:

- 1. Civil contempt;
- 2. Pre-court negotiations;
- 3. Guidelines for use of civil contempt in IV-D cases.

CIVIL CONTEMPT

Civil contempt is a judicial enforcement remedy available when an obligor fails to comply with a voluntary support agreement or a civil court order. Civil contempt is used as a remedy to coerce compliance with a court order. Pursuant to NCGS 5A-21(a), failure to comply with an order of a court is a continuing civil contempt as long as:

- 1. The order remains in force;
- The purpose of the order may still be served by compliance with the order;
- The noncompliance by the person to whom the order is directed is willful; and
- 4. The person to whom the order is directed is able to comply with the order or is able to take reasonable measures that would enable the person to comply with the order.

If the court finds that all four of these factors exist, the court may hold an obligor in civil contempt. A crucial component of civil contempt is that the obligor must be able to purge himself/herself of the contempt by taking certain actions. The court must conclude that the obligor has the present ability (or is able to take reasonable measures) to satisfy the purge condition. Once an obligor satisfies the purge condition, the contempt ends. The purge condition of a civil contempt order involving child support obligations typically requires the payment of a certain amount of arrears. It is important to note that the Court of Appeals has ruled that a court may not require an obligor to make purge payments indefinitely. See Wellons v. White, 229 NC App. 164, 183 (2013). In the case of *McBride v. McBride*, 334 NC 124 (1993), the North Carolina Supreme Court ruled that, prior to being incarcerated for civil contempt, an indigent obligor in a child support case has a right to a court-appointed attorney.

PRE-COURT NEGOTIATIONS

Some judicial districts rely very heavily on pre-court negotiations to settle child support contempt actions. However, it must be noted that Clerks of Court accept only purge payments and that these payments do not prorate across all cases if the NCP has multiple obligations. If an agreement is reached after a NCP is served with a contempt action, a contempt order containing the agreed purge amount can be completed before court and presented to the judge.

If accepted by the judge, the purge provision allows the noncustodial parent (NCP) to pay the Clerk of Court. The Clerk does not accept any payments resulting from other types of negotiation (such as a voluntary dismissal or consent order) that do not find the NCP in contempt and contain monetary purge provisions. The obligor must mail those types of payments to North Carolina Child Support Centralized Collections (NCCSCC).

GUIDELINES FOR USE OF CIVIL CONTEMPT IN IV-D CASES

The federal Office of Child Support Enforcement (OCSE) has stated: "Civil contempt that leads to incarceration is not, nor should it be, standard or routine child support practice." Prior to considering the use of contempt proceedings in a delinquent case, CSS caseworkers should consider the use of administrative enforcement remedies. If a repayment plan can be negotiated successfully, this approach can be considered as a cost savings to the CSS agency.

In considering repayment plan terms, CSS caseworkers must investigate whether or not the obligor has multiple obligations, as nearly all payments made to NCCSCC are prorated across all obligations. Caseworkers must either investigate this possibility before taking further enforcement action or allow for the proration when initially establishing a repayment plan. It is important to remind the NCP that payments must be current on all cases if he/she expects to reduce arrearages on the case for which the enforcement action was originally taken.

If caseworkers determine other enforcement remedies to be inadequate, then prior to initiating a contempt proceeding in court, they must screen the case for information regarding the NCP's ability to pay (or otherwise comply with the order, if appropriate). This review of the case is important because the NCP's ability to pay will be a critical issue at the contempt hearing, since the court must find that the NCP has the ability to comply with the underlying order before holding the NCP in civil contempt. Caseworkers must share the results of this review with the IV-D attorney, so that the IV-D attorney can present this information to the court, either if the court requests it or as is otherwise appropriate.

Alternatively, if the results of the review indicate that the amount of the current court-ordered obligation may no longer be consistent with the NCP's ability to pay, caseworkers should consider whether modification of the order might be appropriate.

Prior to a civil contempt hearing, the NCP must also be given notice that his/her ability to pay will be a critical question at the hearing. This notice is included in the Order To Appear And Show Cause (DSS-4663). However, if a county does not use the DSS-4663, then the county must ensure that this notice is provided to the NCP.

As with any other court-based procedures, the IV-D attorney should be consulted regarding any questions concerning civil contempt procedures.

SUM-CERTAIN JUDGMENTS

SUM-CERTAIN JUDGMENT POLICY

For an active case containing arrearages, local CSS agencies should take action to establish a judgment for the amount owed at the time when the ongoing obligation ends. (This is usually at the time when the last child reaches the age of majority.) Caseworkers should file a Motion For An Order To Show Cause or a separate action, requesting that a judgment be entered to set arrearages at a "sum certain" and establish periodic payments to reduce the debt.

Establishment of this sum-certain judgment creates a 10-year period during which collections can be made. If all arrearages are not paid during this time, a new action must be taken, before the expiration of the judgment due the statute of limitations, to set a sum certain for the remaining arrearages in order to continue collections.

TAX INTERCEPT

GENERAL INFORMATION

The interception of funds from state and Federal tax refunds to pay child support is an important enforcement remedy. This topic contains information on the following subjects:

- 1. Tax intercept policy;
- 2. The Davis Decision;
- 3. Information related to tax intercept that should provided to custodial parents and noncustodial parents (NCPs);
- 4. Tax intercept submittals;
- 5. Tax intercept appeals;
- 6. Tax intercept processing;
- 7. Federal refunds to NCPs.

TAX INTERCEPT POLICY

The tax intercept process is used in all appropriate Public Assistance (PA) and Non-Public Assistance (NPA) cases as provided for in Public Law 97-35 and 98-378, and in NCGS 105A. Federal regulations stipulate that the procedure is to be used in conjunction with other appropriate enforcement remedies. Tax intercept must be implemented for all cases that meet the criteria for submittal to state and/or Federal revenue agencies. See Tax Intercept Submittals.

Office of Child Support Enforcement, ACF, HHS

§ 303.6 Enforcement of support obligations.

INFORMATION GPO

For all cases referred to the IV-D agency or applying for services under §302.33 in which the obligation to support and the amount of the obligation have been established, the IV-D agency must maintain and use an effective system for:

(a) Monitoring compliance with the support obligation;

(b) Identifying on the date the parent fails to make payments in an amount equal to the support payable for one month, or on an earlier date in accordance with State law, those cases in which there is a failure to comply with the support obligation; and

(c) Enforcing the obligation by:

(1) Initiating income withholding, in accordance with §303.100;

(2) Taking any appropriate enforcement action (except income withholding and Federal and State income tax refund offset) unless service of process is necessary, within no more than 30 calendar days of identifying a delinquency or other support-related non-compliance with the order or the location of the noncustodial parent, whichever occurs later. If service of process is necessary prior to taking an enforcement action, service must be completed (or unsuccessful attempts to serve process must be documented in accordance with the State's guidelines defining diligent efforts under §303.3(c)), and enforcement action taken if process is served, within no later than 60 calendar days of identifying a delinquency or other supportrelated non-compliance with the order, or the location of the noncustodial parent. whichever occurs later:

(3) Submitting once a year all cases which meet the certification requirements under §303.102 of this part and State guidelines developed under §302.70(b) of this title for State income tax refund offset, and which meet the certification requirements under §303.72 of this part for Federal income tax refund offset;

(4) Establishing guidelines for the use of civil contempt citations in IV–D cases. The guidelines must include requirements that the IV–D agency:

(i) Screen the case for information regarding the noncustodial parent's

ability to pay or otherwise comply with the order;

(ii) Provide the court with such information regarding the noncustodial parent's ability to pay, or otherwise comply with the order, which may assist the court in making a factual determination regarding the noncustodial parent's ability to pay the purge amount or comply with the purge conditions; and

(iii) Provide clear notice to the noncustodial parent that his or her ability to pay constitutes the critical question in the civil contempt action; and

(5) In cases in which enforcement attempts have been unsuccessful, at the time an attempt to enforce fails, examining the reason the enforcement attempt failed and determining when it would be appropriate to take an enforcement action in the future, and taking an enforcement action in accordance with the requirements of this section at that time.

[54 FR 32310, Aug. 4, 1989, as amended at 55 FR 25840, June 25, 1990; 81 FR 93564, Dec. 20, 2016]

§303.7 Provision of services in intergovernmental IV-D cases.

(a) General responsibilities. A State IV-D agency must:

(1) Establish and use procedures for managing its intergovernmental IV-D caseload that ensure provision of necessary services as required by this section and include maintenance of necessary records in accordance with §303.2 of this part;

(2) Periodically review program performance on intergovernmental IV-D cases to evaluate the effectiveness of the procedures established under this section;

(3) Ensure that the organizational structure and staff of the IV-D agency are adequate to provide for the administration or supervision of the following functions specified in §303.20(c) of this part for its intergovernmental IV-D caseload: Intake; establishment of paternity and the legal obligation to support; location; financial assessment; establishment of the amount of child support; collection; monitoring; enforcement; review and adjustment; and investigation;

§ 50-13.10. Past due child support vested; not subject to retroactive modification; entitled to full faith and credit.

(a) Each past due child support payment is vested when it accrues and may not thereafter be vacated, reduced, or otherwise modified in any way for any reason, in this State or any other state, except that a child support obligation may be modified as otherwise provided by law, and a vested past due payment is to that extent subject to divestment, if, but only if, a written motion is filed, and due notice is given to all parties either:

- (1) Before the payment is due or
- (2) If the moving party is precluded by physical disability, mental incapacity, indigency, misrepresentation of another party, or other compelling reason from filing a motion before the payment is due, then promptly after the moving party is no longer so precluded.

(b) A past due child support payment which is vested pursuant to G.S. 50-13.10(a) is entitled, as a judgment, to full faith and credit in this State and any other state, with the full force, effect, and attributes of a judgment of this State, except that no arrearage shall be entered on the judgment docket of the clerk of superior court or become a lien on real estate, nor shall execution issue thereon, except as provided in G.S. 50-13.4(f)(8) and (10).

(c) As used in this section, "child support payment" includes all payments required by court or administrative order in civil actions and expedited process proceedings under this Chapter, by court order in proceedings under Chapter 49 of the General Statutes, and by agreements entered into and approved by the court under G.S. 110-132 or G.S. 110-133.

(d) For purposes of this section, a child support payment or the relevant portion thereof, is not past due, and no arrearage accrues:

- (1) From and after the date of the death of the minor child for whose support the payment, or relevant portion, is made;
- (2) From and after the date of the death of the supporting party;
- (3) During any period when the child is living with the supporting party pursuant to a valid court order or to an express or implied written or oral agreement transferring primary custody to the supporting party;
- (4) During any period when the supporting party is incarcerated, is not on work release, and has no resources with which to make the payment.

(e) When a child support payment that is to be made to the State Child Support Collection and Disbursement Unit is not received by the Unit when due, the payment is not a past due child support payment for purposes of this section, and no arrearage accrues, if the payment is actually made to and received on time by the party entitled to receive it and that receipt is evidenced by a canceled check, money order, or contemporaneously executed and dated written receipt. Nothing in this section shall affect the duties of the clerks or the IV-D agency under this Chapter or Chapter 110 of the General Statutes with respect to payments not received by the Unit on time, but the court, in any action to enforce such a payment, may enter an order directing the clerk or the IV-D agency to enter the payment on the clerk's or IV-D agency's records as having been made on time, if the court finds that the payment was in fact received by the party entitled to receive it as provided in this subsection. (1987, c. 739, s. 4; 1999-293, s. 15.)



| <u>Title IV: Vocational Rehabilitation Pro</u> Core Purpose & Structure | |
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| NC DEPT OF & HUMAN SE | NC DIV SERVICES FOR THE BLIND |
| (DVRS) • Individuals with Physical, Deaf or Hard of Hearing, Mental, Emotional, Intellectual, Substance Abuse disabilities serve 54,735 | (DSB) Individuals who are Blind, have Low Vision, or are Deaf-Blind served 2394 |
| January 8, 2018 | 2 |













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Physical and Mental Restoration Services

- Physical restoration services may be provided as part of a rehabilitation program to correct or substantially reduce a physical impairment that is stable or slowly progressive and that results in substantial impediments to employment
- Mental restoration services are those services which are necessary to correct or substantially modify a mental impairment that is stable or slowly progressive

January 8, 2018

January 8, 2018



| Rehabilitation and Assistive Technology and Modification Services | |
|---|---|
| Rehabilitation Technology may include wheelchairs, prostheses, hearing aids and orthotics prescribed due to seriously limited functional capacity areas. | |
| Assistive Technology may be purchased for individuals who require adaptive software, hardware, augmentative communication, Electronic Aids for Daily Living (EADL) voice recognition. | |
| • Home, Worksite and Vehicle Modifications may be contracted and purchased to increase overall independence at home, work and within the community. | |
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January 8, 2018







THANK YOU!! THANK YOU!! Division of Vocational Rehabilitation North Carolina Department of Health and Human Services 919-855-3563 office 919-733-7968 fax

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919-733-7968 fax Tara.myers@dhhs.nc.gov

805 Ruggles Drive, Haywood Building Raleigh, NC 27699

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January 8, 2018

NCWorks North Carolina Department of Commerce



CONNECTING TALENT TO JOBS



Presenter

Michelle Muir North Central Regional Operations Director NC Department of Commerce Division of Workforce Solutions

BA Psychology. UNC- Chapel Hill. Executive Education UNC Kenan Flagler

Department of Commerce, Department of Transportation, Private Consultancy, Corporate Telecom

Government Administration | Strategic Planning Community Building | Communications | Human Resources



What is NCWorks?

NEW JOB OPPORTUNITIES



- North Carolina's strategy to ensure we have the best workforce in the country
- Alignment and coordination of workforce development programs in Department of Commerce, Community Colleges, Department of Public Instruction
- Customer focused system, responsive to the needs of the economy
- System that prepares workers to succeed in the North Carolina economy and continuously improve their skills





The NCWorks Commission

► NC Works Commission

- The NCWorks Commission recommends policies and strategies that enable the state's workforce and businesses to compete in the global economy
- The Commission is designated as the state's Workforce Development Board under the federal <u>Workforce Innovation</u> and <u>Opportunity Act</u>
- Led by a private sector chair, the 33-member Commission includes representatives from the business community, heads of state workforce agencies, educators, and community leaders
- All members are appointed by the Governor





Division of Workforce Solutions

Mission:

To develop North Carolina's workforce talent, help individuals advance their career opportunities, and exceed business workforce needs by connecting talent to jobs.









NCWorks Career Centers

- ► Career Resource Center
 - ► Computer/Internet access

► Fax machine

- ▶ Printer
- Often staffed with assistance
- Job Referrals
- ▶ Job Coaching
- ► Job Fairs and Recruiting Events



NCWorks Career Centers



- Assessment
- Job Search Skills
 Resume
 - Resurrie
 Interview
 - ► Social Media
- ► Soft skills training
- Access to scholarships
- Career Planning
- ► Community Resource Guides

NCWorks Career Centers

- ► Connection Point to other USDOL programs
 - \blacktriangleright Apprenticeships
 - ► Veterans Services
 - ► On the Job Training
- Many partner with other local agencies for on-site services such as Homeowner assistance, Food and Nutrition, Youth programming, Vocational Rehabilitation



Using NCWorks Online to Maximize a Job Search

What is NCWorks Online?

NCWorks Online is a one-stop online resource for job seekers and employers in North Carolina



Areas of Service for Individuals

- Career Services Research specific occupations, choose a new career, or analyze your skills to find an occupation that best suits you.
- Job Seeker Services Find assistance in looking for or getting placed in a new job and learn how to develop effective resumés and cover letters.
- Education Services Find a suitable training or educational program, as well as information on training providers and schools.
- Labor Market Services Access information about labor market trends, statistics, and economic & demographic data.

Create a Profile

The profile section is broken down into subsections which include the following:

- Login Information
- Name
- Contact Info Phone /
- Address / E-mail
- Preferred Contact Info
- Education
- Employment History
- Desired Job Preferences
- Military History

Update resume every 60 days. Employers can specify this as search criteria

Update profile with correct contact information as needed

Include volunteer work experiences even if they were unpaid








I am the success I am today because I had a friend who believed in me and I didn't have the heart to let him down.

Abraham Lincoln



Print Report

Friday, January 05, 2018

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NCWorks Career Center - Alamance County

| 2640 Co | lumbine Lane | | |
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| Phone | (336) 570-68 | 800 | |
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| | Certifie | d | Tier 1 | |
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| Stutus | To: | November | 2016 | |

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| OfficePhone | | 336-932-0393 | |
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| | | Teresa Boone | |

| Μ | 8:30 - 5:00 pm | A, DW, Y |
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| Valley Commu | inity College | | Other |
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Closed from 12 noon to 1 p

| Alexander County Car | eer Center | Manager Felicia Setzer | felicia.setzer@nccommerce.com | M T |
|--------------------------------|-----------------|------------------------|-------------------------------|---------------------|
| | | Other | | W 8:30 - 4:30 |
| 604 7th St. SW Taylorsville | 28681 Alexander | DWS | | Th 8:30 - 4:30 F |
| Phone (828) 632-463 | 1 | Reg. Operations Dir.: | Felicia Setzer | |
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ManagerGreggory Wadegreggory.wade@nccommerce.comOtherDWSReg. Operations Dir.:Felicia SetzerOfficePhone(828) 466-5535Emailfelicia.setzer@nccommerce.comAnalyst(s)Brian Lane

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NCWorks Career Center - Anson County

| 514 N. Washington Street, Lockhart-Taylor Center | | | |
|--|----------------|-------|-------|
| Wadesboro | | 28170 | Anson |
| Phone | (704) 272-5479 | | |
| Fax | (704) 694-9070 | | |

| | Certification Status | Certified | | Tier 1 |
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| | | To: | January | 2017 |

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Closes the 2nd Friday of each month at 12 noon

| NCWorks Career Center - Ashe County | | | |
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| Μ | 8:00 - 5:00 pm | A, DW, Y |
|----|----------------|----------|
| Т | 8:00 - 5:00 pm | A, DW, Y |
| W | 8:00 - 5:00 pm | A, DW, Y |
| Th | 8:00 - 5:00 pm | A, DW, Y |
| F | 8:00 - 5:00 pm | A, DW, Y |

| 428 Pineola Street, PO Box 695 | | | |
|--------------------------------|--------------|-------|-------|
| Newland | | 28657 | Avery |
| Phone | (828) 737-54 | 19 | |
| Fax | (828) 737-54 | 180 | |

| | Certification Status | Certified | | Tier 2 |
|--|-------------------------|-----------|-------|--------|
| | | From | April | 2015 |
| | | To: | April | 2017 |

0520

| NCWorks Career Center - Beaufort County | | | | |
|---|------------|---------|--------|--|
| 1502 N. Market Street, Suite A Washington 27889 Beaufort | | | | |
| Phone | (252) 940- | 0900 | | |
| Fax | 252)940- | 5860 | | |
| | Certifie | d | Tier 1 | |
| Certification Status | From | October | 2014 | |
| Status | To: | October | 2016 | |

| Manager | Pam Wilson | pam.wilson@nccommerce.com |
|-------------|-------------|-------------------------------|
| Other | | |
| DWS | Anita Lowe | anita.lowe@nccommerce.com |
| | | |
| Reg. Opera | tions Dir.: | Felicia Setzer |
| OfficePhone | | (828) 466-5535 |
| Email | | felicia.setzer@nccommerce.com |
| | | |
| Analyst(s) | | Brian Lane |

| | · · · · · · · · · · · · · · · · · · · | |
|----|---------------------------------------|----------|
| Μ | 8:30 - 5:00 pm | A, DW, Y |
| Т | 8:30 - 5:00 pm | A, DW, Y |
| W | 8:30 - 5:00 pm | A, DW, Y |
| Th | 8:30 - 5:00 pm | A, DW, Y |
| F | 8:30 - 12 noon | A, DW, Y |
| | | |

Closed from 12 noon to 1 pm for lunch

| Manager | Darone Dancy | darone.dancy@nccommerce.com |
|-------------|--------------|-----------------------------|
| Other | Sharon Tyson | sharon.tyson@nccommerce.com |
| DWS | | |
| | | |
| Reg. Operat | ions Dir.: | Larry Donley |
| OfficePhon | 5 | 252-355-9067 |
| Email | | larry.donley@nccommerce.com |
| Analyst(s) | | Alicia Clark |

| Μ | 8:00 - 5:00 pm | A, DW, Y |
|----|----------------|----------|
| Т | 8:00 - 5:00 pm | A, DW, Y |
| W | 8:00 - 5:00 pm | A, DW, Y |
| Th | 8:00 - 5:00 pm | A, DW, Y |
| F | 8:00 - 5:00 pm | A, DW, Y |

Closes the 2nd Friday of each month at 12 noon

| NCWorks C | | Dert | | | |
|--|---|--------------------------------------|------------------------------|--|---|
| 128 East (Windsor | Granville Stre | eet, PO Box 5 27983 | 70 Bertie | Other DWS | |
| Windsor 27983 Bertie Phone (252) 794-5616 Fax (252) 794-5684 | | Reg. Operations Dir.: OfficePhone | Larry Donley 252-355-9067 | | |
| | Certified | | Tier 2 | Email | larry.donley@nccommerce.com |
| Certification Status | From To: | May May | 2016 | Analyst(s) | Alicia Clark |
| 00 | | | | | |
| NCWorks C | er Mill Road | | - | Manager Gabrilla Ann Garrett Other DWS | gabrilla.garrett@nccommerce.co |
| ACWorks C 401 Merc Elizabetht Phone | er Mill Road | 28337 255 | len County Bladen | Other | gabrilla.garrett@nccommerce.co John Lowery 910-618-5500 |
| ACWorks C 401 Merc Elizabetht Phone | er Mill Road :own (910) 862-32 (910) 862-40 Certified | 28337 255 | - | Other DWS Reg. Operations Dir.: | John Lowery |

| Μ | 8:30 - 5:00 pm | A, DW, Y |
|----|----------------|----------|
| Т | 8:30 - 5:00 pm | A, DW, Y |
| W | 8:30 - 5:00 pm | A, DW, Y |
| Th | 8:30 - 5:00 pm | A, DW, Y |
| F | 8:30 - 5:00 pm | A, DW, Y |
| | | |

Closes the 2nd Friday of each month at 12 noon

| Μ | 8:30 - 5:00 pm | A, DW, Y |
|----|----------------|----------|
| Т | 8:30 - 5:00 pm | A, DW, Y |
| W | 8:30 - 5:00 pm | A, DW, Y |
| Th | 8:30 - 5:00 pm | A, DW, Y |
| F | 8:30 - 5:00 pm | A, DW, Y |
| | | |

M 8:30 - 5:00 pm

W 8:30 - 5:00 pm

Th 8:30 - 5:00 pm

8:30 - 5:00 pm

8:30 - 5:00 pm

Т

F

| Brunswick County Workford | ce Center |
|---------------------------|-----------|
|---------------------------|-----------|

| 5300-7 Main Street | | | | |
|--------------------|--------------|-------|-----------|--|
| Shallotte | 2 | 28470 | Brunswick | |
| Phone | (910) 754-61 | 20 | | |
| Fax | (910) 754-24 | | | |

| | Not Certified |
|---------------|---------------|
| Certification | From |
| Status | To: |

| Manager | Marcell Hatten | marcell.hatten@nccommerce.com |
|--------------|----------------|-------------------------------|
| Other | | |
| DWS | | |
| | | |
| Reg. Operati | ions Dir.: | Karl Zurl |
| OfficePhone | 2 | 910-251-5777 |
| Email | | karl.zurl@nccommerce.com |
| Analyst(s) | | Bridget Stubblefield |

| Page | 4 | of | 42 |
|------|----|----|----|
| IUSC | Ξ. | 01 | |

A, DW, Y

| NCWorks Ca | ireer Cei | nter- Bun | combe Count | Y Manager |
|-------------------------|--------------------------|--------------|-------------|------------------------------|
| | | | | Other |
| 48 Grove S Asheville | treet | 28801 | Buncombe | DWS |
| · | 828) 251-6 828) 232-2 | | | Reg. Operatic OfficePhone |
| Certification | Certified | lune | Tier 1 | Email |
| Status | From To: | June June | 2014 2016 | Analyst(s) |
| | | | | |

| er Rick Elingburg | rick.elingburg@nccommerce.com |
|-------------------|-------------------------------|
| | |
| | |
| erations Dir.: | Tim Mathis |
| hone | 828-286-3042 |
| | tim.mathis@nccommerce.com |
| (s) | Chris Silvers |

| Μ | 8:30 - 5:00 pm | A;DW |
|----|----------------|------|
| Т | 8:30 - 5:00 pm | A;DW |
| W | 8:30 - 5:00 pm | A;DW |
| Th | 8:30 - 5:00 pm | A;DW |
| F | 8:30 - 5:00 pm | A;DW |

| 720 E. Union Street | | | |
|---------------------|--------------|-------|-------|
| Morgan | ton | 28655 | Burke |
| Phone | (828) 438-61 | .61 | |
| Fax | | | |

| | | Certified | | Tier 1 |
|--|---------------|-----------|-------|--------|
| | Certification | From | April | 2015 |
| | Status | To: | April | 2017 |

2800

| NCWorks Career Center - Cabarrus County | | | |
|---|---|----------------------|------------------------|
| Concord Phone | h Street No (704) 786-: (704) 786-: | | Cabarrus |
| Certification Status | Certified From To: | l May November | Tier 1 2014 2016 |

| Manager | Chuck Brown | chuck.brown@nccommerce.com |
|-------------|-------------|-------------------------------|
| Other | | |
| DWS | | |
| | | |
| Reg. Operat | tions Dir.: | Felicia Setzer |
| OfficePhone | e | (828) 466-5535 |
| Email | | felicia.setzer@nccommerce.com |
| Analyst(s) | | Brian Lane |

| | · · · · · · · · · · · · · · · · · · · | |
|----|---------------------------------------|----------|
| Μ | 8:30 - 5:00 pm | A, DW, Y |
| Т | 8:30 - 5:00 pm | A, DW, Y |
| W | 8:30 - 5:00 pm | A, DW, Y |
| Th | 8:30 - 5:00 pm | A, DW, Y |
| F | 8:30 - 12 noon | A, DW, Y |

| Manager | Denisha Torrence-Nesbit | denisha.torrence@rescare.com |
|------------|-------------------------|----------------------------------|
| Other | Vivian Clawson | vivian.clawson@nccommerce.com |
| DWS | | |
| | | |
| Reg. Opera | tions Dir.: | Ronne Grantham |
| OfficePhon | le | 828-853-5328 |
| Email | | veronica.grantham@nccommerce.com |
| Analyst(s) | | Amy Pritchett |
| | | Butch Robinson |

| Μ | 8:00 - 4:30 pm | A, DW, Y |
|----|----------------|----------|
| Т | 8:00 - 4:30 pm | A, DW, Y |
| W | 8:00 - 4:30 pm | |
| Th | 8:00 - 4:30 pm | A, DW, Y |
| F | 8:00 - 4:30 pm | A, DW, Y |

Closes the 2nd Friday of each month at 12 noon

| 0500 | | | |
|--|------------------------|---|---------------------------------------|
| NCWorks Career Cent | er- Caldwell County | Manager Jesse William | is jesse.williams@nccommerce.com |
| 1909 Hickory Blvd, SE Lenoir | 28645 Caldwell | Other DWS Felicia Setzer | felicia.setzer@nccommerce.com |
| Phone (828) 759-468 Fax | | Reg. Operations Dir.: OfficePhone | Felicia Setzer (828) 466-5535 |
| Certified | Tier 1 | Email | felicia.setzer@nccommerce.com |
| Status | February2014August2016 | Analyst(s) | Brian Lane |
| 0406 | | | |
| Carteret Community (School Youth 3505 Arendell Street | College - Out-of- | Manager Perry Harker Other DWS | <u>harkerp@carteret.edu</u> |
| Morehead City Phone (252) 222-620 Fax (252) 222-602 | | Reg. Operations Dir.: OfficePhone | Karl Zurl 910-251-5777 |
| | | Email | karl.zurl@nccommerce.com |
| Certification Status To: | | Analyst(s) | Bridget Stubblefield |
| 6000 NCWorks Career Cent | er - Carteret County | Manager Lindsay Gress | s <u>lindsay.gress@nccommerce.com</u> |
| | | | |

| | - | |
|----|----------------|----------|
| Μ | 8:00 - 4:30 pm | A, DW, Y |
| Т | 8:00 - 7:00 pm | A, DW, Y |
| W | 8:00 - 4:30 pm | A, DW, Y |
| Th | 8:00 - 4:30 pm | A, DW, Y |
| F | 8:00 - 12 noon | A, DW, Y |
| | | |

| 309 Commerce Avenue | | | | |
|---------------------|----------------|-------|----------|--|
| Morehead City | | 28557 | Carteret | |
| Phone | (252) 726-7151 | | | |
| Fax | (252) 726-114 | 41 | | |

| | Certification Status | Certifie | d | Tier 1 |
|--|-------------------------|----------|----------|--------|
| | | From | December | 2014 |
| | | To: | December | 2016 |

| Manager | Lindsay Gress | lindsay.gress@nccommerce.com |
|-------------|---------------|------------------------------|
| Other | | |
| DWS | | |
| | | |
| Reg. Operat | ions Dir.: | Karl Zurl |
| OfficePhone | 2 | 910-251-5777 |
| Email | | karl.zurl@nccommerce.com |
| Analyst(s) | | Bridget Stubblefield |

| Μ | 8:00 - 5:00 pm | Y |
|----|----------------|---|
| Т | 8:00 - 5:00 pm | Y |
| W | 8:00 - 5:00 pm | Y |
| Th | 8:00 - 5:00 pm | Y |
| F | 8:00 - 5:00 pm | Y |

| Μ | 8:00 - 5:00 pm | A;DW |
|----|----------------|------|
| Т | 8:00 - 5:00 pm | A;DW |
| W | 8:00 - 5:00 pm | A;DW |
| Th | 8:00 - 5:00 pm | A;DW |
| F | 8:00 - 5:00 pm | A;DW |

| Caswell County Workforce Center & eLink Youth Program at Piedmont Community | | | | |
|---|------------------------------|--|--|--|
| 331 Piedmont Avenue, PO Box 1150 Yanceyville 27379 Caswell Phone (336) 694-5975 | | | | |
| | 336) 694-597 336) 694-573 | | | |
| Certification Status | From To: | | | |
| Status | To: | | | |

| Manager | Johnny Price | johnny.price@nccommerce.com |
|-----------------------|---------------------------|---------------------------------|
| Other | | |
| DWS | Deon Carter, Youth Progra | |
| | | |
| Reg. Operations Dir.: | | Caroline Brigmon |
| OfficePhone | | 336-932-0393 |
| Email | | caroline.brigmon@nccommerce.com |
| Analyst(s) | | Alan Wagner |
| | | Teresa Boone |

| Μ | 8:00 - 5:00 pm | Y |
|----|----------------|------|
| Т | 8:00 - 5:00 pm | Y |
| W | 8:30 - 5:00 pm | A;DW |
| Th | 8:30 - 5:00 pm | A;DW |
| F | 8:00 - 5:00 pm | Y |

4400

| NCWorks (| Career Cente | er - Cato | awba County |
|--------------------|--------------------|------------------|-------------|
| 403 Con Conover | over Station SE, S | Suite C 28613 | Catawba |
| Phone | (828) 466-5535 | 5 | |
| Fax | | | |

| | Certified | | Tier 1 |
|---------------|-----------|--------|--------|
| Certification | From | August | 2014 |
| Status | To: | August | 2016 |

0601

| NCWorks Career Center - Chatham County | | | | |
|--|----------|----------|---------|--|
| 764 West S Pittsboro | Street | 27312 | Chatham | |
| Phone (| 919) 545 | -8054 | | |
| Fax (| 919) 545 | -8005 | | |
| 0 | Certifie | :d | Tier 2 | |
| Certification Status | From | November | 2016 | |
| | To: | November | 2017 | |

| Manager | Jesse Williams | jesse.williams@nccommerce.com |
|-------------|----------------|-------------------------------|
| Other | | |
| DWS | | |
| | | |
| Reg. Operat | tions Dir.: | Felicia Setzer |
| OfficePhon | е | (828) 466-5535 |
| Email | | felicia.setzer@nccommerce.com |
| Analyst(s) | | Brian Lane |

| - | | | |
|---|---|----------------|----------|
| Ν | Λ | 8:00 - 4:30 pm | A, DW, Y |
| Т | | 8:00 - 4:30 pm | A, DW, Y |
| V | V | 8:00 - 4:30 pm | A, DW, Y |
| Т | ĥ | 8:00 - 4:30 pm | A, DW, Y |
| F | | 8:00 - 12 noon | A, DW, Y |
| | | | |

| Manager | Ashley Voss | avoss263@cccc.edu |
|-------------|-----------------|--------------------------------|
| Other | | |
| DWS | Geraldine Brady | geraldine.brady@nccommerce.com |
| | | |
| Reg. Opera | tions Dir.: | Michelle Muir |
| OfficePhone | | 919-272-5416 |
| Email | | michelle.muir@nccommerce.com |
| Analyst(s) | | Darrin Ballard |
| | | Sarah Stone |

| Μ | 9:00 - 5:00 pm | A, DW, Y |
|----|----------------|----------|
| Т | 9:00 - 5:00 pm | A, DW, Y |
| W | 9:00 - 5:00 pm | A, DW, Y |
| Th | 9:00 - 5:00 pm | A, DW, Y |
| F | 9:00 - 3:00 pm | A,DW,Y |

| NCWorks Career Center - Tri- County | | | | |
|-------------------------------------|--------------|--------|----------|---|
| | Highway 64 W | | Charakaa | |
| Murphy | | 28906 | Cherokee | |
| Phone | (828) 837-74 | 407 | | |
| Fax | (828) 837-55 | 586 | | |
| | | | | |
| | Certified | | Tier 1 | |
| Certificatior Status | From | August | 2014 | |
| Status | To: | August | 2016 | |
| | | | | _ |

| Manager | Pam Dickey | <u>pam.dickey@nccommerce.com</u> |
|--------------|------------|----------------------------------|
| Other | | |
| DWS | | |
| | | |
| Reg. Operati | ons Dir.: | Tim Mathis |
| OfficePhone | | 828-286-3042 |
| Email | | tim.mathis@nccommerce.com |
| Analyst(s) | | Chris Silvers |

| 8:30 - 5:00 pm | A, DW, Y |
|----------------|--|
| 8:30 - 5:00 pm | A;DW |
| 8:30 - 5:00 pm | A, DW, Y |
| 8:30 - 5:00 pm | A, DW, Y |
| 8:30 - 12 noon | A;DW |
| | 8:30 - 5:00 pm 8:30 - 5:00 pm 8:30 - 5:00 pm |

| NCWorks (| Career Co | enter - Cho | wan County |
|------------------------------|------------------|-------------------------------------|-------------------|
| 800 N. C Edenton Phone | | et, Building 3, R 27932 -2195 | oom 300 Chowan |
| Fax | 252-482-2 | 2188 | |
| Certification | Certifie From | ed December | Tier 2 2015 |
| Status | To: | December | 2017 |

| Μ | | |
|----|----------------|----------|
| Т | 8:30 - 5:00 pm | A, DW, Y |
| W | | |
| Th | 8:30 - 5:00 pm | A, DW, Y |
| F | | |

8000

NCWorks Career Center - Cleveland County

| 404 E. N Shelby | larion Street | 28150 | Cleveland |
|--------------------|---------------|-------|-----------|
| Phone | (704) 480-54 | 414 | |
| Fax | (704) 480-5 | 632 | |

| | Certified | | Tier 1 |
|-------------------------|-----------|---------|--------|
| Certification Status | From | April | 2014 |
| Status | To: | October | 2016 |

| Manager | | |
|-----------------------|----------|----------------------------------|
| Other | Ty Eaker | jason.eaker@nccommerce.com |
| DWS | | |
| | | |
| Reg. Operations Dir.: | | Ronne Grantham |
| OfficePhone | 2 | 828-853-5328 |
| Email | | veronica.grantham@nccommerce.com |
| Analyst(s) | | Amy Pritchett |
| | | Butch Robinson |

| Μ | 8:30 - 5:00 pm | A, DW, Y |
|----|----------------|----------|
| Т | 8:30 - 5:00 pm | A, DW, Y |
| W | 8:30 - 5:00 pm | A, DW, Y |
| Th | 8:30 - 5:00 pm | A, DW, Y |
| F | 8:30 - 5:00 pm | A, DW, Y |

Closes 1 pm 2nd Friday each month beginning January 18, 2018

| NCWorks Ca | reer Ce | enter - Colu | mbus County |
|-------------------------|-----------|-------------------------|-----------------------------|
| Whiteville | | vy, SE Comm. (28472 | College, Bldg A Columbus |
| Phone (| 910) 642- | -7141 | |
| Fax (| 910) 642- | -5658 | |
| | | | |
| | Certifie | d | Tier 1 |
| Certification Status | From | December | 2014 |
| 510105 | To: | December | 2016 |
| | | | |

| Manager | Bettina Cox | bettina087607@sccnc.edu |
|--------------|-------------|--------------------------------|
| Other | | |
| DWS | | charles.garrett@nccommerce.com |
| | | |
| Reg. Operati | ons Dir.: | John Lowery |
| OfficePhone | | 910-618-5500 |
| Email | | john.lowery@nccommerce.com |
| Analyst(s) | | Lisa Slayton |

| Μ | 8:00 - 5:00 pm | A, DW, Y |
|----|----------------|----------|
| Т | 8:00 - 5:00 pm | A, DW, Y |
| W | 8:00 - 5:00 pm | A, DW, Y |
| Th | 8:00 - 5:00 pm | A, DW, Y |
| F | 8:00 - 3:00 pm | A, DW, Y |

Summer hours are as follows:

M-Th - 8am-5:30pm Fri - 8am-12noon

In August, they go back to regular hours of M-Th: 8am-5pm and Fri: 8am-3pm

| Μ | 8:00 - 5:00 pm | Y |
|----|----------------|---|
| Т | 8:00 - 5:00 pm | Y |
| W | 8:00 - 5:00 pm | Y |
| Th | 8:00 - 5:00 pm | Y |
| F | 8:00 - 5:00 pm | Y |
| | | |

0404

| Craven | County | Schools - | In-School | youth |
|--------|--------|-----------|-----------|-------|
|--------|--------|-----------|-----------|-------|

| 3600 Tr New Be | ent Road rn | 28562 | Craven |
|-------------------|----------------|-------|--------|
| Phone | (252) 514-63 | 322 | |
| Fax | (252) 514-63 | 370 | |

| Certification Status | From | | |
|-------------------------|------|--|--|
| | To: | | |

6500

| NCWorks Ca | reer C | enter - Crav | en County |
|-------------------------|----------|--------------|-----------|
| 2836 Neus New Bern | e Boulev | ard 28560 | Craven |
| Phone (| 252) 514 | -4828 | |
| Fax (| 252) 514 | -4840 | |
| | Certifie | ed | Tier 1 |
| Certification Status | From | September | 2014 |
| Stutus | To: | September | 2016 |

| Manager | Chris Bailey | chris.bailey@craven.k12.nc.us |
|------------|--------------|-------------------------------|
| Other | | |
| DWS | | |
| | | |
| Reg. Opera | tions Dir.: | Karl Zurl |
| OfficePhon | e | 910-251-5777 |
| Email | | karl.zurl@nccommerce.com |
| Analyst(s) | | Bridget Stubblefield |

| Manager Joy | Hudson | joy.hudson@nccommerce.com |
|-----------------|--------|---------------------------|
| Other | | |
| DWS | | |
| | | |
| Reg. Operations | Dir.: | Karl Zurl |
| OfficePhone | | 910-251-5777 |
| Email | | karl.zurl@nccommerce.com |
| Analyst(s) | | Bridget Stubblefield |

| Μ | 8:00 - 4:30 pm | A, DW, Y |
|----|----------------|----------|
| Т | 8:00 - 4:30 pm | A, DW, Y |
| W | 8:00 - 4:30 pm | A, DW, Y |
| Th | 8:00 - 4:30 pm | A, DW, Y |
| F | 8:00 - 4:30 pm | A, DW, Y |

| NCWorks Career Center - Cumberland |
|------------------------------------|
| County |
| 414 Ray Avenue |

| Fayette | ville | 28301 | Cumberland |
|---------|--------------|-------|------------|
| Phone | (910) 486-10 | 10 | |
| Fax | (910) 484-51 | 55 | |

| | Certified | | Tier 1 | |
|---------------|-----------|---------|--------|--|
| Certification | From | January | 2016 | |
| Status | To: | January | 2018 | |

| Manager | Laura Webster | lwebster@co.cumberland.nc.us |
|--------------|-------------------|---------------------------------|
| Other | Carl Mitchell | |
| DWS | Josephus Thompson | josephus.thompson@nccommerce.co |
| | | |
| Reg. Operati | ons Dir.: | John Lowery |
| OfficePhone | | 910-618-5500 |
| Email | | john.lowery@nccommerce.com |
| Analyst(s) | | Lisa Slayton |

| Μ | 8:00 - 4:30 pm | A, DW, Y |
|----|----------------|----------|
| Т | 8:00 - 4:30 pm | A, DW, Y |
| W | 8:00 - 4:30 pm | A, DW, Y |
| Th | 8:00 - 1:0 pm | A, DW, Y |
| F | 8:00 - 4:30 pm | A, DW, Y |

0594

| Currituck County DSS | | | | |
|-------------------------|--------------|------------|-----------|--|
| 2793 Carat Currituck | oke Highway | , 27929 | Currituck | |
| Phone (| 252) 232-308 | 33 | | |
| Fax | | | | |
| Certification | From | | | |
| Status | To: | | | |

0596

College of the Albemarle, Dare Campus

| 132 Russ | ell Twiford Roa | d | |
|----------|-----------------|-------|------|
| Manteo | | 27954 | Dare |
| Phone | (252) 312-685 | 2 | |
| Fax | | | |

| Certification Status | From |
|-------------------------|------|
| Status | То: |

| Manager | | |
|----------------|-------------------|-----------------------------|
| Other | Kisha Kallicharan | amiller@accog.org |
| DWS | | |
| | | |
| Reg. Operation | ons Dir.: | Larry Donley |
| OfficePhone | | 252-355-9067 |
| Email | | larry.donley@nccommerce.com |
| Analyst(s) | | Alicia Clark |

| Μ | | |
|----|----------------|---|
| Т | | |
| W | 8:30 - 5:00 pm | Y |
| Th | | |
| F | | |

Every other Wednesday - By appointment only

| Manager | | |
|------------|-----------------|-----------------------------|
| Other | Rachel Clipston | amiller@accog.org |
| DWS | | |
| | | |
| Reg. Opera | tions Dir.: | Larry Donley |
| OfficePhon | le | 252-355-9067 |
| Email | | larry.donley@nccommerce.com |
| Analyst(s) | | Alicia Clark |

| Μ | | |
|----|----------------|----------|
| Т | | |
| W | 8:30 - 5:00 pm | A, DW, Y |
| Th | | |
| F | | |

Every other Wednesday - By appointment only

Page 10 of 42

| NCWorks Career Center - Dare County | | | | |
|--|--------------------------|--------------------|------------------------|--|
| 2522 South Croatan Highway, PO Box 757 Nags Head 27959 Dare Phone (252) 480-3500 Fax 252-480-0121 | | | | |
| Certification Status | Certified From To: | October October | Tier 1 2014 2016 | |

| Manager | Kenny Kee | kenny.kee@nccommerce.com |
|--------------|-----------|--------------------------------|
| Other | | liz.gottermeyer@nccommerce.com |
| DWS | | |
| | | |
| Reg. Operati | ons Dir.: | Larry Donley |
| OfficePhone | | 252-355-9067 |
| Email | | larry.donley@nccommerce.com |
| Analyst(s) | | Alicia Clark |

| Μ | 8:30 - 5:00 pm | A, DW, Y |
|----|----------------|----------|
| Т | 8:30 - 5:00 pm | A;DW;Y |
| W | 8:30 - 5:00 pm | |
| Th | 8:30 - 5:00 pm | A;DW;Y |
| F | 8:30 - 5:00 pm | A;DW |

NCWorks Career Center - Davidson County Thomasville

| 211 West Colonial Drive, Suite 119 | | | |
|------------------------------------|--------------|-----|----------|
| Thomasville 27360 Davidsor | | | Davidson |
| Phone | (336) 474-26 | 555 | |
| Fax | (336) 474-10 |)15 | |

| | | Certified | | Tier 1 |
|-------------------------|------|-----------|------|--------|
| Certification Status | From | July | 2014 | |
| | To: | July | 2016 | |

0391

| NCWorks Career Center - Davidson County Lexington | | | | |
|---|--------------|------|--------|--|
| 220 East First Avenue Extension, Suite 10 Lexington 27292 Davidson | | | | |
| Phone (| 336) 242-206 | 65 | | |
| Fax (| 336) 236-752 | 22 | | |
| | | | | |
| | Certified | | Tier 1 | |
| Certification Status | From | June | 2015 | |
| Stutus | To: | June | 2017 | |

Analyst(s)

| Manager | Cris Waugh | crystal.waugh@davidsoncountync.gov |
|-------------|---------------|------------------------------------|
| Other | | |
| DWS | Edwin Davis | edwin.davis@nccommerce.com |
| Reg. Operat | tions Dir.: | Caroline Brigmon |
| OfficePhone | | 336-932-0393 |
| Email | | caroline.brigmon@nccommerce.com |
| Analyst(s) | | Alan Wagner |
| | | Teresa Boone |
| | | |
| Manager | Beth Mitchell | beth.mitchell@davidsoncountync.com |
| Other | | |
| DWS | Edwin Davis | edwin.davis@nccommerce.com |
| | | |
| Reg. Operat | | Caroline Brigmon |
| OfficePhone | e | 336-932-0393 |
| Email | | caroline.brigmon@nccommerce.com |

Alan Wagner Teresa Boone

| Μ | 8:00 - 5:00 pm | A, DW, Y |
|----|----------------|----------|
| Т | 8:00 - 5:00 pm | A, DW, Y |
| W | 8:00 - 5:00 pm | A, DW, Y |
| Th | 8:00 - 5:00 pm | A, DW, Y |
| F | 8:00 - 5:00 pm | A, DW, Y |

| Μ | 8:00 - 5:00 pm | A, DW, Y |
|----|----------------|----------|
| Т | 8:00 - 5:00 pm | A, DW, Y |
| W | 8:00 - 5:00 pm | A, DW, Y |
| Th | 8:00 - 5:00 pm | A, DW, Y |
| F | 8:00 - 5:00 pm | A, DW, Y |

| Davie County eLink Davidson County College 1205 Salisbury Road Mocksville 27028 Phone (336) 751-2885 Fax Certification Status From To: | Manager Deon Carter Other Traci Crisco DWS Reg. Operations Dir.: OfficePhone Email Analyst(s) | dlcarter@goodwillnwnc.org tcrisco1205@davidsonccc.edu Caroline Brigmon 336-932-0393 caroline.brigmon@nccommerce.com Alan Wagner | M 8:00 - 5:00 pm Y T 8:00 - 5:00 pm Y W 8:00 - 5:00 pm Y Th 8:00 - 5:00 pm Y F 8:00 - 5:00 pm Y |
|--|---|--|--|
| 5800 | | Teresa Boone | |
| Saud Davie County Library 371 North Main Street Mocksville 27028 Davie Phone (336) 753-6030 Fax (336) 751-1370 Certification Status From To: | Manager Other Jackie Williams DWS Sandra Rosario Reg. Operations Dir.: OfficePhone Email Analyst(s) | jacqueline.williams@rescare.com sandra.rosario@nccommerce.com Caroline Brigmon 336-932-0393 caroline.brigmon@nccommerce.com Alan Wagner Teresa Boone | M |
| 4700 NCWorks Career Center - Duplin County 192 Magnolia Extension, PO Box 459 Kenansville 28349 Duplin Phone (910) 296-1478 Fax (910) 296-1005 <u>Certified Tier 1</u> | Manager Jennifer Polk Other DWS Reg. Operations Dir.: OfficePhone Email | jennifer.polk@nccommerce.com Karl Zurl 910-251-5777 karl.zurl@nccommerce.com | M 8:30 - 5:00 pm A, DW, Y T 8:30 - 5:00 pm A, DW, Y W 8:30 - 5:00 pm A, DW, Y Th 8:30 - 5:00 pm A, DW, Y F 8:30 - 5:00 pm A, DW, Y |
| Certification StatusFromAugust2014To:August2016 | Analyst(s) | Bridget Stubblefield | |

| NCWorks Co | NCWorks Career Center - Durham County | | |
|-------------------------|---|----------------------|------------------------|
| | h Briggs Av (919) 560- (919) 560- | 27703 6880 | Durham |
| Certification Status | Certified From To: | february February | Tier 1 2015 2017 |

| Manager | Anthony Rogers | arogers@edsisolutions.com |
|-------------|----------------|------------------------------|
| Other | | |
| DWS | Chip Wood | chip.wood@nccommerce.com |
| | | |
| Reg. Opera | tions Dir.: | Michelle Muir |
| OfficePhone | | 919-272-5416 |
| Email | | michelle.muir@nccommerce.com |
| Analyst(s) | | Darrin Ballard |
| | | Sarah Stone |

| | 1 | |
|----|----------------|----------|
| Μ | 8:30 - 5:00 pm | A;DW |
| Т | 8:30 - 5:00 pm | A;DW |
| W | 8:30 - 5:00 pm | A, DW, Y |
| Th | 8:30 - 5:00 pm | A;DW |
| F | 8:30 - 1:00 pm | A;DW |

NCWorks Career Center- Edgecombe/Nash County

| 110 Fou | | | |
|----------------------|-----|-------|----------|
| Battlebo | oro | 27809 | Edgecomb |
| Phone (252) 977-3306 | | | |
| Fax (252) 446-2720 | | | |

Manager

Reg. Operations Dir.:

OfficePhone

Other

DWS

Email

Analyst(s)

Deon Carter

Cherese Smith

| | Certification Status | Certified Tier 1 | | Tier 1 |
|--|-------------------------|------------------|------|--------|
| | | From | July | 2014 |
| | | To: | July | 2016 |

0471

Forsyth County eLink Goodwill Industries of Northwest North Carolina 2701 University Parkway Winston Salem 27105 Forsyth Phone (336) 724-3625 Fax Certification From Status

To:

| Manager | Diane C. Thomas | diane.c.thomas@nccommerce.com |
|--------------|-----------------|-------------------------------|
| Other | | |
| DWS | | |
| | | |
| Reg. Operati | ons Dir.: | Michelle Muir |
| OfficePhone | | 919-272-5416 |
| Email | | michelle.muir@nccommerce.com |
| Analyst(s) | | Darrin Ballard |
| | | Sarah Stone |

Caroline Brigmon

caroline.brigmon@nccommerce.com

336-932-0393

Alan Wagner

Teresa Boone

| 919-272-5416 | | |
|--------------------------------|---|---|
| michelle.muir@nccommerce.com | | |
| Darrin Ballard | | |
| Sarah Stone | | |
| | | |
| dlcarter@goodwillnwnc.org | Μ | 8 |
| | Т | 8 |
| <u>csmith@goodwillnwnc.org</u> | W | 8 |
| | | |

| Μ | 8:30 - 5:00 pm | A, DW, Y |
|----|----------------|----------|
| Т | 8:30 - 5:00 pm | A, DW, Y |
| W | 8:30 - 5:00 pm | A, DW, Y |
| Th | 8:30 - 5:00 pm | A, DW, Y |
| F | 8:30 - 5:00 pm | A, DW, Y |

Closes the last Thursday of each month at 12 noon

| M | 8:00 - 5:00 pm | Y |
|----|----------------|---|
| Т | 8:00 - 5:00 pm | Y |
| W | 8:00 - 5:00 pm | Y |
| Th | 8:00 - 5:00 pm | Y |
| F | 8:00 - 5:00 pm | Y |

| 5000 | | | |
|--|--|---|---|
| A50 West Hanes Mill Road, Suite 101 Winston Salem 27105 Forsyth Phone (336) 776-6720 Fax (336) 776-6816 | Manager Tony Mizzi Other Lisa Lamb DWS Reg. Operations Dir.: OfficePhone | tony.mizzi@nccommerce.com lisa.lamb@nccommerce.com Caroline Brigmon 336-932-0393 | M 8:30 - 5:00 pm A;DW T 8:30 - 5:00 pm A;DW W 8:30 - 5:00 pm A;DW Th 8:30 - 5:00 pm A;DW F 8:30 - 12:00 noon A;DW |
| Certification StatusCertifiedTier 1FromDecember2015To:December2017 | Email Analyst(s) | <u>caroline.brigmon@nccommerce.com</u> Alan Wagner Teresa Boone | |
| 5400 | | | |
| Franklin County Workforce Center 101 N. Main Street, Ste. 201 Louisburg 27549 Franklin | Manager Monica Satterwhite Other Patricia Yarborough DWS | monica.satterwhite@nccommerce.com patricia.yarborough@nccommerce.com | M 8:30 - 5:00 pm A;DW T 8:30 - 5:00 pm A;DW W 8:30 - 5:00 pm A;DW Th 8:30 - 5:00 pm A;DW F 8:30 - 5:00 pm A;DW |
| Phone (919) 340-2279 Fax (919) 340-2570 | Reg. Operations Dir.: OfficePhone Email | Michelle Muir 919-272-5416 <u>michelle.muir@nccommerce.com</u> | Closed from 12 noon - 1 pm for lunch |
| Status From To: | Analyst(s) | Darrin Ballard Sarah Stone | |
| 0410 | | | |
| Gaston County Workforce Center - East 7220 Wilkinson Blvd, Harney Hall, Room 212 Belmont 28012 Gaston | Manager Christen Robinson Other Sally Heglar DWS | christen.robinson@ncworks.gov sally.heglar@gastongov.com | M 8:00 - 12:00 pm A;DW T 8:00 - 12:00 pm A;DW W 8:00 - 12:00 pm A;DW Th 8:00 - 12:00 pm A;DW F |
| Phone (704) 266-5005 Fax (704) 266-5008 | Reg. Operations Dir.: OfficePhone | Ronne Grantham 828-853-5328 | |
| Certification Status To: | Email Analyst(s) | veronica.grantham@nccommerce.com Amy Pritchett | |
| | | Butch Robinson | |

| Gaston County Resource & Career Center - | Manager Christen Rok | binson <u>christen.robinson@ncworks.gov</u> |
|---|--------------------------------------|---|
| DHHS Social Services | Other Sally Heglar | sally.heglar@gastongov.com |
| 330 Dr. Martin Luther King Jr. Way Gastonia 28052 Gaston | DWS | |
| Phone (704) 862-7964 Fax (704) 862-6757 | Reg. Operations Dir.: OfficePhone | Ronne Grantham 828-853-5328 |
| | Email | veronica.grantham@nccommerce.com |
| Certification From | Analyst(s) | Amy Pritchett |
| To: | | Butch Robinson |
| 000 | | |
| NCWorks Career Center - Gaston County | Manager Claudette Ar | rgabrite <u>claudette.argabrite@nccommerce.co</u> |

| | 1 | |
|----|----------------|------|
| Μ | 8:00 - 5:00 pm | A;DW |
| Т | 8:00 - 5:00 pm | A;DW |
| W | 8:00 - 5:00 pm | A;DW |
| Th | 8:00 - 5:00 pm | A;DW |
| F | 8:00 - 1:00 pm | A;DW |

| 1391 Be | essemer City Road | |
|---------|-------------------|--------|
| Gastoni | a 28052 | Gastor |
| Phone | (704) 853-5328 | |
| Fax | (704) 853-5303 | |

| | | Certified | | Tier 1 |
|--|-------------------------|-----------|----------|--------|
| | Certification Status | From | December | 2014 |
| | | To: | December | 2016 |

0593

| Gates Coun | | | |
|--|-------------|-------|-------|
| 122 Main : Gatesville Phone (Fax | | 27938 | Gates |
| Certification Status | From To: | | |

| | | Butch Robinson | | | |
|--------------------------|---------------------|------------------------------------|------------------------|----------------------------------|------------------|
| Manager | Claudette Argabrite | claudette.argabrite@nccommerce.com | M | 8:00 - 4:30 pm 8:00 - 4:30 pm | A, DW, A, DW, |
| Other DWS | | | W Th | 8:00 - 4:30 pm 8:00 - 4:30 pm | A, DW, A, DW, |
| 5110 | | | F | 8:00 - 1:00 pm | A, DW, |
| Reg. Opera OfficePhon | | Ronne Grantham 828-853-5328 | | | |
| Email | | veronica.grantham@nccommerce.com | | | |
| Analyst(s) | | Amy Pritchett | | | |
| | | Butch Robinson | | | |
| Manager Other DWS | Annette Barnes | abarnes@accog.org | M T W Th F | 8:30 - 5:00 pm 8:30 - 5:00 pm | Y A, DW |
| Reg. Opera | tions Dir.: | Larry Donley | | | |
| OfficePhon | е | 252-355-9067 | | | |
| Email | | larry.donley@nccommerce.com | | | |

Analyst(s)

Alicia Clark

| Μ | 8:00 - 4:30 pm | A, DW, Y |
|----|----------------|------------|
| Т | 8:00 - 4:30 pm | A, DW, Y |
| W | 8:00 - 4:30 pm | A, DW, Y |
| Th | 8:00 - 4:30 pm | A, DW, Y |
| F | 8:00 - 1:00 pm | A, DW, Y |
| | 2.222 1.00 p.m | , = •••, • |

| M | | |
|----|----------------|-------|
| Т | 8:30 - 5:00 pm | Y |
| W | 8:30 - 5:00 pm | A, DW |
| Th | | |
| F | | |

| Graham County Workforce Center 145 Moose Branch Road Robbinsville 28771 Graham Phone (828) 837-7407 Fax Certification Status From To: | Manager Pam Dickey Other DWS Reg. Operations Dir.: OfficePhone Email Analyst(s) | pam.dickey@nccommerce.com Tim Mathis 828-286-3042 tim.mathis@nccommerce.com Chris Silvers | M A;DW T |
|--|---|---|--|
| 6900 NCWorks Career Center - Granville County 111 Hilltop Village Oxford 27565 Granville Phone (919) 693-2686 Fax Certification Status Certified Tier 1 From April 2014 To: November 2016 | Manager Monica Satterwhite Other DWS Reg. Operations Dir.: OfficePhone Email Analyst(s) | monica.satterwhite@nccommerce.com Michelle Muir 919-272-5416 michelle.muir@nccommerce.com Darrin Ballard Sarah Stone | M 8:30 - 5:00 pm A;DW T 8:30 - 5:00 pm A;DW W 8:30 - 5:00 pm A;DW Th 8:30 - 5:00 pm A;DW F 8:30 - 5:00 pm A;DW |
| 0401 Greene County Workforce Center 818 Highway 91 North Snow Hill 28580 Greene Phone (252) 747-3434 Fax (252) 747-3839 Certification Status From To: | Manager Ika Grant Other DWS Reg. Operations Dir.: OfficePhone Email Analyst(s) | idgrant63@lenoircc.edu Karl Zurl 910-251-5777 karl.zurl@nccommerce.com Bridget Stubblefield | M 8:30 - 5:00 pm A;DW T |

| NCWorks Career Center- Guilford County | | | |
|--|-------------------------------|--|---|
| 2002 1100 | | view Drive 27406 | Guilford |
| Phone | (336) 297-9 |)444 | |
| Fax | (336) 315-4 | 1945 | |
| | | | |
| | Certified | | Tier 1 |
| - | From | March | 2015 |
| us | To: | March | 2017 |
| | 2301 Wes Greensbo Phone | 2301 West Meadowy Greensboro Phone (336) 297-9 Fax (336) 315-4 Fax (336) 315-4 Certified ification us | 2301 West Meadowview Drive Greensboro 27406 Phone (336) 297-9444 Fax (336) 315-4945 Certified From March us |

| Manager | Calvin Bell | charles.diggs@nccommerce.com |
|--------------|-------------|---------------------------------|
| Other | | |
| DWS | | calvin.bell@nccommerce.com |
| | | |
| Reg. Operati | ions Dir.: | Caroline Brigmon |
| OfficePhone | 1 | 336-932-0393 |
| Email | | caroline.brigmon@nccommerce.com |
| Analyst(s) | | Alan Wagner |
| | | Teresa Boone |

| Μ | 8:30 - 5:00 pm | A, DW, Y |
|----|----------------|----------|
| Т | 8:30 - 5:00 pm | A, DW, Y |
| W | 8:30 - 7:00 pm | A, DW, Y |
| Th | 8:30 - 5:00 pm | A, DW, Y |
| F | 8:30 - 2:00 pm | A, DW, Y |

| Μ | 8:30 - 5:00 pm | A, DW, Y |
|----|----------------|----------|
| Т | 8:30 - 5:00 pm | A, DW, Y |
| W | 8:30 - 7:00 pm | A, DW, Y |
| Th | 8:30 - 5:00 pm | A, DW, Y |
| F | 8:30 - 2:00 pm | A, DW, Y |

Dec. 6 - 29 M-Th 8:30 am - 5 pm; F 8:30 am - 2 pm

| Μ | 8:30 - 5:00 pm | A;DW |
|----|----------------|------|
| Т | 8:30 - 5:00 pm | A;DW |
| W | 8:30 - 5:00 pm | A;DW |
| Th | 8:30 - 5:00 pm | A;DW |
| F | 8:30 - 5:00 pm | A;DW |

Closes the last Thursday of each month at 12 noon

NCWorks Career Center- High Point

| 607 Idol High Poi | | 27262 | Guilford |
|----------------------|-------------|-------|----------|
| Phone | (336) 882-4 | 141 | |
| Fax | (336) 882-4 | 142 | |

| | Certified | | Tier 1 |
|---------------|-----------|------|--------|
| Certification | From | July | 2014 |
| Status | To: | June | 2016 |

7400

| NCWorks Career Center - Halifax/Northampton County | | | | | |
|--|-------|--|--|--|--|
| 1620 East 10th Street, Suite 101 Roanoke Rapids 27870 Hal | lifax | | | | |
| Phone (252) 537-4188 | | | | | |
| Fax (252) 535-7943 | | | | | |
| | | | | | |
| | ier 1 | | | | |
| | 015 | | | | |
| Status To: April 20 | 017 | | | | |

| Manager | Matt Fowler | matthew.fowler@ncworks.gov |
|------------|----------------|---------------------------------|
| Other | | |
| DWS | Ikel Williams | ikel.williams@nccommerce |
| | | |
| Reg. Opera | tions Dir.: | Caroline Brigmon |
| OfficePhon | e | 336-932-0393 |
| Email | | caroline.brigmon@nccommerce.com |
| Analyst(s) | | Alan Wagner |
| | | Teresa Boone |
| | | |
| Manager | Taylor Hawkins | taylor.hawkins@nccommerce.com |
| Other | | lsmith142@halifaxcc.edu |
| DWS | | |

| Other | lsmith142@halifaxcc.edu |
|-----------------------|-----------------------------|
| DWS | |
| | |
| Reg. Operations Dir.: | Larry Donley |
| OfficePhone | 252-355-9067 |
| Email | larry.donley@nccommerce.com |
| Analyst(s) | Alicia Clark |

| 2900 | | | |
|--|---|---|--|
| Harnett County Career Center Affiliate - Dunn | Manager Other | | M 8:30 - 5:00 pm T 8:30 - 5:00 pm W 8:30 - 5:00 pm |
| 214 W. Edgerton Street Dunn 28334 Harnett | DWS Dave Taylor | | W 8:30 - 5:00 pm Th 8:30 - 5:00 pm F 8:30 - 5:00 pm |
| Phone(910) 891-2915Fax(910) 891-2918 | Reg. Operations Dir.: OfficePhone | Michelle Muir 919-272-5416 | |
| Not Certified Certification | Email | michelle.muir@nccommerce.com | |
| Status To: | Analyst(s) | Darrin Ballard Sarah Stone | |
| 00 | | | |
| Harnett County Workforce Center - Lillington 1137 East Cornelius Harnett Boulevard | Manager Charlotte Leach Other DWS | <u>cleach@harnett.org</u> | M 8:00 - 5:00 pm A, DW, Y T 8:00 - 5:00 pm A, DW, Y W 8:00 - 5:00 pm A, DW, Y Th 8:00 - 5:00 pm A, DW, Y |
| Lillington 27546 Harnett Phone (910) 814-4042 Fax (910) 814-4046 | Reg. Operations Dir.: OfficePhone | Michelle Muir 919-272-5416 | F 7:00 - 3:30 pm A, DW, Y |
| Certification Status | Email Analyst(s) | michelle.muir@nccommerce.com Darrin Ballard | |
| To: | | Sarah Stone | |
| 00 NCWorks Career Center- Haywood County 1170 North Main Street Waynesville 28786 Haywood | Manager Dale West Other Lisa Morris DWS | <u>dale.west@nccommerce.com</u> lisa.morris@nccommerce.com | M 8:30 - 5:00 pm A, DW, Y T 8:30 - 5:00 pm A, DW, Y W 8:30 - 5:00 pm A, DW, Y Th 8:30 - 5:00 pm A, DW, Y F 8:30 - 12 noon A, DW, Y |
| Phone (828) 456-6061 Fax (828) 452-1430 | Reg. Operations Dir.: OfficePhone | Tim Mathis 828-286-3042 | |
| CertifiedTier 1CertificationFromFebruary2014 | Email | tim.mathis@nccommerce.com | |
| Status To: August 2016 | Analyst(s) | Chris Silvers | |

| NCWorks Career Center- Henderson County | | | | |
|--|-----------------------|-------------|------------------------|-----------------------|
| | 180 West Flat Rock | | ive, BRCC, CE 28731 | Building Henderson |
| | Phone | (828) 694-1 | 755 | |
| | Fax | (828) 694-1 | 698 | |
| | | Certified | | Tier 1 |
| Certification Status | | From | February | 2015 |
| | | To: | February | 2017 |

| Manager | Scott Adams | sd_adams@blueridge.edu |
|--------------|-------------|---------------------------|
| Other | | |
| DWS | | |
| | | |
| Reg. Operati | ons Dir.: | Tim Mathis |
| OfficePhone | | 828-286-3042 |
| Email | | tim.mathis@nccommerce.com |
| Analyst(s) | | Chris Silvers |

| Μ | 8:00 - 6:00 pm | A;DW |
|----|----------------|------|
| Т | 8:00 - 6:00 pm | A;DW |
| W | 8:00 - 6:00 pm | A;DW |
| Th | 8:00 - 6:00 pm | A;DW |
| F | 8:00 - 4:30 pm | A;DW |

1400

| 109 Community College Road, Bldg B, Rm 135 | | | |
|--|--|-------|----------|
| Ahoskie | | 27910 | Hertford |
| Phone (252) 862-1257 | | | |
| Fax (252) 862-1270 | | | |

| | Certified | | Tier 1 |
|---------------|-----------|-------|--------|
| Certification | From | March | 2015 |
| Status | To: | March | 2017 |

7100

| NCWorks Career Center - Hoke County | | | |
|---------------------------------------|------------------------|----------|--------|
| 304 Birch Street Raeford 28376 Hok | | | Hoke |
| Phone | (910) 875 | -5059 | |
| Fax | (910) 875 [.] | -2125 | |
| | | | |
| Contificantion | Certifie | d | Tier 1 |
| Certification | From | November | 2014 |
| Status | To: | November | 2016 |

| Manager | Ja'Queta Pugh-Stevenson | lchoggard7059@roanikechowan.edu | |
|-----------------------|-------------------------|---------------------------------|--|
| Other | | | |
| DWS | Tamara Cumbo | tamara.cumbo@nccommerce.com | |
| | | | |
| Reg. Operations Dir.: | | Larry Donley | |
| OfficePhone | | 252-355-9067 | |
| Email | | larry.donley@nccommerce.com | |
| Analyst(s) | | Alicia Clark | |

| Μ | 8:00 - 5:00 pm | A, DW, Y |
|----|----------------|----------|
| Т | 8:00 - 5:00 pm | A, DW, Y |
| W | 8:00 - 5:00 pm | A, DW, Y |
| Th | 8:00 - 5:00 pm | A, DW, Y |
| F | 8:00 - 5:00 pm | A, DW, Y |
| | | |

Closes the 2nd Friday of each month at 12 noon

| Manager | Regina Smalls | regina.smalls@nccommerce.com | М |
|-----------------------|---------------|------------------------------|----|
| Other | | | W |
| DWS | | | Th |
| | | | |
| Reg. Operations Dir.: | | John Lowery | |
| OfficePhone | | 910-618-5500 | |
| Email | | john.lowery@nccommerce.com | |
| Analyst(s) | | Lisa Slayton | |

| Μ | 8:30 - 5:00 pm | A;DW |
|----|----------------|------|
| Т | 8:30 - 5:00 pm | A;DW |
| W | 8:30 - 5:00 pm | A;DW |
| Th | 8:30 - 5:00 pm | A;DW |
| F | 8:30 - 5:00 pm | A;DW |

Closes from 12:30 - 1:30 for lunch

| 999 | | | | | | | |
|--|-------------------|---|------------------------|--|---------------------------------------|--|-------------------|
| Hyde County Government Building 30 Oyster Creek Road Swan Quarter 27885 Hyde Phone (252) 312-6859 Fax Certification From Status From To: | | Manager Other DWS | Phillip Holloway | pholloway@accog.org | M T W Th F | | |
| | | Reg. Opera OfficePhon Email Analyst(s) | | Larry Donley 252-355-9067 <u>larry.donley@nccommerce.com</u> Alicia Clark | | | |
| 361 NCWorks Ca County/Mod | reer Cen | ter - Irea | lell | Manager | Sylvia Jones | sylvia.jones@rescare.com | M T |
| 532 Patterson Avenue, Suite 170 Mooresville 28115 Iredell Phone (704) 360-8067 Fax (704) 360-8098 | | Other DWS Reg. Opera OfficePhon | | kenneth.mccoy@rescare.com Ronne Grantham 828-853-5328 | W Th F | | |
| Certification Status | Certified From | July | Tier 1 2015 2017 | Email Analyst(s) | | veronica.grantham@nccommerce.com Amy Pritchett | |
| 100 NCWorks Ca County/Stat 133 Island | esville | | lell | Manager Other DWS | Karen Adams McIntosh Mary Haigwood | Butch Robinson Karen.adams@nccommerce.com mary.haigwood@nccommerce.com | M T W Th |
| Statesville 28625 Iredell Phone (704) 878-4241 Fax (704) 878-3278 | | Reg. Opera OfficePhon Email | | Ronne Grantham 828-853-5328 | F | | |
| Certification Status | Certified From | July | Tier 1 2014 | Email Analyst(s) | | veronica.grantham@nccommerce.com Amy Pritchett | |

| Μ | 8:00 - 4:30 pm | A, DW, Y |
|----|----------------|----------|
| Т | 8:00 - 4:30 pm | A;DW;Y |
| W | 8:00 - 4:30 pm | A;DW;Y |
| Th | 8:00 - 4:30 pm | A;DW;Y |
| F | 8:00 - 4:30 pm | A;DW;Y |

Closes the 3rd Friday of each month at 12 noon

| Μ | 8:00 - 4:30 pm | A,DW,Y |
|----|----------------|--------|
| Т | 8:00 - 4:30 pm | A,DW,Y |
| W | 8:00 - 4:30 pm | A,DW,Y |
| Th | 8:00 - 4:30 pm | A,DW,Y |
| F | 8:00 - 4:30 pm | A,DW,Y |
| | | |

Closes the 2nd Friday of each month at 12 noon

| 8500 | | |
|---|--------------------------------------|---------------------------------|
| NCWorks Career Center- Jackson County | Manager Dale West | dale.west@nccommerce.com |
| 26 Ridgeway Street, Suite 2, PO Box 1014 Sylva 28779 Jackson | Other DWS | |
| Phone (828) 586-4063 Fax (828) 586-3041 | Reg. Operations Dir.: OfficePhone | Tim Mathis 828-286-3042 |
| Certified Tier 2 Certification From December 2015 | Email | tim.mathis@nccommerce.com |
| StatusFromDecember2015To:December2017 | Analyst(s) | Chris Silvers |
| 0340 | | |
| Capital Area Young Adult Career Center - JCI | Manager Taylor Kirks Other | taylor.kirks@jcindustries.com |
| 912 N. Brightleaf Blvd. | DWS | |
| Smithfield 27577 Johnston Phone (919) 934-1029 Fax (919) 934-1619 | Reg. Operations Dir.: OfficePhone | Michelle Muir 919-272-5416 |
| Not Certified | Email | michelle.muir@nccommerce.com |
| Certification Status To: | Analyst(s) | Darrin Ballard Sarah Stone |
| 8200 | | |
| NCWorks Career Center - Johnston County | Manager Sonja Godsey Other | <u>sonja.godsey@rescare.com</u> |
| 8998 US Highway 70 Business West, Suite 100 Clayton 27520 Johnston | DWS Renee Hinton | renee.hinton@nccommerce.com |
| Phone (919) 553-0953 | Reg. Operations Dir.: | Michelle Muir |
| Fax (919) 553-0979 | OfficePhone | 919-272-5416 |
| Certified Tier 1 | Email | michelle.muir@nccommerce.com |
| Certification From December 2014 Status | Analyst(s) | Darrin Ballard |
| To: December 2017 | | Sarah Stone |

Sarah Stone

| Μ | 8:30 - 4:30 pm | A, DW, Y |
|----|----------------|----------|
| Т | | |
| W | 8:30 - 4:30 pm | A, DW, Y |
| Th | | |
| F | | |

Closes from 12 noon - 1 pm for lunch

| Μ | 8:00 - 4:00 pm | Y |
|----|----------------|---|
| Т | 8:00 - 4:00 pm | Y |
| W | 8:00 - 4:00 pm | Y |
| Th | 8:00 - 4:00 pm | Y |
| F | 8:00 - 4:00 pm | Y |

| Μ | 8:30 - 5:00 pm | A;DW |
|----|----------------|------|
| Т | 8:30 - 7:00 pm | A;DW |
| W | 8:30 - 5:00 pm | A;DW |
| Th | 8:30 - 5:00 pm | A;DW |
| F | 8:30 - 2:00 pm | A;DW |

| Jones County Affiliate Workforce Center 509 Highway 58 North Trenton 28585 Jones Phone (252) 448-5021 Fax (252) 448-1424 Certification Status From To: | Manager Deshay Becton Other DWS Reg. Operations Dir.: OfficePhone Email Analyst(s) | dbecton89@lenoircc.edu Karl Zurl 910-251-5777 karl.zurl@nccommerce.com Bridget Stubblefield | M 8:00 - 5:00 pm A;DW T 8:00 - 5:00 pm A;DW W 8:00 - 5:00 pm A;DW Th 8:00 - 5:00 pm A;DW F 8:00 - 3:00 pm A;DW |
|--|--|---|--|
| 0407 Jones County Schools - In-School Youth 320 West Jones Street Trenton 28585 Jones Phone (252) 448-2531 Fax (252) 448-1394 Certification Status <u>From</u> To: | Manager Otis Smallwood Other DWS Reg. Operations Dir.: OfficePhone Email Analyst(s) | otis.smallwood@jonesnc.net Karl Zurl 910-251-5777 karl.zurl@nccommerce.com Bridget Stubblefield | M 8:00 - 5:00 pm Y T 8:00 - 5:00 pm Y W 8:00 - 5:00 pm Y Th 8:00 - 5:00 pm Y F 8:00 - 5:00 pm Y |
| 0602 | Manager Latasha Chestnutt Other DWS Reg. Operations Dir.: OfficePhone Email Analyst(s) | latasha.chestnutt@jcindustries.com Michelle Muir 919-272-5416 michelle.muir@nccommerce.com Darrin Ballard Sarah Stone | M 8:30 - 4:30 pm Y T 8:30 - 4:30 pm Y W 8:30 - 4:30 pm Y Th 8:30 - 4:30 pm Y F 8:30 - 4:30 pm Y |

| NCWorks Career Center- Lee County | | | | |
|-----------------------------------|------------------------------------|----------------------|------------------------|--|
| | Avenue (919) 775- (919) 775- | | Lee | |
| Certification Status To: | | d May November | Tier 1 2014 2016 | |

| Manager | Sarah Stone | sarah.stone@nccommerce.com |
|------------|---------------|------------------------------|
| Other | Pamela Glover | pamela.glover@ncworks.gov |
| DWS | | |
| | | |
| Reg. Opera | tions Dir.: | Michelle Muir |
| OfficePhon | е | 919-272-5416 |
| Email | | michelle.muir@nccommerce.com |
| Analyst(s) | | Darrin Ballard |
| | | Sarah Stone |

| Μ | 8:30 - 5:00 pm | A;DW |
|----|----------------|------|
| Т | 8:30 - 5:00 pm | A;DW |
| W | 8:30 - 5:00 pm | A;DW |
| Th | 8:30 - 5:00 pm | A;DW |
| F | 8:30 - 5:00 pm | A;DW |

| 231 Highway 58 South, PO Box 822 | | | |
|----------------------------------|----------------|--------|--|
| Kinston | 28502 | Lenoir | |
| Phone | (252) 775-6021 | | |
| Fax | (252) 527-2109 | | |

| | Certification Status | Certified | | Tier 1 |
|--|-------------------------|-----------|----------|--------|
| | | From | February | 2015 |
| | | To: | February | 2017 |

5300

| NCWorks Career Center - Lincoln County | | | |
|--|----------|---------------|---------|
| 529 North Lincolnton | | reet 28092 | Lincoln |
| Phone (| 704) 735 | -8035 | |
| Fax (| 704) 732 | -1140 | |
| o | Certifie | d | Tier 1 |
| Certification Status | From | November | 2014 |
| Status | To: | November | 2016 |

| Manager | Samara Taft | sltaft27@lenoircc.edu |
|-----------------------|--------------|--------------------------|
| Other | | |
| DWS | Renee Sutton | rbsutton25@lenoircc.edu |
| | | |
| Reg. Operations Dir.: | | Karl Zurl |
| OfficePhone | | 910-251-5777 |
| Email | | karl.zurl@nccommerce.com |
| Analyst(s) | | Bridget Stubblefield |

| М | 8:00 - 5:00 pm | A, DW, Y |
|----|----------------|----------|
| Т | 8:00 - 5:00 pm | A, DW, Y |
| W | 8:00 - 5:00 pm | A, DW, Y |
| Th | 8:00 - 5:00 pm | A, DW, Y |
| F | 8:00 - 5:00 pm | A, DW, Y |

| Manager | Anthony Simpson | anthony.simpson@nccommerce.com |
|-----------------------|-----------------|----------------------------------|
| Other | Ken McCoy | kenneth.mccoy@rescare.com |
| DWS | | |
| | | |
| Reg. Operations Dir.: | | Ronne Grantham |
| OfficePhone | | 828-853-5328 |
| Email | | veronica.grantham@nccommerce.com |
| Analyst(s) | | Amy Pritchett |
| | | Butch Robinson |
| | | |

| Μ | 8:00 - 4:30 pm | A, DW, Y |
|----|----------------|----------|
| Т | 8:00 - 4:30 pm | A, DW, Y |
| W | 8:00 - 4:30 pm | A, DW, Y |
| Th | 8:00 - 4:30 pm | A, DW, Y |
| F | 8:00 - 4:30 pm | A, DW, Y |

Closes the 2nd Tuesday of each month at 12 noon

| 3700 | | | |
|--|--|---|--|
| S West Main Street Franklin 28734 Macon Phone (828) 369-9534 | ty Manager Dale West Other DWS Reg. Operations Dir.: | <u>dale.west@nccommerce.com</u> Tim Mathis | M 8:30 - 5:00 pm A;DW T 8:30 - 5:00 pm A, DW, Y W 8:30 - 5:00 pm A, DW, Y Th 8:30 - 5:00 pm A;DW F 8:30 - 12 noon A;DW |
| Fax (828) 369-5156 | OfficePhone | | |
| Certified Tier 1 | Email | 828-286-3042 tim.mathis@nccommerce.com | |
| Certification Status From August 2014 | Analyst(s) | Chris Silvers | |
| To: August 2016 | | | |
| 0611 Madison County Workforce Center 4646 Highway US 25/70, A-B Tech, Madison C Marshall 28753 Madison | | <u>stacyjpeek@abtech.edu</u> | M 8:00 - 4:00 pm A, DW, Y;V T 8:00 - 4:00 pm W 8:00 - 4:00 pm A, DW, Y;V Th 8:00 - 6:00 pm A, DW, Y;V F 8:00 - 4:00 pm A, DW, Y;V |
| Phone (828) 782-2632 Fax | Reg. Operations Dir.: | Tim Mathis | |
| | OfficePhone | 828-286-3042 | |
| | Email | tim.mathis@nccommerce.com | |
| Certification From Status To: | Analyst(s) | Chris Silvers | |
| 9400 | | | |
| NCWorks Career Center - Martin Coun 407 East Boulevard | Other Ann Williams | krista.wood@nccommerce.com ann.j.williams@nccommerce.com | M 8:30 - 5:00 pm A, DW, Y T 8:30 - 5:00 pm A, DW, Y W 8:30 - 5:00 pm A, DW, Y Th 8:30 - 5:00 pm A, DW, Y |
| Williamston 27892 Martin | DWS | | F 8:30 - 5:00 pm A, DW, Y |

| Certification | Certified From | March | Tier 1 2015 |
|---------------|-------------------|-------|----------------|
| Status | To: | March | 2017 |

(252) 792-7816

(252) 792-2479

Phone

Fax

| | Munuger | | Kiista.wood@iiccommerce.com |
|-----------------------|------------|--------------|-------------------------------|
| | Other | Ann Williams | ann.j.williams@nccommerce.com |
| | DWS | | |
| | | | |
| Reg. Operations Dir.: | | ions Dir.: | Larry Donley |
| OfficePhone | | 3 | 252-355-9067 |
| | Email | | larry.donley@nccommerce.com |
| | Analyst(s) | | Alicia Clark |
| | | | |

| Μ | 8:30 - 5:00 pm | A, DW, Y |
|----|----------------|----------|
| Т | 8:30 - 5:00 pm | A, DW, Y |
| W | 8:30 - 5:00 pm | A, DW, Y |
| Th | 8:30 - 5:00 pm | A, DW, Y |
| F | 8:30 - 5:00 pm | A, DW, Y |

Closes the 2nd Friday of each month at 12 noon

| NCWorks Career Center - McDowell County | | | | |
|---|------------|---------|----------|--|
| | | | | |
| 316 Baldwi | in Avenue | | | |
| Marion | | 28752 | McDowell | |
| Phone (| 828) 659-6 | 5001 | | |
| Fax (| 828) 659-8 | 3733 | | |
| | | | | |
| | Certified | | Tier 1 | |
| Certification Status | From | October | 2014 | |
| Status | To: | October | 2016 | |

| Manager | William Caldwell | william.caldwell@nccommerce.com |
|--------------|------------------|---------------------------------|
| Other | | |
| DWS | | |
| | | |
| Reg. Operati | ons Dir.: | Felicia Setzer |
| OfficePhone | | (828) 466-5535 |
| Email | | felicia.setzer@nccommerce.com |
| Analyst(s) | | Brian Lane |

| Μ | 8:00 - 5:00 pm | A, DW, Y |
|----|----------------|----------|
| Т | 8:00 - 5:00 pm | A, DW, Y |
| W | 8:00 - 5:00 pm | A, DW, Y |
| Th | 8:00 - 5:00 pm | A, DW, Y |
| F | 8:00 - 12 noon | A, DW, Y |

NCWorks Career Center - Executive Center Dr.

5601 Executive Center Drive, Suite 100 Charlotte 28212 Mecklenburg Phone (704) 566-2870 (704) 566-2857 Fax

| Certification Status | Certifie | d | Tier 1 |
|-------------------------|----------|----------|--------|
| | From | December | 2014 |
| | To: | December | 2016 |

2610

| NCWorks Career Center - Forest Point Blvd. | | | | | |
|---|------------|--------------------------|------------------|--|--|
| 7140 Fore Charlotte | | oulevard, Suite 28217 | A Mecklenburg | | |
| Phone | (704) 565- | 6865 | | | |
| Fax | (704) 565- | 6874 | | | |
| | | | | | |
| Contification | Certifie | d | Tier 1 | | |
| Certification Status | From | December | 2014 | | |
| Status | To: | December | 2016 | | |

| Manager | Stephanie Lattimore | stephanie.lattimore@nccommerce.com | |
|-----------------------|---------------------|------------------------------------|--|
| Other | Roderick Bassard | roderick.bassard@nccommerce.com | |
| DWS | | | |
| | | | |
| Reg. Operations Dir.: | | Ronne Grantham | |
| OfficePhone | | 828-853-5328 | |
| Email | | veronica.grantham@nccommerce.com | |
| Analyst(s) | | Amy Pritchett | |
| | | Butch Robinson | |
| | | | |

| Μ | 8:00 - 4:30 pm | A;DW |
|----|----------------|------|
| Т | 8:00 - 4:30 pm | A;DW |
| W | 8:00 - 4:30 pm | A;DW |
| Th | 8:00 - 4:30 pm | A;DW |
| F | 8:00 - 4:30 pm | A;DW |
| | | |

| Manager | Annisa Morton | annisa.morton@nccommerce.com |
|------------|---------------|----------------------------------|
| Other | | |
| DWS | | |
| | | |
| Reg. Opera | tions Dir.: | Ronne Grantham |
| OfficePhon | е | 828-853-5328 |
| Email | | veronica.grantham@nccommerce.com |
| Analyst(s) | | Amy Pritchett |
| | | Butch Robinson |

| Μ | 8:00 - 4:30 pm | A;DW |
|----|----------------|------|
| Т | 8:00 - 4:30 pm | A;DW |
| W | 8:00 - 4:30 pm | A;DW |
| Th | 8:00 - 4:30 pm | A;DW |
| F | 8:00 - 4:30 pm | A;DW |

| 200 Mayland Drive, PO Box 827 | | | | | |
|-------------------------------|--------------|-------|----------|--|--|
| Spruce P | Pine | 28777 | Mitchell | | |
| Phone | (828) 766-12 | 195 | | | |
| Fax | (828) 766-12 | 195 | | | |

| | Certified | | Tier 2 |
|---------------|-----------|-------|--------|
| Certification | From | April | 2015 |
| Status | To: | April | 2017 |

| Manager | Pam Wilson | pam.wilson@nccommerce.com |
|--------------|------------|-------------------------------|
| Other | | |
| DWS | Anita Lowe | anita.lowe@nccommerce.com |
| | | |
| Reg. Operati | ions Dir.: | Felicia Setzer |
| OfficePhone | ! | (828) 466-5535 |
| Email | | felicia.setzer@nccommerce.com |
| Analyst(s) | | Brian Lane |

| 8:00 - 5:00 pm | A, DW, Y |
|----------------|----------|
| | |
| 8:00 - 5:00 pm | A, DW, Y |
| | |
| | |
| | • |

Closed from 12 noon to 1 pm for lunch

8800

| NCWorks Career Center - Montgomery County | | | | | |
|--|------------|----------|------------|--|--|
| 1011 Pag Troy | e Street | 27371 | Montgomery | | |
| Phone | (910) 898- | 9669 | | | |
| Fax | (910 576-5 | 5162 | | | |
| | | | | | |
| o | Certifie | d | Tier 1 | | |
| Certification Status | From | November | 2014 | | |
| Stutus | To: | November | 2016 | | |

| Μ | 8:30 - 5:00 pm | A, DW, Y |
|----|----------------|----------|
| Т | 8:30 - 5:00 pm | A, DW, Y |
| W | 8:30 - 5:00 pm | A, DW, Y |
| Th | 8:30 - 5:00 pm | A, DW, Y |
| F | 8:30 - 5:00 pm | A, DW, Y |

9700

NCWorks Career Center - Moore County

| 245 Shepard Trail | | | | |
|-------------------|-------------|-------|-------|--|
| Aberdee | en | 28315 | Moore | |
| Phone | (910) 944-7 | 697 | | |
| Fax | (910) 944-7 | 937 | | |

| | Certifie | d | Tier 1 |
|-------------------------|----------|----------|--------|
| Certification Status | From | November | 2014 |
| Status | To: | November | 2016 |

| Manager | Pamela Alsobrook | pamela.alsobrook@nccommerce.com |
|-------------|------------------|---------------------------------|
| Other | Jerry McQueen | jerrymcqueen@rccsc.org |
| DWS | | |
| | | |
| Reg. Operat | tions Dir.: | John Lowery |
| OfficePhon | e | 910-618-5500 |
| Email | | john.lowery@nccommerce.com |
| Analyst(s) | | Lisa Slayton |

| Μ | 8:30 - 5:00 pm | A, DW, Y |
|----|----------------|----------|
| Т | 8:30 - 5:00 pm | A, DW, Y |
| W | 8:30 - 5:00 pm | A, DW, Y |
| Th | 8:30 - 5:00 pm | A, DW, Y |
| F | 8:30 - 5:00 pm | A, DW, Y |

| 9500 | | | |
|--|---|--|--|
| New Hanover County Workforce Center 1994 S. 17th Street Wilmington 28401 New Hanover Phone (910) 251-5777 | Manager Lois Smith Other DWS Reg. Operations Dir.: | <u>lois.j.smith@nccommerce.com</u> Karl Zurl | M 8:00 - 4:30 pm A, DW, Y T 8:00 - 4:30 pm A, DW, Y W 8:00 - 4:30 pm A, DW, Y Th 8:00 - 4:30 pm A, DW, Y F 8:00 - 4:30 pm A, DW, Y |
| Fax (910) 251-5795 Not Certified | OfficePhone Email | 910-251-5777 karl.zurl@nccommerce.com | |
| Certification From Status To: | Analyst(s) | Bridget Stubblefield | |
| 0408 Coastal Carolina Community College - Out- of-School Youth 444 Western Boulevard Jacksonville 28546 Onslow | Manager Linda Marrama Other DWS | marramal@coastalcarolina.edu | M 8:00 - 5:00 pm Y T 8:00 - 5:00 pm Y W 8:00 - 5:00 pm Y Th 8:00 - 5:00 pm Y F 8:00 - 5:00 pm Y |
| Phone (910) 938-6850 Fax (910) 938-6881 | Reg. Operations Dir.: OfficePhone | Karl Zurl 910-251-5777 | |
| Certification Status From To: | Email Analyst(s) | karl.zurl@nccommerce.com Bridget Stubblefield | |
| 0409 Onslow County Schools - In-School Youth 200 Broadhurst Road Jacksonville 28540 Onslow | Manager Francisca Gray Other DWS | francisca.gray@onslow.k12.nc.us | M 8:00 - 5:00 pm Y T 8:00 - 5:00 pm Y W 8:00 - 5:00 pm Y Th 8:00 - 5:00 pm Y F 8:00 - 5:00 pm Y |
| Phone (910) 455-2211 Fax (910) 455-0343 | Reg. Operations Dir.: OfficePhone Email | Karl Zurl 910-251-5777 <u>karl.zurl@nccommerce.com</u> | |
| Status | Analyst(s) | Bridget Stubblefield | |

To:

| A61 Western Boulevard #106 Jacksonville 28546 Onslow | ty Manager Lindsay Gress Other DWS | lindsay.gress@nccommerce.com | M 8:30 - 5:00 pm A;DW T 8:30 - 5:00 pm A;DW W 8:30 - 5:00 pm A;DW Th 8:30 - 5:00 pm A;DW F 8:30 - 5:00 pm A;DW |
|---|--|--|--|
| Phone (910) 347-2121 Fax (910) 938-2583 | Reg. Operations Dir.: OfficePhone | Karl Zurl 910-251-5777 | |
| Certification StatusCertifiedTier 1FromSeptember2014To:September2016 | Email Analyst(s) | karl.zurl@nccommerce.com Bridget Stubblefield | |
| 0311 | | | |
| Orange County - DSS | Manager Caraina Garris Other | cgarris@orangecountync.gov | M 8:00 - 5:00 pm Y T 8:00 - 5:00 pm Y W 8:00 - 5:00 pm Y |
| 503 West Franklin Street Chapel Hill 27516 Orange | DWS Lisa Arnette | lisa.arnette@nccommerce.com | Th 8:00 - 5:00 pm Y F 8:00 - 5:00 pm Y |
| Phone (919) 245-4335 Fax (919) 969-3038 | Reg. Operations Dir.: OfficePhone | Caroline Brigmon 336-932-0393 | |
| Not Certified | Email | caroline.brigmon@nccommerce.com | |
| Certification Status To: | Analyst(s) | Alan Wagner Teresa Boone | |
| 3100 NCWorks Career Center - Orange Cour | ty Manager | | M 8:00 - 5:00 pm A, DW, Y T 8:00 - 5:00 pm A, DW, Y |
| 503 West Franklin Street Chapel Hill 27516 Orange | Other DWS Gilbert Lockhart | gilbert.lockhart@nccommerce.com | W 8:00 - 5:00 pm A, DW, Y Th 8:00 - 5:00 pm A, DW, Y F 8:00 - 5:00 pm A, DW, Y |
| Phone (919) 245-4335 Fax (919) 969-3038 | Reg. Operations Dir.: OfficePhone | Michelle Muir 919-272-5416 | |
| | Fmail | | |
| Certified Tier 1 Certification From December 2014 | Email | michelle.muir@nccommerce.com | |

| 0400 | | | |
|---|--------------------------------------|--------------------------------------|---|
| Pamlico County Workforce Center | Manager Eric Cedars Other | ecedars@pamlicocc.edu | M 7:30 - 4:30 pm A;DW T L0:00 am - 7:00 pm A;DW W 7:30 - 4:30 pm A;DW |
| 705 Main Street, PO Box 598 Bayboro 28515 Pamlico | DWS | | W 7.30 - 4.30 pm A,DW Th 7:30 - 4:30 pm A;DW F 7:30 - 1:00 pm A;DW |
| Phone (252) 745-9934 Fax (252) 745-9935 | Reg. Operations Dir.: OfficePhone | Karl Zurl 910-251-5777 | |
| Cartification | Email | karl.zurl@nccommerce.com | |
| Certification From Status To: | Analyst(s) | Bridget Stubblefield | |
| 0597 | | | |
| College of the Albemarle, Elizabeth City Campus | Manager Other Kisha Kallicharan | kkallicharan@albemarlecommission.org | M 8:30 - 5:00 pm Y T |
| 1208 North Road Street Elizabeth City 27909 Pasquotank | DWS | | Th 8:30 - 5:00 pm Y |
| Phone (252) 335-0821 Fax | Reg. Operations Dir.: OfficePhone | Larry Donley 252-355-9067 | |
| | Email | larry.donley@nccommerce.com | |
| Certification Status From To: | Analyst(s) | Alicia Clark | |
| 3300 | | | |

NCWorks Career Center - Pasquotank County

| 422 IVICALLIU | DIIVE | |
|----------------|------------|------------|
| Elizabeth City | 27909 | Pasquotank |
| Phone (25 |) 331-4798 | |
| Fax 252 | 331-4809 | |

| Certification Status | Certifie | d | Tier 1 |
|-------------------------|----------|---------|--------|
| | From | October | 2014 |
| | To: | October | 2016 |

| Analyst(s) | | Alicia Clark |
|-------------|-----------------|--------------------------------|
| Email | | larry.donley@nccommerce.com |
| OfficePhone | | 252-355-9067 |
| Reg. Operat | ions Dir.: | Larry Donley |
| DWS | | |
| Other | | marjorie.eckert@nccommerce.com |
| Manager | Nannette Turner | nannette.turner@nccommerce.com |
| | | |

| Μ | 8:30 - 5:00 pm | A;DW |
|----|----------------|----------|
| Т | 8:30 - 5:00 pm | A;DW |
| W | 8:30 - 5:00 pm | A;DW |
| Th | 8:30 - 5:00 pm | A;DW |
| F | 8:30 - 5:00 pm | A, DW, Y |

Closes the 3rd Friday of each month at 12 noon

| Pender County Workforce Center | Manager Lois Smith | | M 8:00 - 4:30 pm A, DW, Y T 8:00 - 4:30 pm A, DW, Y |
|--|--------------------------------------|-----------------------------|--|
| | Other | | W 8:00 - 4:30 pm A, DW, Y |
| 904-A S. Walker Street Burgaw 28425 Pender | DWS | | Th 8:00 - 4:30 pm A, DW, Y F 8:00 - 4:30 pm A, DW, Y |
| Phone (910) 259-0240 | Reg. Operations Dir.: | Karl Zurl | 1 0.00 4.30 pm 7, 2w, 1 |
| Fax (910) 259-0242 | OfficePhone | 910-251-5777 | |
| Not Certified | Email | karl.zurl@nccommerce.com | |
| To: | Analyst(s) | Bridget Stubblefield | |
| 3 | | | |
| Albemarle Commission | Manager Other Kathy Nixon | abarnes@accog.org | M 8:30 - 5:00 pm A, DW T W 8:30 - 5:00 pm Y |
| 512 South Church Street Hertford 27944 Perquimans | DWS | | Th F 8:30 - 5:00 pm A,DW,Y |
| Phone (252) 426-5753 Fax | Reg. Operations Dir.: OfficePhone | Larry Donley | |
| | | 252-355-9067 | |
| Cartification | Email | larry.donley@nccommerce.com | |
| To: | Analyst(s) | Alicia Clark | |

NCWorks Career Center - Pitt County

| 3101 Bis Greenvil | marck Street le | 27834 | Pitt |
|----------------------|--------------------|-------|------|
| Phone | (252) 355-90 | 67 | |
| Fax | (252) 355-90 | 75 | |

| | Certified T | | Tier 1 | |
|-------------------------|-------------|----------|--------|--|
| Certification Status | From | June | 2014 | |
| Status | To: | December | 2016 | |

| Manager | Neal Anderson | neal.anderson@nccommerce.com |
|-------------|---------------|------------------------------|
| Other | Pam Dorsey | pamela.dorsey@nccommerce.com |
| DWS | | |
| | | |
| Reg. Operat | tions Dir.: | Larry Donley |
| OfficePhon | е | 252-355-9067 |
| Email | | larry.donley@nccommerce.com |
| Analyst(s) | | Alicia Clark |

| Μ | 8:30 - 5:00 pm | A, DW, Y |
|----|----------------|----------|
| Т | 8:30 - 5:00 pm | A, DW, Y |
| W | 8:30 - 5:00 pm | A, DW, Y |
| Th | 8:30 - 5:00 pm | A, DW, Y |
| F | 8:30 - 5:00 pm | A, DW, Y |

Closes the 2nd Friday of each month at 12 noon.

| 00 | | | | | | | | |
|-------------------------|------------------------------|----------|---------------------------------|---|----------------------------------|-------------------|--|---|
| | Fayetteville | | dolph County Randolph | Manager Stephanie Pitts Other DWS | stephaniepitts@rccsc.393.org | M T W Th | 8:30 - 5:00 pm 8:30 - 7:00 pm 8:30 - 5:00 pm 8:30 - 5:00 pm 8:30 - 5:00 pm | A, DW A, DW A, DW A, DW A, DW |
| | 336) 625-512 336) 625-279 | | | Reg. Operations Dir.: OfficePhone | Caroline Brigmon 336-932-0393 | | | |
| Certification | Certified From | October | Tier 1 2015 | Email | caroline.brigmon@nccommerce.com | | | |
| Status | | | | Analyst(s) | Alan Wagner | | | |
| | To: | October | 2017 | | Teresa Boone | | | |
| 115 W. Fra Rockingha | anklin Street m | 28379 | Richmond | DWS | | W Th F | 8:00 - 4:30 pm 8:00 - 4:30 pm 8:00 - 4:30 pm | A;C A;C A;C |
| Phone (| 910) 997-918 910) 997-918 | | | Reg. Operations Dir.: OfficePhone | John Lowery 910-618-5500 | | | |
| Certification | Certified From D | ecember | Tier 1 2014 | Email Analyst(s) | john.lowery@nccommerce.com | | | |
| Status | To: D | ecember | 2016 | Anaiysi(s) | Lisa siayturi | | | |
| 00 | | | | | | | | |
| NCWorks Co | ireer Cent | er - Rob | eson | Manager Peggy Hunt Davis Other | peggy.h.davis@nccommerce.com | M T W | 8:30 - 5:00 pm 8:30 - 5:00 pm 8:30 - 5:00 pm | A;D A;D A, D\ |
| 289 Corpo | rate Drive, Su | uite B | | DWS | | Th | 8:30 - 5:00 pm | A;D |

| NUWORKS Career Center - Robeson | | | | | |
|---------------------------------|-------------------------|----------------------|------------------------|--|--|
| `` | | 28358 -5500 | Robeson | | |
| Certification Status | Certifie From To: | d May November | Tier 1 2014 2016 | | |

Reg. Operations Dir.: John Lowery OfficePhone 910-618-5500 Email john.lowery@nccommerce.com Analyst(s) Lisa Slayton

| Μ | 8:30 - 5:00 pm | A;DW |
|----|----------------|----------|
| Т | 8:30 - 5:00 pm | A;DW |
| W | 8:30 - 5:00 pm | A, DW, Y |
| Th | 8:30 - 5:00 pm | A;DW |
| F | 8:30 - 5:00 pm | A;DW |

| NCWorks Co County | areer Center- Ro | ckingham | Manager Other | Johnny Price | johnny.price@nccommerce.com |
|--|---|-----------------------|--------------------------------------|-------------------------------|----------------------------------|
| 302 North Madison | 302 North Highway Street, Suite 1-A Madison 27025 Rockingham | | DWS | | |
| Phone(336) 427-3100Fax(336) 427-8200 | | | Reg. Operations Dir.: OfficePhone | | Caroline Brigmon 336-932-0393 |
| | Certified | Tier 1 | Email | | caroline.brigmon@nccommerce.com |
| Certification Status | From October | 2014 | Analyst(s) | | Alan Wagner |
| | To: October | 2016 | | | Teresa Boone |
| 00 | | | | | |
| NCWorks Co | reer Center - Ro | owan County | Manager | Debbie Davis | debbie.s.davis@nccommerce.com |
| | | Other | Sheila Heggins | sheila.heggins@nccommerce.com | |
| 1904 Sout Salisbury | 1904 South Main Street Salisbury 28144 Rowan | | DWS | Debbie Davis | debbie.s.davis@nccommerce.com |
| Phone (704) 639-7529 Fax (704) 639-7537 | | Reg. Operations Dir.: | | Ronne Grantham | |
| | | | OfficePhone | | 828-853-5328 |
| Certification | Certified | Tier 1 | Email | | veronica.grantham@nccommerce.co |
| Status | From Septembe | | Analyst(s) | | Amy Pritchett |
| | To: Septembe | er 2016 | | | Butch Robinson |
| 600 | | | | | |
| NCWorks Co | reer Center - Ri | utherford | Manager | Mike Tanner | michael.tanner@nccommerce.com |
| County | | | Other | | |
| 223 Charlo Rutherford | | Rutherford | DWS | | |
| Phone | 828) 286-3042 | | Reg. Operati | ons Dir.: | Tim Mathis |

| Μ | 8:30 - 5:00 pm | A, DW, Y |
|----|-----------------|----------|
| Т | 8:30 - 5:00 pm | A, DW, Y |
| W | 8:30 - 5:00 pm | A, DW, Y |
| Th | 8:30 - 5:00 pm | A, DW, Y |
| F | 8:30 - 12:00 pm | A, DW, Y |
| | | |

Youth available occasionally. They operate from Goodwill

| Μ | 8:00 - 4:30 pm | A, DW, Y |
|----|----------------|----------|
| Т | 8:00 - 4:30 pm | A, DW, Y |
| W | 8:00 - 4:30 pm | A, DW, Y |
| Th | 8:00 - 4:30 pm | A, DW, Y |
| F | 8:00 - 4:30 pm | A, DW, Y |

Closes the 3rd Friday of each month at 12 noon.

<u>m</u>

| Μ | 8:00 - 4:30 pm | A, DW, Y |
|----|----------------|----------|
| Т | 8:00 - 4:30 pm | A, DW, Y |
| W | 8:00 - 4:30 pm | A, DW, Y |
| Th | 8:00 - 4:30 pm | A, DW, Y |
| F | 8:00 - 4:30 pm | A, DW, Y |

| 223 Charlotte Road | | | | | |
|--------------------|-------------|-------|------------|--|--|
| Rutherfordton | | 28139 | Rutherford | | |
| Phone | (828) 286-3 | 042 | | | |
| Fax | (828) 286-3 | 326 | | | |

| | | Certified | | Tier 1 |
|--|-------------------------|-----------|-----------|--------|
| | Certification Status | From | September | 2014 |
| | | To: | September | 2016 |

| Email | veronica.grantham@nccommerce.com |
|-------------------------------------|----------------------------------|
| Analyst(s) | Amy Pritchett Butch Robinson |
| | Butch Kobilisofi |
| Manager Mike Tanner Other DWS | michael.tanner@nccommerce.com |
| Reg. Operations Dir.: | Tim Mathis |
| OfficePhone | 828-286-3042 |
| Email | tim.mathis@nccommerce.com |

Analyst(s)

Chris Silvers

| | NCWorks Career Center - Sampson County | | | | | Manager | Sandra Webster |
|--|--|----------|---------|---------------|------------|-------------|----------------|
| | | | | Other | | | |
| | 115 North Blvd. | | | | | DWS | |
| | Clinton | | 28328 | Sampson | | | |
| | Phone (910) 592-5756 Fax (910) 592-1502 | | | | Reg. Opera | tions Dir.: | |
| | | | | | OfficePhon | 0 | |
| | | | | | | Onception | e |
| | | Certifie | b | Tier 1 | | Email | |
| | Certification Status | From | October | 2014 Analyst(| | Analyst(s) | |
| | JULUS | To: | October | 2016 | | 1 (- 1 | |

| Μ | 8:30 - 5:00 pm | A;DW |
|----|----------------|-------|
| Т | 8:30 - 5:00 pm | A;DW |
| W | 8:30 - 5:00 pm | A;DW |
| Th | 8:30 - 5:00 pm | A;DW |
| F | 8:30 - 5:00 pm | A.D.M |

| 303 North Main Street | | | | |
|-----------------------|--------------|-------|----------|--|
| Laurinburg | | 28352 | Scotland | |
| Phone | (910) 276-42 | 260 | | |
| Fax | (910) 277-26 | 528 | | |

| | Certification | Certified | | Tier 1 |
|--|---------------|-----------|----------|--------|
| | | From | November | 2014 |
| | Status | To: | November | 2016 |

1500

| NCWorks Career Center - Stanly County | | | | |
|---------------------------------------|------------|---------|--------|--|
| Albem | | 28001 | Stanly | |
| Phone | (704) 982- | | | |
| Fax | (704) 982- | -1815 | | |
| | Certifie | d | Tier 1 | |
| Certification | From | January | 2015 | |
| Status | To: | January | 2017 | |

| Manager | Regina Smalls | regina.smalls@nccommerce.com |
|------------|---------------|------------------------------|
| Other | | |
| DWS | | |
| | | |
| Reg. Opera | tions Dir.: | John Lowery |
| OfficePhon | е | 910-618-5500 |
| Email | | john.lowery@nccommerce.com |
| Analyst(s) | | Lisa Slayton |

sandra.webster@nccommerce.com

john.lowery@nccommerce.com

John Lowery 910-618-5500

Lisa Slayton

| Μ | 8:30 - 5:00 pm | A;DW |
|----|----------------|------|
| Т | 8:30 - 5:00 pm | A;DW |
| W | 8:30 - 5:00 pm | A;DW |
| Th | 8:30 - 5:00 pm | A;DW |
| F | 8:30 - 5:00 pm | A;DW |
| | | |

| Manager | Donnie Mann | donnie.mann@nccommerce.com | |
|-----------------------|-------------|----------------------------------|--|
| Other | Ken McCoy | kenneth.mccoy@rescare.com | |
| DWS | | | |
| | | | |
| Reg. Operations Dir.: | | Ronne Grantham | |
| OfficePhone | | 828-853-5328 | |
| Email | | veronica.grantham@nccommerce.com | |
| Analyst(s) | | Amy Pritchett | |
| | | Butch Robinson | |
| | | | |

| Μ | 8:00 - 4:30 pm | A, DW, Y |
|----|----------------|----------|
| Т | 8:00 - 4:30 pm | A, DW, Y |
| W | 8:00 - 4:30 pm | A, DW, Y |
| Th | 8:00 - 4:30 pm | A, DW, Y |
| F | 8:00 - 4:30 pm | A, DW, Y |

Closes the 3rd Friday of each month at 12 noon

| Stokes County eLink 3169 NC Highway 8 South, Suite 104 Walnut Cove 27052 Phone (336) 593-1054 Fax Certification Status From To: | Manager Deon Carter Other Devita Ewell DWS Reg. Operations Dir.: OfficePhone Email Analyst(s) | dlcarter@goodwillnwnc.org dewell@goodwillnwnc.org Caroline Brigmon 336-932-0393 caroline.brigmon@nccommerce.com Alan Wagner Teresa Boone | M 8:00 - 4:30 pm Y T 8:00 - 4:30 pm Y W 8:00 - 4:30 pm Y Th 8:00 - 4:30 pm Y F 8:00 - 4:30 pm Y |
|---|---|--|--|
| 6200 | | | |
| NCWorks Career Center- Surry County 541 West Pine Street, Suite 300 Mount Airy 27030 | Manager Beverly Frey Other DWS | beverly.frey@nccommerce.com | M 8:30 - 5:00 pm A;DW T 8:30 - 5:00 pm A;DW W 8:30 - 5:00 pm A;DW Th 8:30 - 5:00 pm A;DW F 8:30 - 12 noon A;DW |
| Phone (336) 786-4169 Fax (336) 789-3795 | Reg. Operations Dir.: OfficePhone | Caroline Brigmon 336-932-0393 | |
| Certified Tier 1 | Email | caroline.brigmon@nccommerce.com | |
| Certification StatusFromJune2014To:June2016 | Analyst(s) | Alan Wagner Teresa Boone | |
| 2100 | | | |
| Bryson City Workforce Center Federal Building, 50 Main Street, PO Box 280 | Manager Dale West Other | dale.west@nccommerce.com | M T 8:30 - 4:30 pm A;DW W Th |
| Bryson City 28713 Swain | DWS | | F |
| Phone (828) 488-2149 Fax (828) 488-8890 | Reg. Operations Dir.: OfficePhone | Tim Mathis 828-286-3042 | Closed from 12 noon - 1 pm for lunch |
| | Email | tim.mathis@nccommerce.com | |
| Certification From Status To: | Analyst(s) | Chris Silvers | |
2000

| Cou | | areer Co | enter- Tran | sylvania |
|-------------------------|----------------------|-----------|-------------|--------------|
| | 45 Oak Pa Brevard | ark Drive | 28712 | Transylvania |
| | Phone | (828) 883 | -2550 | |
| | Fax | (828) 883 | -2536 | |
| | | | | |
| - | | Certifie | d | Tier 2 |
| Certification Status | | | | |
| | - | From | November | 2015 |

Tyrrell County Finance Building

| 108 Wat Columbi | ter Street a | 27925 | Tyrrell |
|--------------------|-----------------|-------|---------|
| Phone | (252) 312-68 | 359 | |
| Fax | | | |

| Certification Status | From |
|-------------------------|------|
| Status | To: |

5900

| NCWorks Co | ireer C | enter - Unio | on County |
|-------------------------|----------------------------------|-----------------------------|------------------------|
| , | ay Drive 704) 283 704) 283 | | Union |
| Certification Status | Certifie From To: | d September September | Tier 1 2014 2016 |

| Manager | Jason Chappell | jasonc@blueridge.edu |
|-------------|----------------|---------------------------|
| Other | | |
| DWS | | |
| | | |
| Reg. Operat | ions Dir.: | Tim Mathis |
| OfficePhone | | 828-286-3042 |
| Email | | tim.mathis@nccommerce.com |
| Analyst(s) | | Chris Silvers |

Manager Other Kisha Norman amiller@accog.org DWS Reg. Operations Dir.: Larry Donley OfficePhone 252-355-9067 Email larry.donley@nccommerce.com Analyst(s) Alicia Clark

Manager Other DWS

Reg. Ope

OfficePh Email

Analyst(

| r | Linda Ejlali | linda.ejlali@nccommerce.com |
|------|---------------|----------------------------------|
| | Thomas Foster | thomas.foster@nccommerce.com |
| | | |
| | | |
| era | tions Dir.: | Ronne Grantham |
| none | | 828-853-5328 |
| | | veronica.grantham@nccommerce.com |
| s) | | Amy Pritchett |
| | | Butch Robinson |

| |] | |
|----|----------------|----------|
| Μ | 8:30 - 5:00 pm | A, DW, Y |
| Т | 8:30 - 5:00 pm | A;DW |
| W | 8:30 - 5:00 pm | A, DW, Y |
| Th | 8:30 - 5:00 pm | A;DW |
| F | 8:30 - 4:30 pm | A;DW |

| Μ | | |
|----|----------------|--------|
| Т | 8:30 - 5:00 pm | A,DW,Y |
| W | | |
| Th | | |
| F | | |
| | | |

| Μ | 8:00 - 4:30 pm | A, DW, Y |
|----|----------------|----------|
| Т | 8:00 - 4:30 pm | A;DW;Y |
| W | 8:00 - 4:30 pm | A;DW;Y |
| Th | 8:00 - 4:30 pm | A;DW;Y |
| F | 8:00 - 4:30 pm | A;DW;Y |

Closes the 3rd Friday of each month at 12 noon

| .00 | | | | |
|-----------------------------|---|----------------|--|--|
| NCWorks Ca | reer Center - Va | nce County | Manager Monica Satterwhite | monica.satterwhite@nccommerce. |
| Hendersor | kford Drive 1 27536 252) 438-6129 | Vance | Other DWS Reg. Operations Dir.: OfficePhone | Michelle Muir |
| Certification | Certified From October | Tier 1 2014 | Email Analyst(s) | 919-272-5416 michelle.muir@nccommerce.com Darrin Ballard |
| Status | To: October | 2016 | Analyst(s) | Sarah Stone |
| Fuquay-Va Phone (| d Parkway NE | Wake | Other DWS Reg. Operations Dir.: | Michelle Muir |
| Certification | Certified From March | Tier 2 2015 | OfficePhone Email Analyst(s) | 919-272-5416 michelle.muir@nccommerce.com |
| Status | To: March | 2017 | Απαιγοτ(ο) | Sarah Stone |
| 220 Swinb | urne Street, PO Box 46 | 833 | Manager Carmen Carroll Other DWS | <u>carmen.carroll@wakegov.com</u> |
| | 27610 919) 250-3770 919) 212-9491 | Wake | Reg. Operations Dir.: OfficePhone | Michelle Muir 919-272-5416 |

| | Certified | | Tier 2 |
|-------------------------|-----------|-------|--------|
| Certification Status | From | March | 2015 |
| Stutus | To: | March | 2017 |

| Analyst(s) | | Darrin Ballard |
|-------------------------------------|----------------|--|
| | | Sarah Stone |
| - | Carmen Carroll | carmen.carroll@wakegov.com |
| Other | | |
| DWS | | |
| Reg. Operat OfficePhone Email | | Michelle Muir 919-272-5416 <u>michelle.muir@nccommerce.com</u> |
| Analyst(s) | | Darrin Ballard Sarah Stone |
| | | |

| Μ | 8:30 - 5:00 pm | A;DW |
|----|----------------|------|
| Т | 8:30 - 5:00 pm | A;DW |
| W | 8:30 - 5:00 pm | A;DW |
| Th | 8:30 - 5:00 pm | A;DW |
| F | 8:30 - 5:00 pm | A;DW |

| Μ | 8:30 - 5:15 pm | A;DW |
|----|----------------|------|
| Т | 8:30 - 5:15 pm | A;DW |
| W | 8:30 - 5:15 pm | A;DW |
| Th | 8:30 - 5:15 pm | A;DW |
| F | 8:30 - 5:15 pm | A;DW |

| Μ | 9:00 - 4:15 pm | A;DW |
|----|----------------|------|
| Т | 9:00 - 8:00 pm | A;DW |
| W | 9:00 - 4:15 pm | A;DW |
| Th | 9:00 - 4:15 pm | A;DW |
| F | 9:00 - 4:15 pm | A;DW |

0348

| Capital Area Young Adult Career Center Educational Data Systems, Inc. 1649 Old Louisburg Road | Other | pbuford@edsisolutions.com | M 8:00 - 4:30 pm Y T 8:00 - 4:30 pm Y W 8:00 - 4:30 pm Y Th 8:00 - 4:30 pm Y |
|--|--------------------------------------|-------------------------------|--|
| Raleigh 27604 Wake | DWS | | F 8:00 - 4:30 pm Y |
| Phone (919) 803-5328 Fax (919) 878-5790 | Reg. Operations Dir.: OfficePhone | Michelle Muir 919-272-5416 | |
| | Email | michelle.muir@nccommerce.com | |
| Certification StatusFromTo: | Analyst(s) | Darrin Ballard Sarah Stone | |
| 0350 | | | |
| NCWorks Career Center - Capital Area (Wake Forest) | Manager Ross Yeager Other | ross.yeager@wakegov.com | M 8:30 - 5:15 pm A,DW T 8:30 - 5:15 pm A;DW W 8:30 - 5:15 pm A;DW |
| 350 E. Holding Avenue | DWS | | Th 8:30 - 5:15 pm A;DW |
| Wake Forest 27587 Wake | | | F 8:30 - 5:15 pm A;DW |
| Phone (919) 562-6363 Fax (919) 562-6315 | Reg. Operations Dir.: | Michelle Muir | |
| Tax (313) 302-0313 | OfficePhone | 919-272-5416 | |
| Certified Tier 2 Certification From March 2015 | Email | michelle.muir@nccommerce.com | |
| Status | Analyst(s) | Darrin Ballard | |
| To: March 2017 | | Sarah Stone | |
| 0351 | | | |
| NCWorks Career Center - Capital Area (Zebulon) | Manager Darryl Blevins Other | darryl.blevins@wakegov.com | M 8:30 - 5:15 pm A;DW T 8:30 - 5:15 pm A;DW W 8:30 - 5:15 pm A;DW |
| 1002 Dogwood Drive | DWS | | Th 8:30 - 5:15 pm A;DW |
| Zebulon 27597 Wake Phone (919) 404-3900 Fax (919) 404-3957 | Reg. Operations Dir.: OfficePhone | Michelle Muir 919-272-5416 | F 8:30 - 5:15 pm A;DW |
| Certified Tier 2 | Email | michelle.muir@nccommerce.com | |
| Certification Status From March 2015 | Analyst(s) | Darrin Ballard | |
| To: March 2017 | | Sarah Stone | |

To:

Status

August

2016

Analyst(s)

Darrin Ballard

Sarah Stone

| NCWorks Career Center - Capit (Cary) 742-F East Chatham Street Cary 27511 | ral Area Wake | Manager Tom Palmer Other DWS | <u>thomas.palmer@nccommerce.com</u> |
|--|--------------------------|--|---|
| Phone (919) 469-1406 Fax (919) 469-2472 | Wake | Reg. Operations Dir.: OfficePhone | Michelle Muir 919-272-5416 |
| Certification StatusCertified FromAprilTo:October | Tier 1 2014 2016 | Email Analyst(s) | michelle.muir@nccommerce.com |
| 5701 | | | Sarah Stone |
| Specialized NCWorks Career Ce Wake County 1636 Gold Star Drive Raleigh 27607 | enter - Wake | Manager Sara Day Other DWS | ng.ncarng.mbx.eec@mail.mil |
| Phone (984) 664-6463 Fax | | Reg. Operations Dir.: OfficePhone | Michelle Muir 919-272-5416 |
| Certification StatusCertifiedFromNovemberTo:November | Speciali 2016 2018 | Email Analyst(s) | michelle.muir@nccommerce.com Darrin Ballard Sarah Stone |
| 7200 NCWorks Career Center - Capit (Raleigh) | al Area | Manager Kenneth Gathers Other | kenneth.gathers@rescare.com |
| 1830-B Tillery Place Raleigh 27604 Phone (919) 715-0111 Fax (919) 715-0164 | Wake | DWS Chet Mottershead Reg. Operations Dir.: OfficePhone | <u>chet.mottershead@nccommerce.com</u> Michelle Muir 919-272-5416 |
| Certified Certification From August | Tier 1 2014 | Email | michelle.muir@nccommerce.com |

| 8:30 - 5:00 pm | A;DW |
|----------------|--|
| 8:30 - 5:00 pm | A;DW |
| | 8:30 - 5:00 pm 8:30 - 5:00 pm 8:30 - 5:00 pm |

| Μ | 8:00 - 4:30 pm | |
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| Т | 8:00 - 4:30 pm | |
| W | 8:00 - 4:30 pm | |
| Th | 8:00 - 4:30 pm | |
| F | 8:00 - 4:30 pm | |

| Μ | 8:30 - 5:00 pm | A;DW |
|----|----------------|------|
| Т | 8:30 - 5:00 pm | A;DW |
| W | 8:30 - 5:00 pm | A;DW |
| Th | 8:30 - 8:00 pm | A;DW |
| F | 8:30 - 2:00 pm | A;DW |

| 00 | | | | | | | |
|--|---|-----------------------|-------------------------------|-------------------------------------|--|---|-------------------------|
| | n ty Workforce Ce n Street, Room 123 27589 | nter Warren | Ũ | Aonica Satterwhite ennifer Jones | monica.satterwhite@nccommerce.com jennifer.m.jones@nccommerce.com | M 8:30 - 5:00 pm T 8:30 - 5:00 pm W 8:30 - 5:00 pm Th 8:30 - 5:00 pm F 8:30 - 5:00 pm | A;DW A;DW A;DW |
| | 252) 257-3230 252) 257-4369 | | Reg. Operation OfficePhone | ns Dir.: | Michelle Muir 919-272-5416 | | |
| Certification Status | Not Certified From To: | | Email Analyst(s) | | michelle.muir@nccommerce.com Darrin Ballard | | |
| 92 | | | | | Sarah Stone | | |
| Beaufort Cou Roper Camp 100 Highwa | ay 32 North | - | Manager Other P DWS | hillip Holloway | pholloway@accog.org | M 8:30 - 5:00 pm T W 8:30 - 5:00 pm Th | |
| Roper Phone (2 Fax | 27970 252) 331-3754 | Washington | Reg. Operation OfficePhone | ns Dir.: | Larry Donley 252-355-9067 | F By appointmen | t only |
| Certification Status | From To: | | Email Analyst(s) | | larry.donley@nccommerce.com Alicia Clark | | |
| 00 | | | | | | | |
| | reer Center - Wat Grove Connector, App 28607 | | Other | nita Lowe nita Lowe | anita.lowe@nccommerce.com anita.lowe@nccommerce.com | M 8:00 - 5:00 pm T 8:00 - 5:00 pm W 8:00 - 5:00 pm Th 8:00 - 5:00 pm F 8:00 - 2:00 pm | A, DW A, DW A, DW |
| Phone (8 | 22007 828) 265-5385 828) 265-5410 | watauga | Reg. Operation OfficePhone | ns Dir.: | Felicia Setzer (828) 466-5535 | r 8.00 - 2.00 pm | A, DVV |
| Certification | Certified From October | Tier 1 2014 | Email | | felicia.setzer@nccommerce.com | | |
| Status | To: October | 2016 | Analyst(s) | | Brian Lane | | |

| NCWorks Care | eer Center - W | Manager Jennifer Polk | |
|----------------------------|------------------------------|-----------------------|--------------------------------------|
| 2006 Wayne Goldsboro | Memorial Drive 27534 | Wayne | Other DWS |
| Phone (91 | .9) 731-7950 .9) 731-7967 | Wayne | Reg. Operations Dir.: OfficePhone |
| Cortification | Certified From July | Tier 1 2014 | Email |
| Status | To: July | 2016 | Analyst(s) |
| 3901 | | | - - |
| Wayne Comm School Youth | nunity College | - Out-of- | Manager Renita Allen Dawson Other |
| 3000 Wayne Goldsboro | Memorial Drive 27534 | Wayne | DWS |
| • | .9) 739-6980 .9) 739-7133 | , | Reg. Operations Dir.: OfficePhone |
| Contification | | | Email |
| Status - | From Fo: | | Analyst(s) |
| 6800 | | | |

| Other | |
|-----------------------|--------------------------|
| DWS | |
| Reg. Operations Dir.: | Karl Zurl |
| | Kall Zull |
| OfficePhone | 910-251-5777 |
| Email | karl.zurl@nccommerce.com |
| Analyst(s) | Bridget Stubblefield |

jennifer.polk@nccommerce.com

rddawson@waynecc.edu

karl.zurl@nccommerce.com

Karl Zurl

910-251-5777

Bridget Stubblefield

| Μ | 8:30 - 5:00 pm | A;DW |
|----|----------------|------|
| Т | 8:30 - 5:00 pm | A;DW |
| W | 8:30 - 5:00 pm | A;DW |
| Th | 8:30 - 5:00 pm | A;DW |
| F | 8:30 - 5:00 pm | A;DW |

| Μ | 8:00 - 5:00 pm | Y |
|----|----------------|---|
| Т | 8:00 - 5:00 pm | Y |
| W | 8:00 - 5:00 pm | Y |
| Th | 8:00 - 5:00 pm | Y |
| F | 8:00 - 5:00 pm | Y |

| 6800 | | |
|------|--|--|
| | | |
| | | |

| 103 Call Street Extension | | | | | |
|---------------------------|--------------|-------|--------|--|--|
| Wilkesboro | | 28697 | Wilkes | | |
| Phone | (336) 838-51 | 64 | | | |
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| Certification Status | From | October | 2014 |
| Status | To: | October | 2016 |

| y | Manager | Greggory Wade | greggory.wade@nccommerce.com |
|----------|-------------|---------------|-------------------------------|
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| | DWS | | greggory.wade@nccommerce.com |
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| | Reg. Operat | tions Dir.: | Felicia Setzer |
| | OfficePhon | e | (828) 466-5535 |
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| _ | Analyst(s) | | Brian Lane |

| Μ | 8:00 - 5:00 pm | A, DW, Y |
|----|----------------|----------|
| Т | 8:00 - 5:00 pm | A, DW, Y |
| W | 8:00 - 5:00 pm | A, DW, Y |
| Th | 8:00 - 5:00 pm | A, DW, Y |
| F | 8:00 - 5:00 pm | A, DW, Y |
| | | |

| NCWorks Career Center- Wilson County 302 W. Tarboro StreetWilson27893Phone(252) 234-1129Fax(252) 234-1134 | Manager Burwell Stark Other DWS Reg. Operations Dir.: OfficePhone | burwell.stark@nccommerce.com Michelle Muir 919-272-5416 | M 8:30 - 5:0 pm A, DW, Y T 8:30 - 5:0 pm A, DW, Y W 8:30 - 5:0 pm A, DW, Y Th 8:30 - 5:0 pm A, DW, Y F 8:30 - 5:0 pm A, DW, Y F 8:30 - 5:0 pm A, DW, Y Closed last Thursday of each month at 12 noon F |
|--|---|---|--|
| Certification StatusCertifiedTier 1FromJuly2014To:July2016 | Email ———————————————————————————————————— | michelle.muir@nccommerce.com Darrin Ballard Sarah Stone | |
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| Phone (336) 386-3535 Fax Certification From Status | Reg. Operations Dir.: OfficePhone Email Analyst(s) | Caroline Brigmon 336-932-0393 <u>caroline.brigmon@nccommerce.com</u> Alan Wagner | |
| To: 9000 | | Teresa Boone | M |
| Yadkin County Library 233 East Main Street Yadkinville 27055 Yadkin Phone (336) 312-9960 Fax | Manager Other DWS Steve Hodge Reg. Operations Dir.: OfficePhone | <u>steve.hodge@nccommerce.com</u> Caroline Brigmon 336-932-0393 | T 8:30 - 5 pm A;DW W |
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|--------------------------|---------------------------------------|-----------------|--------|------------|---------------|-------------------------------|---------|----------------------------------|-----------------------|
| 510105 | To: | April | 2017 | , , , | | | | | |
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| Fax | (828) 682-7 | 203 | | OfficePho | one | (828) 466-5535 | | lunch | |
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| 1040-L Eas Burnsville | st US Highw | ay 19E 28714 | Yancey | DWS | Anita Lowe | anita.lowe@nccommerce.com | Th F | 8:30 - 5:00 pm | A, DW, Y ;A, DW, Y |
| | | | | Other | | | W | 8:30 - 5:00 pm | A, DW, Y |
| NCWorks Co | NCWorks Career Center - Yancey County | | | Manager | Pam Wilson | pam.wilson@nccommerce.com | Т | 8:30 - 5:00 pm 8:30 - 5:00 pm | A, DW, Y A, DW, Y |
| | - | | - | | | | D. 0 | 0.20 5.00 | |

(4) Child Support Contempt and Other Contempt Cases: If a contractor or public defender is assigned to a pending child support contempt or other contempt case, including cases assigned on a per session basis, and the contractor or public defender has identified a motion that, if successfully litigated, would constitute a defense in the contempt proceeding, such as a Rule 60 motion or a motion to challenge paternity, the contractor NC Office of Indigent Defense Services www.ncids.org or public defender has an obligation to file such motion(s) because the State has introduced "the potential curtailment of the indigent's personal liberty." McBride v. McBride, 334 N.C. 124, 126, 431 S.E.2d 14, 16 (1993). The contractor or public defender shall also give the client limited advice and guidance on how the client can address other related matters, including recommending other motions such as motions to modify a child support obligation.

Adoption: Policy adopted May 29, 2012; updated October 8, 2012, June 24, 2013, July 28, 2014, and December 1, 2014.

Authority: G.S. 7A-498.3(c), 7A-498.5(c)(4).

Child Support Contempt Advocacy Tips

By Ashley E. Lorance, Katzenstein & Lorance, 223 W. Main Ave., Suite C, Gastonia, NC 28052

Checklist – Information to Get From Client:

- 1. Ensure address is correct.
- 2. Get phone numbers.
- 3. Get email address.
- 4. SSN
- 5. Get work history.
- 6. Get payment history from client or worker.
- 7. Find out how many children the client has, where they live, and which children the client is paying support for.
- 8. Find out when the last order was set, what the client was making at the time, and if anything has happened to that employment.
- 9. Check court file for when Motion for contempt was filed.
- 10. Find out about the quality of the relationship between the client and the custodial parent.

<u>Checklist – Information to Give to Client:</u>

- 1. Make sure they have resources to find a job (Job Fairs, Contacts within DSS, Local Unemployment Office, Websites for Employers Who Hire Felons).
- 2. Make sure they know how to make payments:
 - a. Make sure they have their MPI Number.
 - b. Make sure they have payment vouchers and they know where to send them.
 - c. Let them know they can pay online.
- 3. Make sure they know that workers like to see regular payments (not just at court) of at least something even if they cannot pay the whole amount. They like to see that obligors try to make payments and are not solely motivated by the threat of jail.
- 4. Make sure they have resources to get credit for jail time afterwards. Send them the motion form and an instruction sheet.

Checklist - Information to Get from Worker:

- 1. Payment history.
- 2. Arrears balance.

Explore Options to Decrease Obligation:

- 1. See if a modification is appropriate (additional children, involuntary decrease/unemployment, more than 3 years and 15% change)
- 2. See if client has incarceration time for which s/he has not already received credit. If so, you'll need to check with the prison case worker where the client was placed to get confirmation there was no work release.
- 3. See if the client has any time period where the child lived primarily with the client and there was no modification or credit for that time.
- 4. See if the client can speak with the custodial parent to see if s/he will agree to a decrease or suspension of child support.

Fighting Contempt:

- Build up the client's evidence showing efforts to find employment. Keep a blank 40name job search to send them. Tell them they can do half of them online and print those out. Tell them they can email you them and you'll print them out if they have no printer. Tell them to print out a search of "application" in their email outbox to show sent applications.
- 2. Find creative, but true, reasons to ask for a continuance to try to give them more time to find a job (e.g., job interview, under the table work coming up, filing taxes ASAP, etc.).
- 3. For medical issues, have them get proof of inability to work by having them fill out a medical form (keep a copy for yourself to give clients) and letter from their disability attorney. If they don't have money for a private doctor, advise them of their ability to see a doctor at their local health department.
- 4. Focus on the client's inability to pay and try to get documentation of anything to support it (e.g., no car, no assets, homeless, evicted, letter from last job, overdue utility bills, etc.)

Ethical Considerations in Representing a Client with Diminished Capacity in a Contempt Matter

JOYCE L. TERRES ASSISTANT APPELLATE DEFENDER OFFICE OF PARENT REPRESENTATION MARCH 1, 2018

What is diminished capacity?

•Term is addressed by Rule 1.14 of the Revised Rules of Professional Responsibility – "Client with Diminished Capacity" •Diminished capacity is not defined by Rule 1.14

•Diminished capacity \neq lack of capacity

•Diminished capacity may be caused by a disability, but a disability may not result in diminished capacity

 $\bullet Diminished \ capacity \neq incompetency$

What is diminished capacity? (cont'd)

To determine extent of capacity, consider and balance these factors: •Ability to articulate reasoning leading to decision •Variability of state of mind •Ability to appreciate consequences of a decision Substantive fairness of a decision

 $\mbox{-} \mbox{Consistency}$ of a decision with client's known long-term commitments and values

Rule 1.14, Comment 6

Overlapping capacity issues in contempt cases

- Capacity to comply with order • Element of willfulness under both civil and criminal contempt (not addressing in this presentation)
- \bullet Diminished capacity to make "adequately considered decisions" about case Rule 1.14(a)

Duty to competently represent individual client

Our duty is to competently represent the individual client, as that client presents himself to us. Rule 1.1

- Special training or prior experience not necessarily
- required Rule 1.1, Comment 2
- Important legal skills include evaluation, inquiry, and analysis Rule 1.1, Comments 2 & 5.

Understanding terminology

WARNINGS:

Different terminology may be used, depending upon context Do not over-generalize about the characteristics of a particular disability – no two people with the same disability will respond in exactly the same way or function in the same way Consider the possibility of dual-diagnoses (e.g., major depressive disorder and opioid use disorder).

Common terminology

Neurodevelopmental disorders

 $^\circ$ Intellectual disability, formerly known as mental retardation; autism

• Present from childhood

• Severity determined by adaptive functioning

Common terminology (cont'd)

Psychiatric disorders

 Psychotic disorders (e.g., schizophrenia, schizoaffective disorder); Mood disorders (e.g., bipolar disorder)

• Not tied to IQ or age

• May be temporary, cyclical, or episodic

Neurocognitive disorders (e.g., dementia, traumatic brain injury, encephalopathy) $% {\displaystyle \sum} {\displaystyle \sum$

Common terminology (cont'd)

Physical and sensory disabilities (e.g., hearing impairments, visual impairments, orthopedic impairments)

Substance use disorders

 $^\circ$ Specific substance addressed as separate use disorder (e.g., alcohol use disorder, opioid use disorder)

Identifying client's disability

Client may or may not self-identify

- Be aware of stereotypes associated with many disabilities.
- Be sensitive to the stigma that surrounds many
- disabilities.
- \bullet Be sensitive to your client's desire to be a person first, rather than his disability.

Identifying client's disability (cont'd)

If you are able to identify the disability, you can research about the disability or contact other knowledgeable people in order to: •Effectively and competently represent your client with her unique needs,

•Understand any difficulties your client may have with various ways of communicating or understanding,

•Establish trust with your client, and

•Connect your client to resources.

Identifying client's disability (cont'd)

Gather information to find out what, if any, disability there may be and the extent of diminished capacity. *Caution:* you are a J.D., not an M.D.!

- Tactfully ask client history questions, such as:
- Did you attend special classes in school?
- Have you ever received MH or DD services? • Do you get any kind of Social Security check?
- Is there someone who gets your check, or helps you with your finances and decisions?

Identifying client's disability (cont'd)

Gather information (cont'd):

- Tactfully ask client questions about current treatment, such as:
 Do you go to a doctor or clinic? Who? Where?
 Do you take any medications? Did you take your medications today?
- •Observe and evaluate:
- Observe client's mannerisms and make note of how client answers questions about case. Mini mental exams (e.g., <u>http://www.elderguru.com/downloads/SLUMS_updated.pdf</u>). Be careful attorneys are not experts in these assessments!

Identifying client's disability (cont'd)

Gather information (cont'd):

- Obtain secondary information

- Ortain secondary information
 Prior court proceedings
 Treatment providers client signs releases for contact or records
 Talk with trusted loved one(s) ask client's permission, especially if speaking outside of his presence
 Seek guidance from an appropriate diagnostician Rule 1.14, comment 6

E.g., DHHS community resources – https://www.ncdhhs.gov/providers/lme-mco-directory

Duty to maintain a "normal" relationship

When a client's capacity to make "adequately considered decisions" in connection with a representation is diminished, the lawyer shall, as far as reasonably possible, maintain a "normal" relationship with the client.

Rule 1.14(a)

Duty to maintain a "normal" relationship (cont'd)

- Keep client informed about case Rule 1.4 Promptly notify client about decision or circumstance that requires informed consent
- Reasonably consult with client about how objectives of representation will be accomplished
- Keep client reasonably informed about status of case • Promptly respond to reasonable requests for information
- · Consult about limitations on lawyer's conduct

Duty to maintain a "normal" relationship (cont'd)

Show client attention and respect

- "The fact that a client suffers a disability does not diminish the lawyer's obligation to treat the client with attention and respect. Even if the person has a legal representative, the lawyer should as far as possible accord the represented person the status of client, particularly in maintaining communication." Rule 1.14, Comment 2 Talk to client, not at client
- If possible, talk in a private space
- · Ask client about her needs and preferences regarding her disability • Be aware of your body language and what you may convey

Duty to maintain a "normal" relationship (cont'd)

- Provide explanation of case and options "A lawyer shall explain a matter to the extent reasonably necessary to permit the client to make informed decisions regarding the representation." Rule 1.4(b)

- How much is "reasonably necessary" for client with diminished capacity?
 The information that is required to give to another client may not be sufficient for a client with diminished capacity
 Provide sufficient information to allow client to participate fully and knowledgeably, to the extent he wants to, just as any other client would want to or be able to

Duty to maintain a "normal" relationship (cont'd)

- Provide explanation of case and options "reasonably necessary" (cont'd)
- May require extra steps to communicate effectively (e.g., a form letter to client about a court hearing may not suffice)
- ${\scriptstyle \bullet}$ Allow enough time to meet with client may need to schedule more
- meetings with client
- · Adapt mode of communication to client's needs based upon disability • Don't use terms client doesn't understand
- \bullet Make sure client is able to see and hear what you are showing or saying if not, find other ways to communicate

Duty to maintain a "normal" relationship (cont'd)

- Provide explanation of case and options (cont'd)
 What is an "informed decision?"
 "Informed consent" = client's agreement to a "proposed course of conduct" after the lawyer communicates "adequate information and explanation appropriate to the circumstances." Rule 1.0(f)
- Is there a decision to be made regarding an issue?
 Does the client understand the pros and cons of the proposed
- Does the client understand the pros and consol the proposed options? Does the client understand the consequences of not making a decision?
- · Does the client have insight into the situation?

Duty to maintain a "normal" relationship (cont'd)

Who has the decision-making authority?

- Generally, a lawyer abides by her client's decision about whether to settle a matter or enter a plea. Rule 1.2
 If a client appears to be suffering from diminished capacity, "the lawyer's duty to abide by the client's decisions is to be guided by reference to Rule 1.14." Rule 1.2, Comment 4

- \circ Rule 1.14(a) says "as far as reasonably possible, maintain a normal client-lawyer relationship with the client."

When it's hard to maintain the "normal" relationship

•What if I'm not sure my client fully understands what I have explained, even if my client agrees to go along with what I have advised?

•What if my client is insistent on doing something completely contrary to any of the options I have suggested, and may harm herself by her actions?

•What if my client is very difficult to deal with?

•What if my client doesn't want me to discuss anything about his disability with anyone?

When are protective actions permissible?

Rule 1.14(b)

•Reasonable belief client has diminished capacity, **and** •At risk of **substantial** physical, financial, or other harm unless action taken, **and**

•Client cannot adequately act in own interest

Factors to consider if taking protective actions

•Wishes and values of client, to extent known •Client's best interests

 $\bullet \mbox{Preserving client's decision-making autonomy to greatest extent feasible$

Maximizing client's capabilities

•Respecting client's family and social connections Rule 1.14, comment 5 $\,$

What protective actions can be taken?

Could include:

•Consulting with family members

 $\bullet {\rm Consulting}$ with advocacy or support groups

•Assisting client in obtaining professional services

•Referring client to adult protective services or other individual/entities with ability to protect client

 "Using a reconsideration period to permit clarification or improvement of circumstances" (e.g., request continuance to see if problem improves after client resumes medication)

Rule 1.14, comment 5

Protective actions – extreme measures

• Requesting the appointment of a guardian (Rule 17 GAL or Chapter 35A guardian)

• Raising the issue of capacity to proceed

Protective action – appointment of a guardian

Appointment of a guardian (GAL or G/G, G/P, G/E) • "If a legal representative has not been appointed, the lawyer should consider whether appointment of a guardian and litem or guardian is necessary to protect the client's interests..., Rjules of procedure in litigation sometimes provide that minors or persons with diminished capacity must be represented by a guardian or next friend if they do not have a general guardian. In many circumstances, however, appointment of a legal representative may be more expensive or traumatic for the client than circumstances in fact require. Evaluation of such circumstances is a matter entrusted to the professional judgment of the lawyer. In considering alternatives, however, the lawyer should be aware of any law that requires the lawyer to advocate the least restrictive action on behalf of the client." Rule 1.14, Comment 7 (emphasis added)

Protective action – Rule 17 GAL

Appointment of a GAL under Rule 17 (Rules of Civil Procedure) -Only applicable to $civil \ contempt, \ not \ criminal \ contempt, \ because \ rules \ of \ civil \ procedure \ only \ apply \ to \ civil \ proceedings - G.S. § 1A-1, \ Rule \ 1$ -When person previously adjudicated incompetent, must appear in action through guardian or appointed Rule 17 GAL – G.S. § 1A-1, Rule 17 -Need for appointment of GAL when not adjudicated incompetent still focuses on whether person is an "incompetent adult" as defined by G.S. § 35A-1101(7)

Before appointing GAL for person not previously adjudicated incompetent, court must hold a hearing and person is entitled to notice and an opportunity to be heard – Hagins v. Redev. Comm'n of Greensboro, 275 N.C. 90, 101-02 (1969).

Rule 17 GAL (cont'd)

Issues to consider before requesting Rule 17 GAL: •IDS cannot pay for Rule 17 GAL in a contempt matter, because it is not authorized by law. •GAL can serve pro bono or fee can be charged as costs

•Rule 17 does not require GAL to be an attorney

Protective action – Guardianship

Adjudication of incompetence and appointment of guardian

-A petition for the adjudication of incompetence can be filed by anyone with knowledge about the alleged incompetent. G.S. \$\$ 35A-1105 & 35A-1106.

If respondent is adjudicated incompetent, a guardian or guardians are appointed.
G.S. § 35A-1120.
A general guardian is appointed as guardian of the estate and of the person

- A guardian of the estate is appointed and guardiant of the estate and on the person
 A guardian of the estate is appointed only to manage property and financial affairs
 A guardian of the person is appointed only to perform duties relating to care, custody and control (e.g., signing admission papers for care facility)

-A person who has been adjudicated incompetent can be restored to competency. G.S. \S 35A-1130.

Protective action - Capacity to proceed

Only applicable to criminal contempt – G.S. § 15A-1001-08

- If concerned that client does not have the capacity to proceed in criminal contempt proceeding, can raise the issue and ask for evaluation G.S. § 15A-1002. File motion to obtain evaluation, including specific conduct that leads you to question capacity to proceed Form AOC-CR-207B (motion appointing local certified forensic evaluator).
- Court orders evaluation
- · If client not in custody, appointment can be made to meet with evaluator · Evaluator's findings sent in report to court, defense counsel & prosecutor

Capacity to proceed (cont'd)

 $\ensuremath{\mathsf{Judge}}$ considers evaluation and makes determination whether or not defendant is incapable to proceed

- Capacity to proceed is a legal decision, not a medical decision
 If evaluation concludes defendant is incapable, State could oppose finding and make inquiry of defendant
- If evaluation concludes defendant is capable, parties can stipulate to evaluator's findings, judge will enter order, and matter will proceed G.S. § 15A-1002(b1).

Capacity to proceed (cont'd)

- Issues to consider before raising the issue of capacity to proceed: If defendant found incapable of proceeding, court must determine whether she meets the criteria for an involuntary commitment G.S. § 15A-1003 \circ Evidence used at the hearing on capacity may be used at the involuntary commitment hearing
- commitment hearing Even if person not involuntarily committed, judge may place defendant in custody of a person or organization who will agree to safeguard G.S. § 15A-1004 A supplemental hearing may be held on court's own motion or on motion of others to determine if defendant has regained eqaacity to proceed G.S. § 15A-1007 (e.g., defendant has become stabilized on medications)
- Court only required to dismiss charge if satisfied defendant will not regain capacity, or defendant substantially deprived of liberty for period ≥ max term of imprisonment for highest record level of most serious charge, or 5 years has elapsed (misdemeanors) G.S. § 15A-1008

Capacity to proceed (cont'd)

For questions or assistance with motions for evaluations, contact: Dolly Whiteside Special Counsel Supervising Attorney Indigent Defense Services Dolly.Whiteside@nccourts.org 919-733-5544

Best option: the least restrictive alternative

•Before taking any protective action, always consider the least restrictive alternative

•Convey concerns to client so he can help problem-solve (e.g., "Tm worried that this issue is causing you some confusion. Is there someone that you trust who can help you in this matter?")

•Make non-judgmental statements to plant seeds or to prepare client for action you might take (e.g., "I worry about your safety," or "I don't think you're as healthy as you should be and I'd like a doctor to find out.")

 $\mbox{-} Analyze the best option on a case-by-case basis$

•Always consider how to reduce potential adverse consequences

What about confidentiality?

Generally, not permissible to reveal information about any client, unless client gives informed consent – Rule 1.6(a)

Cannot disclose information about client with diminished capacity, unless authorized to do so – Rule $1.14,\,\rm Comment\;8$

unless authorized to do so – rune 1.14, Comment o <u>Exception</u>: When taking protective action, lawyer is "impliedly authorized to make the necessary disclosures, even when the client directs the lawyer to the contrary." – Rule 1.6(b); Rule 1.14(c); Rule 1.14, Comment 8 • Only disclosures "reasonably necessary" to protect client's interests – Rule 1.14(c) • Limit access to disclosure, to extent possible – Rule 1.6, Comment 15

Who can participate in lawyer's discussions with client?

Rule 1.14, Comment 3

If client with diminished capacity wishes to have family or others participate in discussions and it is necessary to assist in client's representation, their presence generally will not affect attorney-client privilege

• Client's interests must be primary consideration

 \circ Look to client, rather than family, to make decisions

Can I withhold information from my client?

Rule 1.4, Comment 7

Lawyer is justified in delaying transmission of information to client if likely to react "imprudently" $% \left[\left({{{\bf{n}}_{i}}} \right) \right]$

Cannot withhold information out of self-interest or convenience, or the interest or convenience of anyone else

Can I withdraw from representing a client with diminished capacity?

Rule 1.16(b) – A lawyer may withdraw from representation if:

(1) with drawal can be accomplished without material adverse effect on the interests of the client; or

 $\left(2\right)$ the client knowingly and freely assents to the termination of the representation; or

(3) the client persists in a course of action involving the lawyer's services that the lawyer reasonably believes is criminal or fraudulent; or

(4) the client insists upon taking action that the lawyer considers repugnant, imprudent, or contrary to the advice and judgment of the lawyer, or with which the lawyer has a fundamental disagreement; or

Can I withdraw? (cont'd)

(5) the client has used the lawyer's services to perpetrate a crime or fraud;

(6) the client fails substantially to fulfill an obligation to the lawyer regarding the lawyer's services and has been given reasonable warning that the lawyer will withdraw unless the obligation is fulfilled; or

(7) the representation will result in an unreasonable financial burden on the lawyer or has been rendered unreasonably difficult by the client; or

(8) the client insists upon presenting a claim or defense that is not warranted under existing law and cannot be supported by good faith argument for an extension, modification, or reversal of existing law; or

(9) other good cause for withdrawal exists.

Can I withdraw? (cont'd)

Rule 1.16(c)

- Must comply with applicable law requiring notice
 See Smith v. Bryant, 264 N.C. 208, 211 (1965) (The lawyer cannot abandon the client's case without justifiable cause and reasonable notice to the client to prevent necessity of continuance).
- Must receive permission of court
- Must continue to represent client if ordered to do so by court See Benton v. Mintz, 97 N.C. App. 583, 587 (1990) (Determination of motion to withdraw is within court's discretion, unless there was no prior notice of withdrawal).

But should I withdraw?

As a general rule, withdrawing from representation is discouraged for court-appointed representations, especially when a client has diminished capacity.

- The client needs representation, even if he doesn't always seem to appreciate it.
 If the client does not appear in court or is not responsive, it may mean new communication strategies are required.
 Relationship problems will not go away just transferred to another lawyer.
 Losing a lawyer can further erode a client's trust in the court system.

Title II of the Americans with Disabilities Act (ADA)

Prohibits discrimination on the basis of a disability in any place of public accommodation – includes courts and lawyer's offices
Discrimination includes failure to make reasonable modifications,

unless modification is a fundamental alteration •For courthouse/courtroom accommodation requests, contact local

Disability Access Coordinator: http://www.nccourts.org/Citizens/SRPlanning/Disability.asp •Disability Rights North Carolina, <u>www.disabilityrightsnc.org</u> – provides helpful information about the ADA and its application

Who to contact?

North Carolina State Bar

• Request informal ethics advice by phone or email

<u>www.ethicsadvice@ncbar.gov</u>
 919-828-4620 (tell receptionist you have an ethics question)

Office of Parent Representation, Indigent Defense Services – Durham ${\scriptstyle \bullet}$ 919-354-7230

Joyce Terres – <u>Joyce.L.Terres@nccourts.org</u> Wendy Sotolongo – <u>Wendy.C.Sotolongo@nccourts.org</u>

QUESTIONS?

| Address Of Plaintiff Number (Optional) Number (Optional) VERSUS Address Of Defendant Number (Optional) Motion Indersigned moves that the Court modify the Order for Child Support now in effect in this f Current Child Support Order | Veekly 🗍 Monthly i-weekly 🗍 Other (specify) |
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| fore, the undersigned requests that the Order for Child Support be modified as follows: Increased Decreased Suspended | |
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| Name (Type Or Print) Signature | |
| : On the date of the court hearing shown below, the party | Defendant/Attomey |
| making this Motion should bring all financial information | other sources, cost of health insurance for the |
| child(ren), work-related child care costs, extraordinary expenses for the child(ren), etc.) necessa under the child support guidelines. | ary to determine the amount of child support |
| NOTICE OF HEARING | |
| CE TO: 	PLAINTIFF 	DEFENDANT 	OTHER | |
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| | delivering a copy personally to: | |
|--------|---|--|
| | Name Of Person With Whom Copy Left | Name Of Person With Whom Copy Left |
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| | | |
| | depositing a copy in the United States mail ir | n an envelope bearing proper postage and addressed as follows: |
| | Name And Address | Name And Address |
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| | Transmission Constraints and Constraints | |
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| | leaving a copy at the office of the attorney na | amed below, with a partner or employee |
| | Name Of Attorney | Name Of Attorney |
| | Party Represented | Party Represented |
| | Person With Whom Copies Left | Person With Whom Copies Left |
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FILING A MOTION

If you need/plan to file a Motion re. your Child Support case, you need to do the following:

- 1. Get an AOC600 form from the Gaston County Clerk of Court on the first floor of the Gaston County Courthouse. (Go through security upon entering the building, then go beyond the staircase and turn left through doors and then through the door straight ahead (Civil Clerk's Office). The forms are on your left as you enter that door.
- 2. Fill out the form for each case number. A child support agent can't fill it out for you. Include the following info: IV number, CVD number, Plaintiff (caretaker of child) and Defendant (you), date when order entered, amount of child support ordered (include monthly payment of current support and arrears, if any), check the appropriate box or write in the 'other' section, include the reason why you want the modification, sign your name and mark the 'defendant' box.
- 3. Take the form to your caseworker in the DSS building.* Check with her to be sure when s/he will be there. While there, the caseworker will assign a court date and make copies of the form and give it back to you. Let ht ecaseworker fill out thy ecourt date and sign their name on the back, or you fill it out. The Clerk will sign the 'Notice' portion. You sign the 'Service' section.
- 4. Take the Original and 2 copies of form AOC600 back to the Courthouse (the same place) and hand it to the clerk, who will file stamp all copies, take the original, and give you back 2 copies. 1 copy is for you and 1 copy is for Child Support. Make sure you have a copy of an Order stating that you are indigent and were appointed counsel so that you won't have to pay the \$20 notice fee.
- 5. Take the Child Support copy back to DSS and hand a copy to your caseworker. Keep the other copy for yourself.

*You must have a valid driver's license or picture ID to enter through the doors of the Child Support division.

REPORT OF MEDICAL EXAMINATION REQUESTED BY COUNTY DEPARTMENT OF SOCIAL SERVICES

| PART I. (To be completed by county DSS) | Case No | Dist. No | |
|---|---------|--------------------------|--|
| Patient Name | DOB | SSN | |
| Address | | | |
| Date | Case Ma | nager / Telephone Number | |

PART II. (For Applicant, Recipient, Personal Representative or Guardian)

I hereby authorize any physician, hospital, or clinic that has treated or examined me to give the County Department of Social Services information about my present or past health.

Date

Signature of Applicant, Recipient, Personal Representative, or Guardian

ALL INFORMATION BELOW IS TO BE COMPLETED BY A PHYSICIAN.

PART III. (Medical Report) Note to medical provider(s): The information you provide will be used by the County Department of Social Services to assist the individual in obtaining appropriate employment services, skills training, and/or medical treatment, which is consistent with the State and Federal Work Programs.

A. Does this individual have a medical or psychological condition(s) that results in functional limitations for work and/or attending training (i.e. work tolerance/stamina, mobility on the job, and communication with others)?

YES NO (If answer is no, please sign and date on page two).

If answer is yes, please complete both pages.

B. Date and purpose of recent examination:

C. Diagnosis: _____ Date of Onset? _____

D. Prognosis: _____ Current Medications _____

DSS-8655 FSCWS Rev. 07/05 E. Current Work Capacity: E Full Time Part Time (No. of days per week)

Given the current medical condition and prescribed medications of the individual, list any existing work, driving, or training restrictions related to possible work or training activities:

| Attend training classes | number of hours per day | |
|--|---|--|
| Sitting | number of hours per day | |
| Standing | number of hours per day | |
| Bending | number of hours per day | |
| Lifting | number of hours per day | |
| Carrying | number of hours per day | |
| 🗌 Walking | number of hours per day | |
| Understanding/Following I | nstructions | |
| Other, please specify | | |
| ☐ 30 Days ☐ 60 Da | | |
| | ys 🗍 90 Days 📋 120 Days or more 🗌 Permanent | |
| Other (Specify): Other (Specify): Vocational Rehabilitation is a limitations. Is your patient a ca | n employment/training program designed for individuals with physical and ndidate for referral to Vocational Rehabilitation? DSS will make the referral . | |
| Other (Specify): Other (Specify): Vocational Rehabilitation is a limitations. Is your patient a ca | n employment/training program designed for individuals with physical and | |

| Reporting Physician's Name, Address, and Specialty (Please Type or Print) | Signature of Physician |
|--|-------------------------------------|
| | Telephone No Date of this report |

DSS-8655 FSCWS Rev, 07/05

| OBLIGOR INSIDE & Address Line | | ACCOUNTRA THE CONSTRAINT |
|---|--|--|
| | _ | MPI# Docket# |
| | | Docket# |
| | _ | SS# |
| | | AMOUNT |
| SEND PA | MENT TO: | Amount Due:\$ A Mo |
| | NC Child Support Centralized Collections | Amount You Are Paying: |
| | PO Box 900006 | \$ |
| | Raleigh, NC 27675-9006 | |
| In order to assure your payment is correctly pos | sted, write your MPI number and Social Security numb | er on your check or money order. |
| DO NOT SEND CASH. Please print the amou | nt you are paying in the amount paid box. | |
| Please make you | r check or money order payable to | NC CHILD SUPPORT. |
| | | |
| | -19-190 | |
| OBUIGOR II Name & Address | | ACCOUNT # COULDER |
| ····· | -1 | MPI# |
| | -1 | Docket# |
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Child Support Services Department of Social Services Keith A Moon. Director

330 North Marietta Street Gastonia, NC 28052 (704)862-7520 Fax (704)862-7823

JOB SEARCH

| DATE: | | | | |
|---------|--|--|--|--|
| 114 1 1 | | | | |
| <i></i> | | | | |
| | | | | |

AP:_____

You stated you are unemployed or working part-time. You are to begin on Thursday, _______, 20____, going to at least two (2) places per business day to seek fulltime employment sufficient to satisfy your obligation to pay child support. You are to provide a completed list of ______ names and signatures from employers from whom you have sought employment since your last court date.

Applicant must return this form, completed, to ______, in Courtroom 4A on Wednesday, ______, 20____, AS ORDERED BY THE COURT.

IF YOU FIND GAINFUL EMPLOYMENT BEFORE YOUR NEXT COURT DATE, CONTACT YOUR AGENT AT (704) 862-_____ WITH YOUR EMPLOYMENT INFORMATION AND FOR FURTHER INSTRUCTIONS.

PAYMENT MAY BE MADE TO YOUR CHILD SUPPORT CASE BY MAILING A PAYMENT TO THE ADDRES BELOW. BE SURE TO INCLUDE YOUR SOCIAL SUECURITY #, MPI #______ AND YOUR FULL NAME ON THE MONEY ORDER OR CHECK.

| MAIL PAYMENTS TO: | N.C. CENTRALIZED COLLECITONS | | |
|-------------------|------------------------------|--|--|
| | P.O. BOX 900006 | | |
| | RALEIGH, NC 27675-0006 | | |
| | AP: | | |
| | SS#: | | |
| | MPI#: | | |

Mission Statement

Gaston County seeks to be among the finest counties in North Carolina. It will provide excessive, efficient and affordable services leading to a safe, secure and healthy community, an environment for economic growth, and promote a favorable quality of life.

GASTON COUNTY DEPARTMENT OF SOCIAL SERVICES

JOB SEARCH LOG

| | ch. List EVERY contact you make with an employer. |
|---------------------------------------|---|
| NAME OF BUSINESS: | TELEPHONE NUMBER: |
| BUSINESS ADDRESS: | |
| CONTACT TYPE: 🛛 In-Person 🖾 On-Line | CONTACT DATE: |
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GASTON COUNTY DEPARTMENT OF SOCIAL SERVICES

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GASTON COUNTY DEPARTMENT OF SOCIAL SERVICES

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JOB SEARCH LOG

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RULE 1.1 COMPETENCE

A lawyer shall not handle a legal matter that the lawyer knows or should know he or she is not competent to handle without associating with a lawyer who is competent to handle the matter. Competent representation requires the legal knowledge, skill, thoroughness, and preparation reasonably necessary for the representation.

Comment

Legal Knowledge and Skill

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[2] A lawyer need not necessarily have special training or prior experience to handle legal problems of a type with which the lawyer is unfamiliar. A newly admitted lawyer can be as competent as a practitioner with long experience. Some important legal skills, such as the analysis of precedent, the evaluation of evidence, and legal drafting, are required in all legal problems. Perhaps the most fundamental legal skill consists of determining what kind of legal problems a situation may involve, a skill that necessarily transcends any particular specialized knowledge. A lawyer can provide adequate representation in a wholly novel field through necessary study. Competent representation can also be provided through the association of a lawyer of established competence in the field in question.

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Thoroughness and Preparation

[5] Competent handling of a particular matter includes inquiry into, and analysis of, the factual and legal elements of the problem, and use of methods and procedures meeting the standards of competent practitioners. It also includes adequate preparation. The required attention and preparation are determined, in part, by what is at stake; major litigation and complex transactions ordinarily require more extensive treatment than matters of lesser complexity or consequence. An agreement between the lawyer and the client regarding the scope of the representation may limit the matters for which the lawyer is responsible. See Rule 1.2(c).

RULE 1.2 SCOPE OF REPRESENTATION AND ALLOCATION OF AUTHORITY BETWEEN CLIENT AND LAWYER

(a) Subject to paragraphs (c) and (d), a lawyer shall abide by a client's decisions concerning the objectives of representation and, as required by Rule 1.4, shall consult with the client as to the means by which they are to be pursued. A lawyer may take such action on behalf of the client as is impliedly authorized to carry out the representation.

(1) A lawyer shall abide by a client's decision whether to settle a matter. In a criminal case, the lawyer shall abide by the client's decision, after consultation with the lawyer, as to a plea to be entered, whether to waive jury trial and whether the client will testify.

(2) A lawyer does not violate this rule by acceding to reasonable requests of opposing counsel that do not prejudice the rights of a client, by being punctual in fulfilling all professional commitments, by avoiding offensive tactics, or by treating with courtesy and consideration all persons involved in the legal process.

(3) In the representation of a client, a lawyer may exercise his or her professional judgment to waive or fail to assert a right or position of the client.

(b) A lawyer's representation of a client, including representation by appointment, does not constitute an endorsement of the client's political, economic, social or moral views or activities.

(c) A lawyer may limit the scope of the representation if the limitation is reasonable under the circumstances.

(d) A lawyer shall not counsel a client to engage, or assist a client, in conduct that the lawyer knows is criminal or fraudulent, but a lawyer may discuss the legal consequences of any proposed course of conduct with a client and may counsel or assist a client to make a good faith effort to determine the validity, scope, meaning or application of the law.

Comment

Allocation of Authority between Client and Lawyer

[1] Paragraph (a) confers upon the client the ultimate authority to determine the purposes to be served by legal representation, within the limits imposed by law and the lawyer's professional obligations. The decisions specified in paragraph (a), such as whether to settle a civil matter, must also be made by the client. See Rule 1.4(a)(1) for the lawyer's duty to communicate with the client about such decisions. With respect to the means by which the client's objectives are to be pursued, the lawyer shall consult with the client as required by Rule 1.4(a)(2) and may take such action as is impliedly authorized to carry out the representation. Lawyers are encouraged to treat opposing counsel with courtesy and to cooperate with opposing

counsel when it will not prevent or unduly hinder the pursuit of the objective of the representation. To this end, a lawyer may waive a right or fail to assert a position of a client without first obtaining the client's consent. For example, a lawyer may consent to an extension of time for the opposing party to file pleadings or discovery without obtaining the client's consent.

[2] On occasion, however, a lawyer and a client may disagree about the means to be used to accomplish the client's objectives. Clients normally defer to the special knowledge and skill of their lawyer with respect to the means to be used to accomplish their objectives, particularly with respect to technical, legal and tactical matters. Conversely, lawyers usually defer to the client regarding such questions as the expense to be incurred and concern for third persons who might be adversely affected. Because of the varied nature of the matters about which a lawyer and client might disagree and because the actions in question may implicate the interests of a tribunal or other persons, this Rule does not prescribe how such disagreements are to be resolved. Other law, however, may be applicable and should be consulted by the lawyer. The lawyer should also consult with the client and seek a mutually acceptable resolution of the disagreement. If such efforts are unavailing and the lawyer has a fundamental disagreement with the client, the lawyer may withdraw from the representation. See Rule 1.16(b)(4). Conversely, the client may resolve the disagreement by discharging the lawyer. See Rule 1.16(a)(3).

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[4] In a case in which the client appears to be suffering diminished capacity, the lawyer's duty to abide by the client's decisions is to be guided by reference to Rule 1.14.

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[14] If a lawyer comes to know or reasonably should know that a client expects assistance not permitted by the Rules of Professional Conduct or other law or if the lawyer intends to act contrary to the client's instructions, the lawyer must consult with the client regarding the limitations on the lawyer's conduct. See Rule 1.4(a)(5).

RULE 1.4 COMMUNICATION

(a) A lawyer shall:

(1) promptly inform the client of any decision or circumstance with respect to which the client's informed consent, as defined in Rule 1.0(f), is required by these Rules;

(2) reasonably consult with the client about the means by which the client's objectives are to be accomplished;

(3) keep the client reasonably informed about the status of the matter;

(4) promptly comply with reasonable requests for information; and

(5) consult with the client about any relevant limitation on the lawyer's conduct when the lawyer knows that the client expects assistance not permitted by the Rules of Professional Conduct or other law.

(b) A lawyer shall explain a matter to the extent reasonably necessary to permit the client to make informed decisions regarding the representation.

Comment

[1] Reasonable communication between the lawyer and the client is necessary for the client effectively to participate in the representation.

Communicating with Client

[2] If these Rules require that a particular decision about the representation be made by the client, paragraph (a)(1) requires that the lawyer promptly consult with and secure the client's consent prior to taking action unless prior discussions with the client have resolved what action the client wants the lawyer to take. For example, a lawyer who receives from opposing counsel an offer of settlement in a civil controversy or a proffered plea bargain in a criminal case must promptly inform the client of its substance unless the client has previously indicated that the proposal will be acceptable or unacceptable or has authorized the lawyer to accept or to reject the offer. See Rule 1.2(a).

[3] Paragraph (a)(2) requires the lawyer to consult with the client about the means to be used to accomplish the client's objectives. In some situations - depending on both the importance of the action under consideration and the feasibility of consulting with the client - this duty will require consultation prior to taking action. In other circumstances, such as during a trial when an immediate decision must be made, the exigency of the situation may require the lawyer to act without prior consultation. In such cases the lawyer must nonetheless act reasonably to inform the client of actions the lawyer has taken on the client's behalf. Additionally, paragraph (a)(3) requires that the lawyer keep the client reasonably informed about the status of the matter, such as significant developments affecting the timing or the substance of the representation. [4] A lawyer's regular communication with clients will minimize the occasions on which a client will need to request information concerning the representation. When a client makes a reasonable request for information, however, paragraph (a)(4) requires prompt compliance with the request, or if a prompt response is not feasible, that the lawyer, or a member of the lawyer's staff, acknowledge receipt of the request and advise the client when a response may be expected. A lawyer should address with the client how the lawyer and the client will communicate, and should respond to or acknowledge client communications in a reasonable and timely manner.

Explaining Matters

[5] The client should have sufficient information to participate intelligently in decisions concerning the objectives of the representation and the means by which they are to be pursued, to the extent the client is willing and able to do so. Adequacy of communication depends in part on the kind of advice or assistance that is involved. For example, when there is time to explain a proposal made in a negotiation, the lawyer should review all important provisions with the client before proceeding to an agreement. In litigation a lawyer should explain the general strategy and prospects of success and ordinarily should consult the client on tactics that are likely to result in significant expense or to injure or coerce others. On the other hand, a lawyer ordinarily will not be expected to describe trial or negotiation strategy in detail. The guiding principle is that the lawyer should fulfill reasonable client expectations for information consistent with the duty to act in the client's best interests, and the client's overall requirements as to the character of representation. In certain circumstances, such as when a lawyer asks a client to consent to a representation affected by a conflict of interest, the client must give informed consent, as defined in Rule 1.0(f).

[6] Ordinarily, the information to be provided is that appropriate for a client who is a comprehending and responsible adult. However, fully informing the client according to this standard may be impracticable, for example, where the client is a child or suffers from diminished capacity. See Rule 1.14. When the client is an organization or group, it is often impossible or inappropriate to inform every one of its members about its legal affairs; ordinarily, the lawyer should address communications to the appropriate officials of the organization. See Rule 1.13. Where many routine matters are involved, a system of limited or occasional reporting may be arranged with the client.

Withholding Information

[7] In some circumstances, a lawyer may be justified in delaying transmission of information when the client would be likely to react imprudently to an immediate communication. Thus, a lawyer might withhold a psychiatric diagnosis of a client when the examining psychiatrist indicates that disclosure would harm the client. A lawyer may not withhold information to serve the lawyer's own interest or convenience or the interests or convenience of another person. Rules or court orders

governing litigation may provide that information supplied to a lawyer may not be disclosed to the client. Rule 3.4(c) directs compliance with such rules or orders.

RULE 1.0 TERMINOLOGY

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(f) "Informed consent" denotes the agreement by a person to a proposed course of conduct after the lawyer has communicated adequate information and explanation appropriate to the circumstances.

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Comment

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Informed Consent

[6] Many of the Rules of Professional Conduct require the lawyer to obtain the informed consent of a client or other person (e.g., a former client or, under certain circumstances, a prospective client) before accepting or continuing representation or pursuing a course of conduct. See, e.g., Rules 1.6(a) and 1.7(b). The communication necessary to obtain such consent will vary according to the Rule involved and the circumstances giving rise to the need to obtain informed consent. The lawyer must make reasonable efforts to ensure that the client or other person possesses information reasonably adequate to make an informed decision. Ordinarily, this will require communication that includes a disclosure of the facts and circumstances giving rise to the situation, any explanation reasonably necessary to inform the client or other person of the material advantages and disadvantages of the proposed course of conduct and a discussion of the client's or other person's options and alternatives. In some circumstances it may be appropriate for a lawyer to advise a client or other person to seek the advice of other counsel. A lawyer need not inform a client or other person of facts or implications already known to the client or other person; nevertheless, a lawyer who does not personally inform the client or other person assumes the risk that the client or other person is inadequately informed and the consent is invalid. In determining whether the information and explanation provided are reasonably adequate, relevant factors include whether the client or other person is experienced in legal matters generally and in making decisions of the type involved, and whether the client or other person is independently represented by other counsel in giving the consent. Normally, such persons need less information and explanation than others, and generally a client or other person who is independently represented by other counsel in giving the consent should be assumed to have given informed consent.

[7] Obtaining informed consent will usually require an affirmative response by the client or other person. In general, a lawyer may not assume consent from a client's or other person's silence. Consent may be inferred, however, from the conduct of a

client or other person who has reasonably adequate information about the matter. A number of Rules require that a person's consent be confirmed in writing. See Rules 1.7(b) and 1.9(a). For a definition of "writing" and "confirmed in writing," see paragraphs (o) and (c). Other Rules require that a client's consent be obtained in a writing signed by the client. See, e.g., Rules 1.8(a) and (g). For a definition of "signed," see paragraph (o).

RULE 1.6 CONFIDENTIALITY OF INFORMATION

(a) A lawyer shall not reveal information acquired during the professional relationship with a client unless the client gives informed consent, the disclosure is impliedly authorized in order to carry out the representation or the disclosure is permitted by paragraph (b).

(b) A lawyer may reveal information protected from disclosure by paragraph (a) to the extent the lawyer reasonably believes necessary:

(1) to comply with the Rules of Professional Conduct, the law or court order;

(2) to prevent the commission of a crime by the client;

(3) to prevent reasonably certain death or bodily harm;

(4) to prevent, mitigate, or rectify the consequences of a client's criminal or fraudulent act in the commission of which the lawyer's services were used;

(5) to secure legal advice about the lawyer's compliance with these Rules;

(6) to establish a claim or defense on behalf of the lawyer in a controversy between the lawyer and the client; to establish a defense to a criminal charge or civil claim against the lawyer based upon conduct in which the client was involved; or to respond to allegations in any proceeding concerning the lawyer's representation of the client;

(7) to comply with the rules of a lawyers' or judges' assistance program approved by the North Carolina State Bar or the North Carolina Supreme Court; or

(8) to detect and resolve conflicts of interest arising from the lawyer's change of employment or from changes in the composition or ownership of a firm, but only if the revealed information would not compromise the attorney-client privilege or otherwise prejudice the client.

(c) A lawyer shall make reasonable efforts to prevent the inadvertent or unauthorized disclosure of, or unauthorized access to, information relating to the representation of a client.

(d) The duty of confidentiality described in this Rule encompasses information received by a lawyer then acting as an agent of a lawyers' or judges' assistance program approved by the North Carolina State Bar or the North Carolina Supreme Court regarding another lawyer or judge seeking assistance or to whom assistance is being offered. For the purposes of this Rule, "client" refers to lawyers seeking assistance from lawyers' or judges' assistance programs approved by the North Carolina State Bar or the North Carolina Supreme Court.

Comment

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[4] Paragraph (a) prohibits a lawyer from revealing information acquired during the representation of a client. This prohibition also applies to disclosures by a lawyer

that do not in themselves reveal protected information but could reasonably lead to the discovery of such information by a third person. A lawyer's use of a hypothetical to discuss issues relating to the representation is permissible so long as there is no reasonable likelihood that the listener will be able to ascertain the identity of the client or the situation involved.

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Disclosure Adverse to Client

[6] Although the public interest is usually best served by a strict rule requiring lawyers to preserve the confidentiality of information acquired during the representation of their clients, the confidentiality rule is subject to limited exceptions. In becoming privy to information about a client, a lawyer may foresee that the client intends to commit a crime. Paragraph (b)(2) recognizes that a lawyer should be allowed to make a disclosure to avoid sacrificing the interests of the potential victim in favor of preserving the client's confidences when the client's purpose is wrongful. Similarly, paragraph (b)(3) recognizes the overriding value of life and physical integrity and permits disclosure reasonably necessary to prevent reasonably certain death or substantial bodily harm. Such harm is reasonably certain to occur if it will be suffered imminently or if there is a present and substantial threat that a person will suffer such harm at a later date if the lawyer fails to take action necessary to eliminate the threat. Thus, a lawyer who knows that a client has accidentally discharged toxic waste into a town's water supply may reveal this information to the authorities if there is a present and substantial risk that a person who drinks the water will contract a life-threatening or debilitating disease and the lawyer's disclosure is necessary to eliminate the threat or reduce the number of victims.

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[13] Other law may require that a lawyer disclose information about a client. Whether such a law supersedes Rule 1.6 is a question of law beyond the scope of these Rules. When disclosure of information acquired during the representation appears to be required by other law, the lawyer must discuss the matter with the client to the extent required by Rule 1.4. If, however, the other law supersedes this Rule and requires disclosure, paragraph (b)(1) permits the lawyer to make such disclosures as are necessary to comply with the law.

[14] Paragraph (b)(1) also permits compliance with a court order requiring a lawyer to disclose information relating to a client's representation. If a lawyer is called as a witness to give testimony concerning a client or is otherwise ordered to reveal information relating to the client's representation, however, the lawyer must, absent informed consent of the client to do otherwise, assert on behalf of the client all nonfrivolous claims that the information sought is protected against disclosure by the attorney-client privilege or other applicable law. In the event of an adverse ruling, the lawyer must consult with the client about the possibility of appeal. See Rule 1.4. Unless review is sought, however, paragraph (b)(1) permits the lawyer to comply with the court's order.

[15] Paragraph (b) permits disclosure only to the extent the lawyer reasonably believes the disclosure is necessary to accomplish one of the purposes specified. Where practicable, the lawyer should first seek to persuade the client to take suitable action to obviate the need for disclosure. In any case, a disclosure adverse to the client's interest should be no greater than the lawyer reasonably believes necessary to accomplish the purpose. If the disclosure will be made in connection with a judicial proceeding, the disclosure should be made in a manner that limits access to the information to the tribunal or other persons having a need to know it and appropriate protective orders or other arrangements should be sought by the lawyer to the fullest extent practicable.

[16] Paragraph (b) permits but does not require the disclosure of information acquired during a client's representation to accomplish the purposes specified in paragraphs (b)(1) through (b)(7). In exercising the discretion conferred by this Rule, the lawyer may consider such factors as the nature of the lawyer's relationship with the client and with those who might be injured by the client, the lawyer's own involvement in the transaction and factors that may extenuate the conduct in question. When practical, the lawyer should first seek to persuade the client to take suitable action, making it unnecessary for the lawyer to make any disclosure. A lawyer's decision not to disclose as permitted by paragraph (b) does not violate this Rule. Disclosure may be required, however, by other Rules. Some Rules require disclosure only if such disclosure would be permitted by paragraph (b). See Rules 1.2(d), 4.1(b), 8.1 and 8.3. Rule 3.3, on the other hand, requires disclosure in some circumstances regardless of whether such disclosure is permitted by this Rule. See Rule 3.3(c).

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Acting Competently to Preserve Confidentiality

[19] Paragraph (c) requires a lawyer to act competently to safeguard information acquired during the representation of a client against unauthorized access by third parties and against inadvertent or unauthorized disclosure by the lawyer or other persons who are participating in the representation of the client or who are subject to the lawyer's supervision. See Rules 1.1, 5.1, and 5.3. The unauthorized access to, or the inadvertent or unauthorized disclosure of, information acquired during the professional relationship with a client does not constitute a violation of paragraph (c) if the lawyer has made reasonable efforts to prevent the access or disclosure. Factors to be considered in determining the reasonableness of the lawyer's efforts include, but are not limited to, the sensitivity of the information, the likelihood of disclosure if additional safeguards are not employed, the cost of employing additional safeguards, the difficulty of implementing the safeguards, and the extent to which the safeguards adversely affect the lawyer's ability to represent clients (e.g., by making a device or important piece of software excessively difficult to use). A client may require the lawyer to implement special security measures not required by this Rule, or may give informed consent to forgo security measures that would otherwise be required by this Rule. Whether a lawyer may be required to take additional steps to safeguard a client's information to comply with other law such as state and federal laws that govern data privacy, or that impose notification requirements upon the loss of, or unauthorized access to, electronic information—is beyond the scope of these Rules. For a lawyer's duties when sharing information with nonlawyers outside the lawyer's own firm, see Rule 5.3, Comments [3]-[4].

[20] When transmitting a communication that includes information acquired during the representation of a client, the lawyer must take reasonable precautions to prevent the information from coming into the hands of unintended recipients. This duty, however, does not require that the lawyer use special security measures if the method of communication affords a reasonable expectation of privacy. Special circumstances, however, may warrant special precautions. Factors to be considered in determining the reasonableness of the client's expectation of confidentiality include the sensitivity of the information and the extent to which the privacy of the communication is protected by law or by a confidentiality agreement. A client may require the lawyer to implement special security measures not required by this Rule or may give informed consent to the use of a means of communication that would otherwise be prohibited by this Rule. Whether a lawyer may be required to take additional steps to comply with other law, such as state and federal laws that govern data privacy, is beyond the scope of these Rules.

Former Client

[21] The duty of confidentiality continues after the client-lawyer relationship has terminated. See Rule 1.9(c)(2). See Rule 1.9(c)(1) for the prohibition against using such information to the disadvantage of the former client.

RULE 1.14 CLIENT WITH DIMINISHED CAPACITY

(a) When a client's capacity to make adequately considered decisions in connection with a representation is diminished, whether because of minority, mental impairment or for some other reason, the lawyer shall, as far as reasonably possible, maintain a normal client-lawyer relationship with the client.

(b) When the lawyer reasonably believes that the client has diminished capacity, is at risk of substantial physical, financial or other harm unless action is taken and cannot adequately act in the client's own interest, the lawyer may take reasonably necessary protective action, including consulting with individuals or entities that have the ability to take action to protect the client and, in appropriate cases, seeking the appointment of a guardian ad litem or guardian.

(c) Information relating to the representation of a client with diminished capacity is protected by Rule 1.6. When taking protective action pursuant to paragraph (b), the lawyer is impliedly authorized under Rule 1.6(a) to reveal information about the client, but only to the extent reasonably necessary to protect the client's interests.

Comment

[1] The normal client-lawyer relationship is based on the assumption that the client, when properly advised and assisted, is capable of making decisions about important matters. When the client is a minor or suffers from a diminished mental capacity, however, maintaining the ordinary client-lawyer relationship may not be possible in all respects. In particular, a severely incapacitated person may have no power to make legally binding decisions. Nevertheless, a client with diminished capacity often has the ability to understand, deliberate upon, and reach conclusions about matters affecting the client's own well-being. For example, children as young as five or six years of age, and certainly those of ten or twelve, are regarded as having opinions that are entitled to weight in legal proceedings concerning their custody. So also, it is recognized that some persons of advanced age can be quite capable of handling routine financial matters while needing special legal protection concerning major transactions.

[2] The fact that a client suffers a disability does not diminish the lawyer's obligation to treat the client with attention and respect. Even if the person has a legal representative, the lawyer should as far as possible accord the represented person the status of client, particularly in maintaining communication.

[3] The client may wish to have family members or other persons participate in discussions with the lawyer. When necessary to assist in the representation, the presence of such persons generally does not affect the applicability of the attorneyclient evidentiary privilege. Nevertheless, the lawyer must keep the client's interests foremost and, except for protective action authorized under paragraph (b), must to look to the client, and not family members, to make decisions on the client's behalf. [4] If a legal representative has already been appointed for the client, the lawyer should ordinarily look to the representative for decisions on behalf of the client. In matters involving a minor, whether the lawyer should look to the parents as natural guardians may depend on the type of proceeding or matter in which the lawyer is representing the minor. If the lawyer represents the guardian as distinct from the ward, and is aware that the guardian is acting adversely to the ward's interest, the lawyer may have an obligation to prevent or rectify the guardian's misconduct. See Rule 1.2(d).

Taking Protective Action

[5] If a lawyer reasonably believes that a client is at risk of substantial physical, financial or other harm unless action is taken, and that a normal client-lawyer relationship cannot be maintained as provided in paragraph (a) because the client lacks sufficient capacity to communicate or to make adequately considered decisions in connection with the representation, then paragraph (b) permits the lawyer to take protective measures deemed necessary. Such measures could include: consulting with family members, using a reconsideration period to permit clarification or improvement of circumstances, using voluntary surrogate decision-making tools such as durable powers of attorney or consulting with support groups, professional services, adult-protective agencies or other individuals or entities that have the ability to protect the client. In taking any protective action, the lawyer should be guided by such factors as the wishes and values of the client to the extent known, the client's best interests and the goals of intruding into the client's decision-making autonomy to the least extent feasible, maximizing client capacities and respecting the client's family and social connections.

[6] In determining the extent of the client's diminished capacity, the lawyer should consider and balance such factors as: the client's ability to articulate reasoning leading to a decision, variability of state of mind and ability to appreciate consequences of a decision; the substantive fairness of a decision; and the consistency of a decision with the known long-term commitments and values of the client. In appropriate circumstances, the lawyer may seek guidance from an appropriate diagnostician.

[7] If a legal representative has not been appointed, the lawyer should consider whether appointment of a guardian ad litem or guardian is necessary to protect the client's interests. Thus, if a client with diminished capacity has substantial property that should be sold for the client's benefit, effective completion of the transaction may require appointment of a legal representative. In addition, rules of procedure in litigation sometimes provide that minors or persons with diminished capacity must be represented by a guardian or next friend if they do not have a general guardian. In many circumstances, however, appointment of a legal representative may be more expensive or traumatic for the client than circumstances in fact require. Evaluation of such circumstances is a matter entrusted to the professional judgment of the lawyer. In considering alternatives, however, the lawyer should be aware of any law that requires the lawyer to advocate the least restrictive action on behalf of the client.

Disclosure of the Client's Condition

[8] Disclosure of the client's diminished capacity could adversely affect the client's interests. For example, raising the question of diminished capacity could, in some circumstances, lead to proceedings for involuntary commitment. Information relating to the representation is protected by Rule 1.6. Therefore, unless authorized to do so, the lawyer may not disclose such information. When taking protective action pursuant to paragraph (b), the lawyer is impliedly authorized to make the necessary disclosures, even when the client directs the lawyer to the contrary. Nevertheless, given the risks of disclosure, paragraph (c) limits what the lawyer may disclose in consulting with other individuals or entities or seeking the appointment of a legal representative. At the very least, the lawyer should determine whether it is likely that the person or entity consulted with will act adversely to the client's interests before discussing matters related to the client. The lawyer's position in such cases is an unavoidably difficult one.

Emergency Legal Assistance

[9] In an emergency where the health, safety or a financial interest of a person with seriously diminished capacity is threatened with imminent and irreparable harm, a lawyer may take legal action on behalf of such a person even though the person is unable to establish a client-lawyer relationship or to make or express considered judgments about the matter, when the person or another acting in good faith on that person's behalf has consulted with the lawyer. Even in such an emergency, however, the lawyer should not act unless the lawyer reasonably believes that the person has no other lawyer, agent or other representative available. The lawyer should take legal action on behalf of the person only to the extent reasonably necessary to maintain the status quo or otherwise avoid imminent and irreparable harm. A lawyer who undertakes to represent a person in such an exigent situation has the same duties under these Rules as the lawyer would with respect to a client.

[10] A lawyer who acts on behalf of a person with seriously diminished capacity in an emergency should keep the confidences of the person as if dealing with a client, disclosing them only to the extent necessary to accomplish the intended protective action. The lawyer should disclose to any tribunal involved and to any other counsel involved the nature of his or her relationship with the person. The lawyer should take steps to regularize the relationship or implement other protective solutions as soon as possible.

RULE 1.16 DECLINING OR TERMINATING REPRESENTATION

(a) Except as stated in paragraph (c), a lawyer shall not represent a client or, where representation has commenced, shall withdraw from the representation of a client if:

(1) the representation will result in violation of law or the Rules of Professional Conduct;

(2) the lawyer's physical or mental condition materially impairs the lawyer's ability to represent the client; or

(3) the lawyer is discharged.

(b) Except as stated in paragraph (c), a lawyer may withdraw from representing a client if:

(1) withdrawal can be accomplished without material adverse effect on the interests of the client; or

(2) the client knowingly and freely assents to the termination of the representation; or

(3) the client persists in a course of action involving the lawyer's services that the lawyer reasonably believes is criminal or fraudulent; or

(4) the client insists upon taking action that the lawyer considers repugnant, imprudent, or contrary to the advice and judgment of the lawyer, or with which the lawyer has a fundamental disagreement; or

(5) the client has used the lawyer's services to perpetrate a crime or fraud; or

(6) the client fails substantially to fulfill an obligation to the lawyer regarding the lawyer's services and has been given reasonable warning that the lawyer will withdraw unless the obligation is fulfilled; or

(7) the representation will result in an unreasonable financial burden on the lawyer or has been rendered unreasonably difficult by the client; or

(8) the client insists upon presenting a claim or defense that is not warranted under existing law and cannot be supported by good faith argument for an extension, modification, or reversal of existing law; or

(9) other good cause for withdrawal exists.

(c) A lawyer must comply with applicable law requiring notice to or permission of a tribunal when terminating a representation. When ordered to do so by a tribunal, a lawyer shall continue representation notwithstanding good cause for terminating the representation.

(d) Upon termination of representation, a lawyer shall take steps to the extent reasonably practicable to protect a client's interests, such as giving reasonable notice to the client, allowing time for employment of other counsel, surrendering papers and property to which the client is entitled and refunding any advance

payment of fee or expense that has not been earned or incurred. The lawyer may retain papers relating to the client to the extent permitted by other law.

Comment

[1] A lawyer should not accept representation in a matter unless it can be performed competently, promptly, without improper conflict of interest and to completion. Ordinarily, a representation in a matter is completed when the agreed-upon assistance has been concluded. See Rules 1.2(c) and 6.5. See also Rule 1.3, Comment [4].

Mandatory Withdrawal

[2] A lawyer ordinarily must decline or withdraw from representation if the client demands that the lawyer engage in conduct that is illegal or violates the Rules of Professional Conduct or other law. The lawyer is not obliged to decline or withdraw simply because the client suggests such a course of conduct; a client may make such a suggestion in the hope that a lawyer will not be constrained by a professional obligation.

[3] When a lawyer has been appointed to represent a client, withdrawal ordinarily requires approval of the appointing authority. Similarly, court approval or notice to the court is often required by applicable law before a lawyer withdraws from pending litigation. Difficulty may be encountered if withdrawal is based on the client's demand that the lawyer engage in unprofessional conduct. The court may request an explanation for the withdrawal, while the lawyer may be bound to keep confidential the facts that would constitute such an explanation. The lawyer's statement that professional considerations require termination of the representation ordinarily should be accepted as sufficient. Lawyers should be mindful of their obligations to both clients and the court under Rules 1.6 and 3.3.

Discharge

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[5] Whether a client can discharge appointed counsel may depend on applicable law. A client seeking to do so should be given a full explanation of the consequences. These consequences may include a decision by the appointing authority that appointment of successor counsel is unjustified, thus requiring self-representation by the client.

[6] If the client has severely diminished capacity, the client may lack the legal capacity to discharge the lawyer, and in any event the discharge may be seriously adverse to the client's interests. The lawyer should make special effort to help the client consider the consequences and may take reasonably necessary protective action as provided in Rule 1.14.

Optional Withdrawal

[7] A lawyer may withdraw from representation in some circumstances. The lawyer has the option to withdraw if it can be accomplished without material adverse effect

on the client's interests. Forfeiture by the client of a substantial financial investment in the representation may have such effect on the client's interests. Withdrawal is also justified if the client persists in a course of action that the lawyer reasonably believes is criminal or fraudulent, for a lawyer is not required to be associated with such conduct even if the lawyer does not further it. Withdrawal is also permitted if the lawyer's services were misused in the past even if that would materially prejudice the client. The lawyer may also withdraw where the client insists on taking action that the lawyer considers repugnant or imprudent or with which the lawyer has a fundamental disagreement.

. . . .

Assisting the Client upon Withdrawal

[9] Even if the lawyer has been unfairly discharged by the client, a lawyer must take all reasonable steps to mitigate the consequences to the client.