



# Uniform Guidance Procurement Requirements for NC Local Governments

UNC School of Government  
Webinar Presentation  
April 24, 2018



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# Welcome!



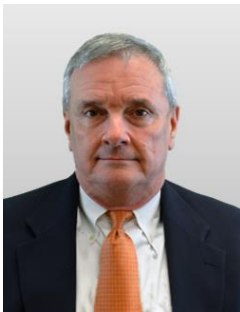
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NC Department of State Treasurer



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# OVERVIEW



## FEDERAL REGISTER

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Part III

### Office of Management and Budget

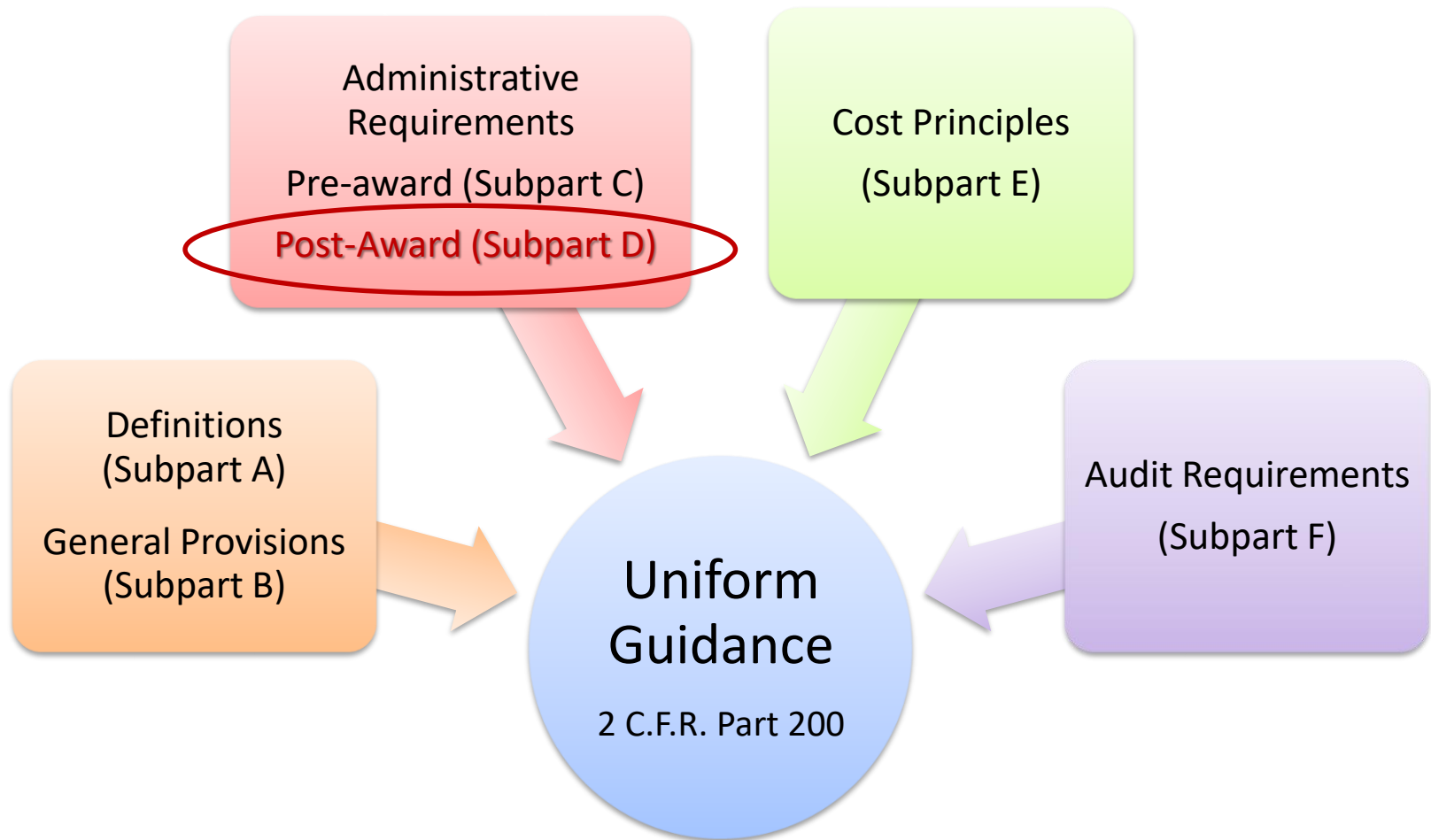
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2 CFR Chapter I, Chapter II, Part 200, et al.  
Uniform Administrative Requirements, Cost Principles, and Audit  
Requirements for Federal Awards; Final Rule

# What is the Uniform Guidance?

- Rules that set uniform standards for the award and expenditure of federal financial assistance (grants and loans)
- UG supersedes previous rules/OMB Circulars (ex: A-102, A-133)
- UG codified at 2 C.F.R. Part 200
- Procurement standards codified at 2 C.F.R. Subpart D (§§ 200.317-326)

# What is the Uniform Guidance?



# What is the Uniform Guidance?



## Post-Award Requirements (Subpart D)

1. Financial and Program Management Standards
2. Property Standards
3. **Procurement Standards**
4. Performance and Financial Monitoring and Reporting
5. Record Retention and Access
6. Remedies for Noncompliance
7. Closeout
8. Post-closeout adjustment and continuing responsibilities
9. Collection of Amounts Due

# Who Does the UG Apply To?

“Non-federal entities” that receive federal financial assistance – states, nonprofits, Indian Tribes, universities and colleges, and local governments

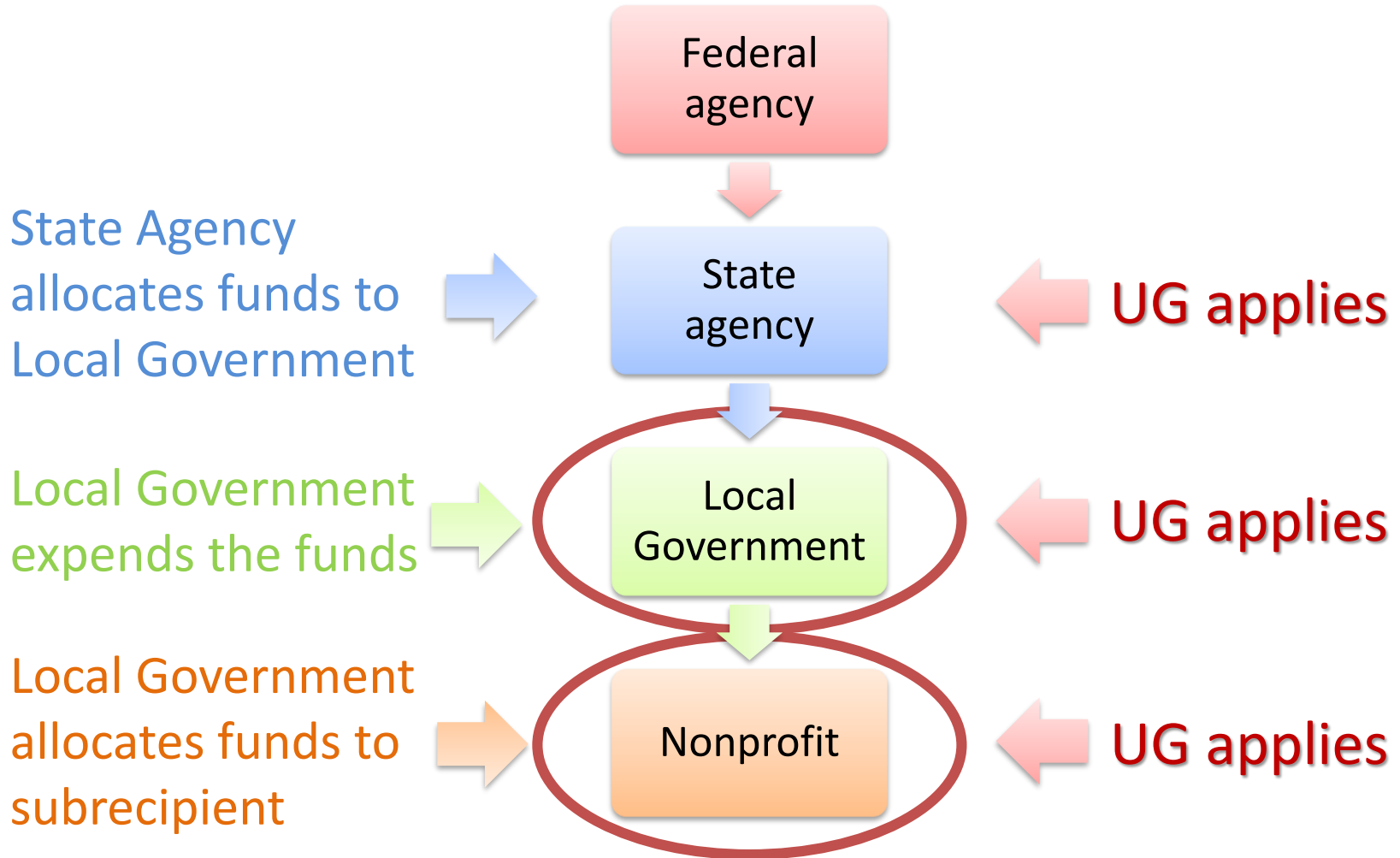


- ✓ City and County
- ✓ School District
- ✓ Public Authority and Special District
- ✓ Council of Government
- ✓ Any other “political subdivision”



# Who Does the UG Apply To?

## Recipients *and all subrecipients* are covered





# Which Programs are Covered?

- UG applies to most (but not all) categories of federal financial assistance programs
- Not all federal programs are covered
- Not all parts of the UG apply to all categories of covered funds

**ASSUME THE UG APPLIES UNLESS THE  
GRANTOR AGENCY ADVISES OTHERWISE -  
CHECK WITH YOUR GRANTOR AGENCY!**



**FEMA**

# When Does the UG Become Effective?

Grace period for implementing new  
requirements ends  
on your first FYE after 12/25/2017



## Example:

If your fiscal year ends  
6/30/2018, UG requirements  
apply 7/1/2018

# Why Is This Important?



## Funding

- ☐ Obligated Funds
- ☒ Deobligate Funds
- ☐ No Change to Funding

Noncompliance with  
state and federal  
requirements has  
serious consequences

# Why Is This Important?

- DHS (FEMA) OIG audits
  - 2009-2014:  
*Disallowed \$387m*
  - 2015:  
*Disallowed \$122m*
- Noncompliance with federal procurement requirements most common reason for FEMA PA deobligation

## Common Procurement Violations with FEMA PA:

1. Noncompetitive contracting
2. Contract provisions
3. M/WBE requirements
4. Cost-Plus contracts
5. Lack of documentation

# TOP 10 GENERAL UG PROCUREMENT STANDARDS



# General UG Procurement Standards

## 1. Oversight

Maintain oversight to ensure contractors perform according to terms, conditions, and specifications of contract



## 2. Necessity

Avoid unnecessary/duplicative supplies and services; limit acquisitions to what is necessary to perform the scope of work (no “stockpiling”)



# General UG Procurement Standards

## 3. Standards of Conduct

- Written policy governing conflicts of interest and performance of employees engaged in contracting
- Must address conflicts of interest and gifts
- Must include disciplinary action for violations



## 4. Conflicts of Interest

- Cannot be involved in contracting process if you have a real *or apparent* conflict of interest
- Must disclose conflicts in writing to federal grantor agency

## 5. Gifts

- Cannot solicit or accept gifts or favors from contractors or subcontractors



# General UG Procurement Standards

## 6. Award to Responsible Contractors

- Award contracts only to *responsible* contractors; adhere to the lowest responsive, responsible bidder standard of award
- Cannot award to federally debarred bidder

## 7. Records

Maintain records detailing the procurement process, including documentation of:

- Rationale for method of procurement used
- Contract type
- Contractor selection process
- Basis for contract price

**DOCUMENT EVERYTHING!!!**

**Put the contract in writing!!!**

# General UG Procurement Standards

## 8. Time and Materials & Cost-Plus Contracts

Generally not allowed.



## 9. Settlement of Issues

The local government, *not* the federal government, is solely responsible for settling all contract disputes and claims. Federal government will not become involved in or defend contract claims.



# General UG Procurement Standards

## 10. Full and Open Competition

- Procurement process must be “full and open competition” consistent with state and federal bidding requirements
- Designers/contractors who help develop specs cannot bid on the contract
- Geographic preferences prohibited
- “Brand-name” specifications without equal alternatives prohibited
- Pre-positioned competitively bid contracts are permitted

# Additional Resources

- Detailed comparison chart available on [SOG website](http://www.sog.unc.edu)
- Requirements summarized in [Treasurer's Memorandum #2018-06](#)

The screenshot shows the UNC School of Government website. The header includes the UNC logo and navigation links: About, Public Officials, Topics, Courses, Publications, Blogs, Resources, Giving, Knapp Library, and MPA Degree. A search bar is in the top right. The main content area is titled 'Local Government Purchasing and Contracting' and lists various tools and resources. A sidebar on the right contains links to TRAINING, REFERENCE MATERIALS, and RESOURCES. The 'RESOURCES' section has a 'Tools' link circled in red. The main content area also has a 'Tools' link circled in red. Below the 'Tools' link, there is a list of resources, including 'Federal Procurement Requirements' which is also circled in red. At the bottom of the page, there is a contact information section for Norma R. Houston, including a photo and her title as Lecturer in Public Law and Government.

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- **Federal Procurement Requirements**

Please contact Norma Houston with any questions, suggestions, or comments about these Tools (or with any ideas for additional tools).

**TRAINING**


- Courses
- Certifications and Organizations

**REFERENCE MATERIALS**

- Publications
- Statutes
- Legislative Updates

**RESOURCES**

- Tools**
- Forms
  - Sample Procurement Forms
  - Sample Property Disposal Forms
- Listserv
- Construction Contracting
- HUB Resources



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[www.ncpurchasing.unc.edu](http://www.ncpurchasing.unc.edu)

# **TOP 10 DIFFERENCES BETWEEN**



# **UG PROCUREMENT REQUIREMENTS AND STATE LAW**



# Which Rules Do You Follow?

“The non-Federal entity must use its own documented procurement procedures which reflect applicable state, local, and tribal laws and regulations, provided that the procurements conform to applicable Federal law and the standards identified in this part.”

- 2 CFR § 200.318(a)

# Major UG/State Differences

1. Bid Thresholds
2. Bidding Requirements
3. Service Contracts
4. Minority Solicitation Requirements
5. Time & Materials / Cost-Plus Contracts
6. Bidding Exceptions
7. Written Policies & Documentation
8. Contract Provisions
9. Conflicts of Interest
10. Procurement by non-profits

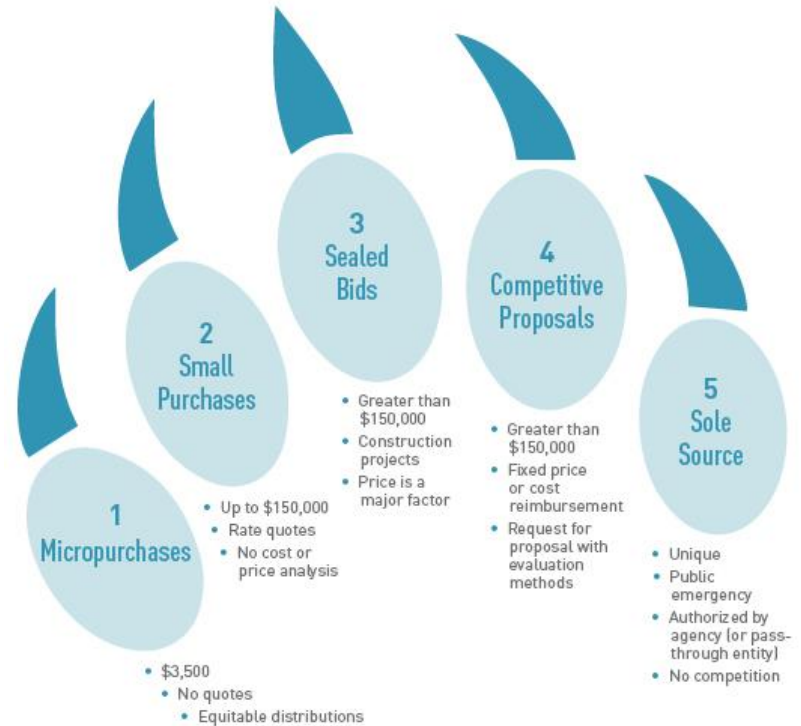


# 1. BID THRESHOLDS

## 2. BIDDING REQUIREMENTS

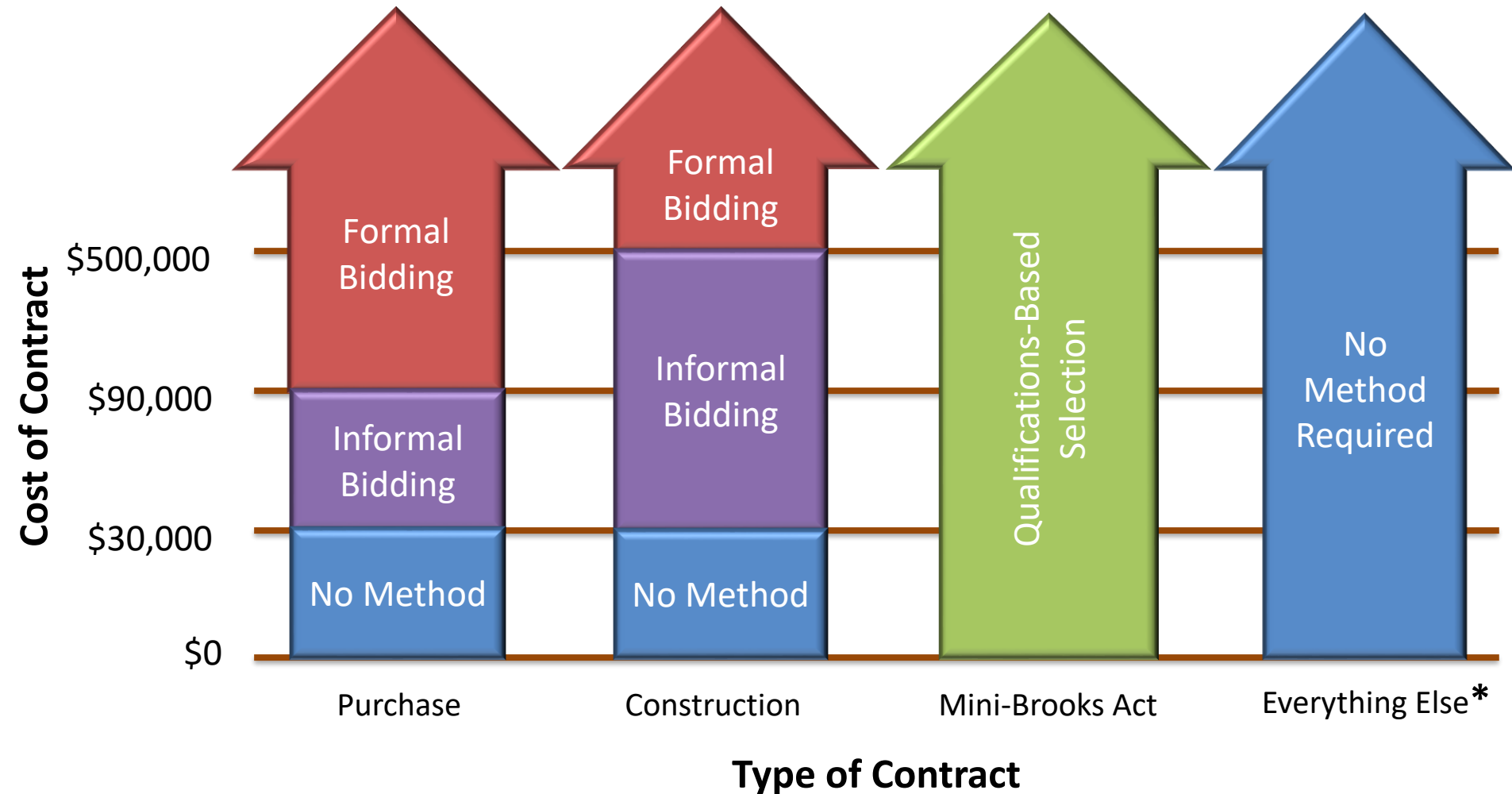


## 3. SERVICE CONTRACTS



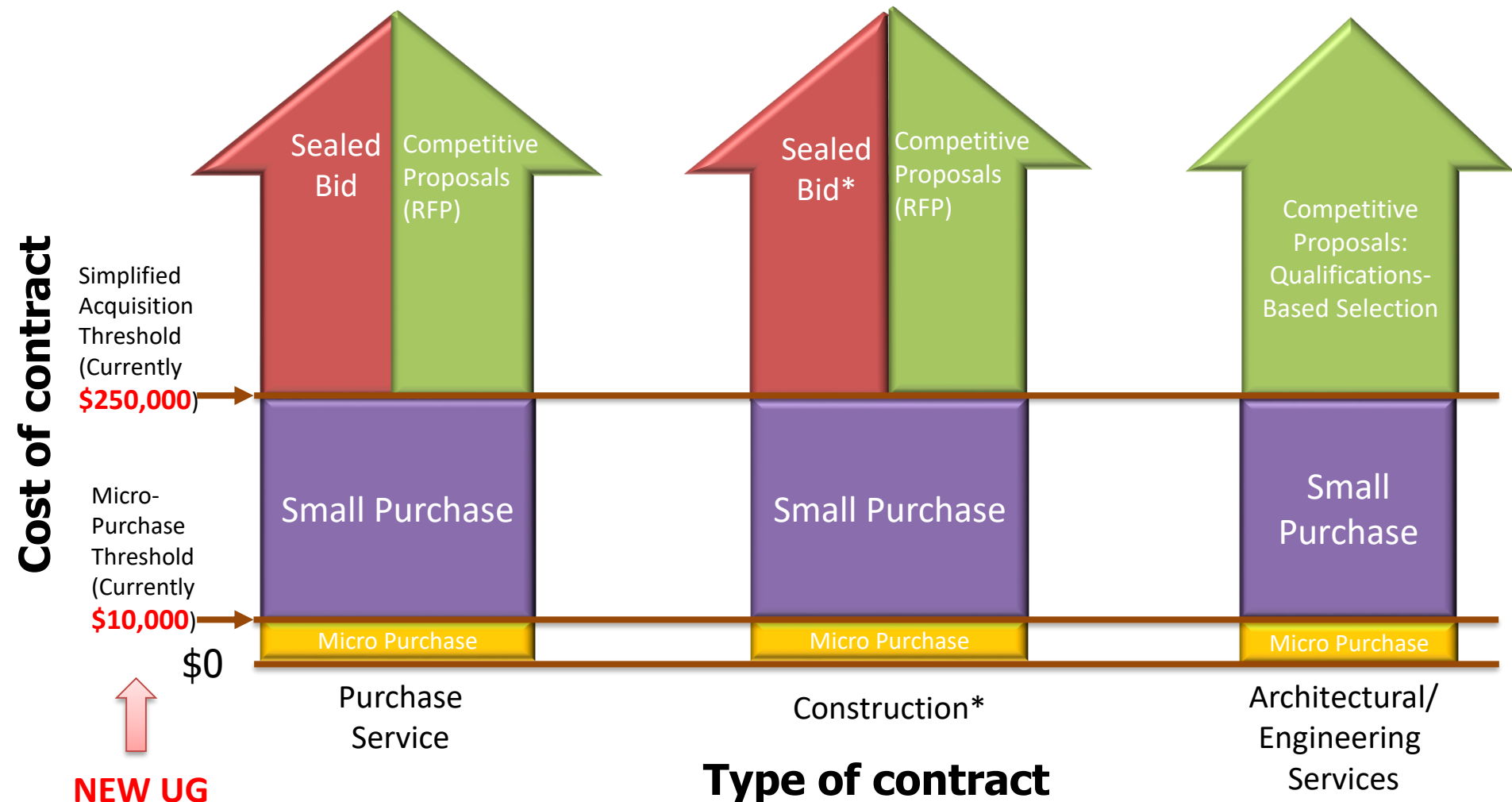
Source: "Frequently Asked Questions for the Office of Management and Budget's Uniform Administrative Requirements, Cost Principles, and Audit Requirements for Federal Awards at 2 CFR 200," published by the Council on Financial Assistance Reform (COFAR).

# Procurement Methods – State Law



\* Optional: May use **request for proposals** for service contracts or information technology purchases or services.

# Procurement Methods – UG



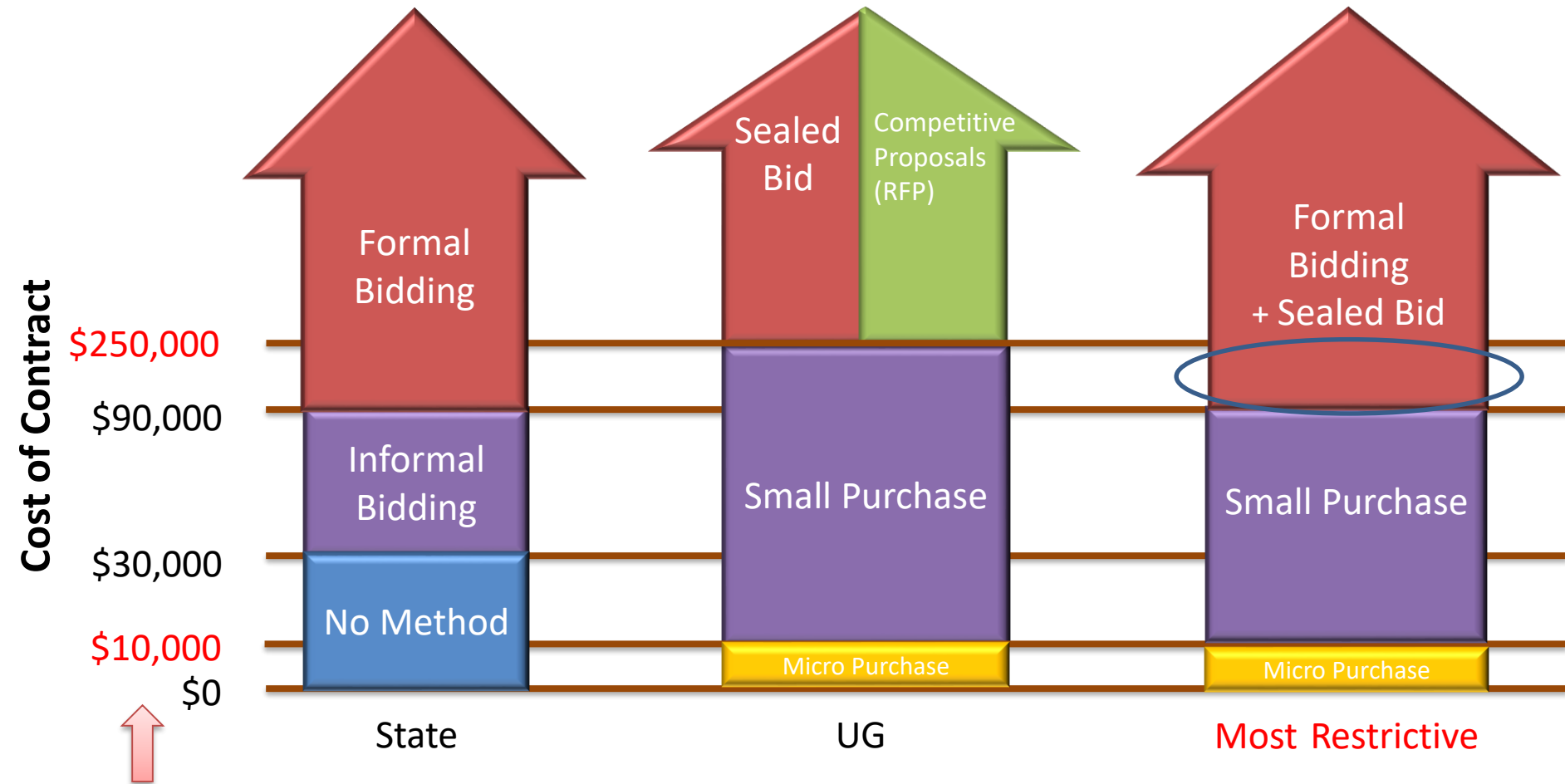
\* **Sealed bid** is preferred method for construction; may use **competitive proposals** when sealed bidding not appropriate

# Which Set of Rules Do I Follow?

- Follow the “Most Restrictive Rule”
- If rules are different but neither is more restrictive than the other, follow federal rules
- Even when generally following federal rules, some specific state requirements may still apply . . . .  
. . . and vice versa



# Purchase AND Service Contracts



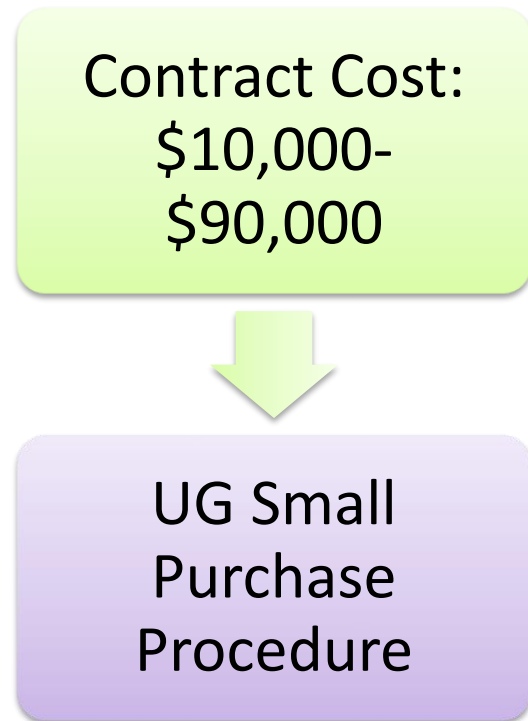
**NEW UG  
THRESHOLDS!**

*Even when generally following federal rules, some specific state requirements may still apply and vice versa.*

# “Most Restrictive Rule”

## Purchase and Service Contracts

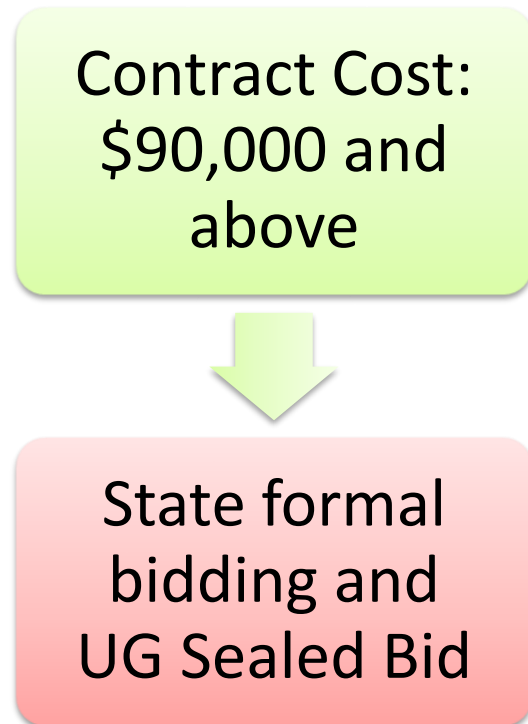
### Procedures:



- Quotes from “adequate number” of bidders
- M/WBE solicitation
- Award on fixed-price or not-to-exceed basis
- Contract in writing with UG provisions
- Award to LRRB
- Document procedures

# “Most Restrictive Rule”

## Purchase and Service Contracts

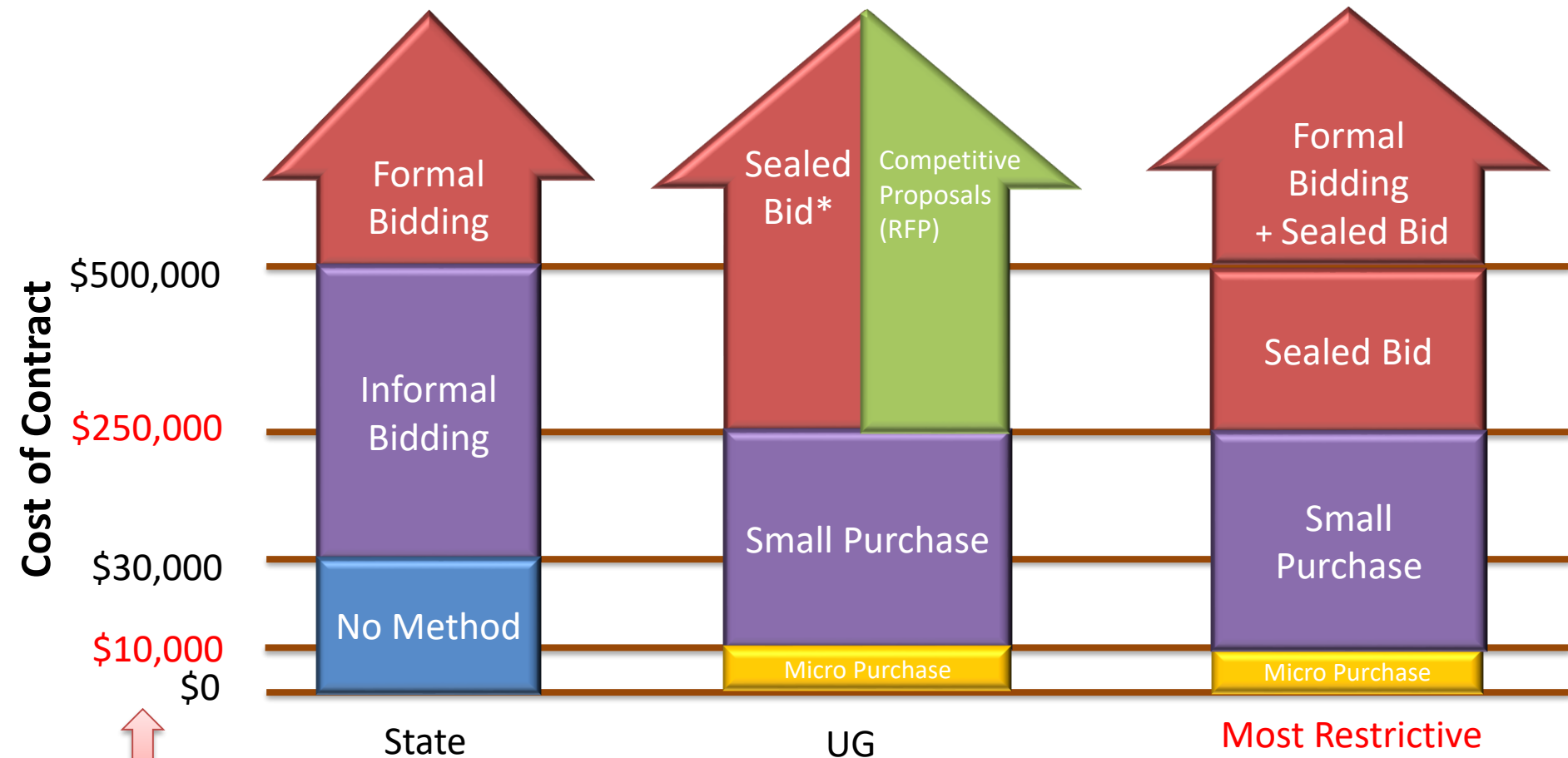


### Procedures:

- Specs available to bidders
- Formal advertising
- Sealed bids
- Public bid opening
- Award to LRRB
- Price analysis before bidding
- M/WBE solicitation
- 2-bid minimum
- Award on fixed-price basis
- Contract in writing with UG provisions
- Document procedures



# Construction and Repair Contracts



↑  
**NEW UG  
THRESHOLDS!**


*Even when generally following federal rules, some specific state requirements may still apply and vice versa.*

*\*Some grantor agencies may require sealed bid for all construction*

# “Most Restrictive Rule”

## Construction and Repair Contracts

Contract Cost:  
\$10,000-  
\$250,000



UG Small  
Purchase  
Procedure

Grantor agency may  
require sealed bid  
method!

### Procedures:

- Quotes from “adequate number” of bidders
- M/WBE solicitation
- Award on fixed-price or not-to-exceed basis
- Contract in writing with UG provisions
- Award to LRRB
- Document procedures

# “Most Restrictive Rule”

## Construction and Repair Contracts

### Procedures:

Contract Cost:  
\$250,000 to  
\$500,000



UG Sealed Bid  
Procedure

- Price analysis before bidding
- Specs available to bidders
- Public advertising
- M/WBE solicitation
- Sealed bids
- Public bid opening
- 2-bid minimum
- 5% bid bond / P&P bonds
- Award on fixed-price basis
- Contract in writing with UG provisions
- Award to LRRB
- Document procedures

# “Most Restrictive Rule”

## Construction and Repair Contracts

### Procedures:

Contract Cost:  
\$500,000 and  
above



State formal  
bidding and  
UG Sealed Bid

- Specs available to bidders
- Formal advertising
- Sealed bids in paper form
- Public bid opening
- 3-bid minimum
- 5% bid bond / P&P bonds
- Award to LRRB
- Price analysis before bidding
- M/WBE solicitation
- Award on fixed-price basis
- Contract in writing with UG provisions
- Document procedures

# “Most Restrictive Rule”

## Construction and Repair Contracts

Contract Cost:  
\$300,000 and above  
***Involving a building***



Additional state  
requirements for  
large building  
projects

### Additional requirements:

- State formal HUB, including bidders' good faith efforts
- Separate specs for main trades
- Authorized bidding method
- Dispute resolution procedures (all building projects)

# Competitive Proposal Method

Available for contracts costing **\$250,000 and above** when conditions not appropriate for sealed bid method

## *Examples:*

- Service contracts
- Innovative IT goods and services
- Alternative construction delivery methods (CMR, DB)

**Don't use for purchase and construction contracts in formal bidding range (state bidding requirements more restrictive)**

## Procedures:

- Publicly advertise RFP
- M/WBE solicitation
- Identify evaluation criteria and weighting in RFP
- Consider all responses to maximum extent
- Written evaluation procedures
- Award to firm with most advantageous proposal based on price and other factors considered
- Contract in writing with UG provisions
- Award on fixed-price or cost-reimbursement basis

# Additional Resources

“Most Restrictive Rule” summary available on [SOG website](http://www.ncpurchasing.unc.edu)

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# 4. MINORITY SOLICITATION REQUIREMENTS



# HUB Participation

- Is a *goal*, not a quota
- Focuses on opportunity to *compete* for contracts
- Lowest responsive, responsible bidder standard still applies
- Non-discrimination in contract award still applies



# HUB - Follow UG AND State Law

## UG (M/WBE)

- ✓ Applies to All Contracts regardless of type above micro-purchase threshold (\$3,500)
- ✓ Requires 6 specific solicitation steps (UG steps similar to state HUB good faith efforts)

## State (HUB)

- ✓ Local government engages in outreach efforts for all building construction contracts costing \$30,000 and above
- ✓ Require bidders to engage in good faith efforts for all building construction contracts costing \$300,000 and above

# UG M/WBE Requirements

1. Put M/WBEs on bidders list
2. Include M/WBEs in bid solicitations
3. Where feasible, divide project into smaller contracts to encourage M/WBEs participation
4. Where feasible, establish delivery schedules to accommodate M/WBEs
5. Use services of SBA, US Dept. of Commerce Minority Development Agency, and other similar agencies (NC HUB Office)
6. Require prime contractors to comply with steps 1-5 above

# 5. TIME & MATERIALS / COST-PLUS CONTRACTS



# This One's Easy . . .



- Contracts must be for a fixed-price (lump sum, not-to-exceed, etc.)
- Cost-plus contracts are not allowed
- Time & Materials may be allowed in exigent circumstances within limited time frame *and* contract includes not-to-exceed limit

## 6. BIDDING EXCEPTIONS





# Follow UG Generally . . .

Noncompetitive (sole source) contracts allowed when:

- ✓ **Item only available from one source** - similar to state “sole source” exception; state procedural requirements apply
- ✓ **Public exigency** - similar to state emergency exception but within limited time frame
- ✓ **Awarding agency authorizes noncompetitive contract** - purchase and construction contracts must still fall within allowed state exception
- ✓ **Competition inadequate after attempts at solicitation** - state law requires readvertising for construction contracts in formal bidding range
- ✓ **GSA Contract Purchase or Interlocal Agreement**

**BEFORE USING AN  
EXCEPTION, CHECK WITH  
YOUR GRANTOR AGENCY!**



# State Exceptions **Not** Allowed Under UG

- ✗ Piggybacking
- ✗ Group Purchasing Programs  
*(may be allowed if the coop qualifies as an interlocal agreement and contracts are procured in compliance with UG – check with your federal grantor agency!)*
- ✗ State contract  
*(state contract must have been bid in compliance with federal requirements applicable to local governments to be allowed)*

Can use state contract and group purchasing for micro-purchases (below \$10,000)



# 7. WRITTEN POLICIES & DOCUMENTATION



# UG Requires:

1. **Written** procurement policies that are consistent with state and federal law
  - Must include statement that local government will comply with all federal laws and regulations applicable to federal grant funds
  - Not required to reprint entire federal code in local policy; **compliance statement** is sufficient:  
  
“Contracts funded with federal grant funds must be procured in a manner that conforms with all applicable Federal laws, policies, and standards.”

# UG Requires:

2. Written conflict of interest policy governing financial conflicts of interest in contract award and gift bans
3. Documentation of procurement steps and required activities, including basis for contractor selection and price

**PUT ALL CONTRACTS IN WRITING!**

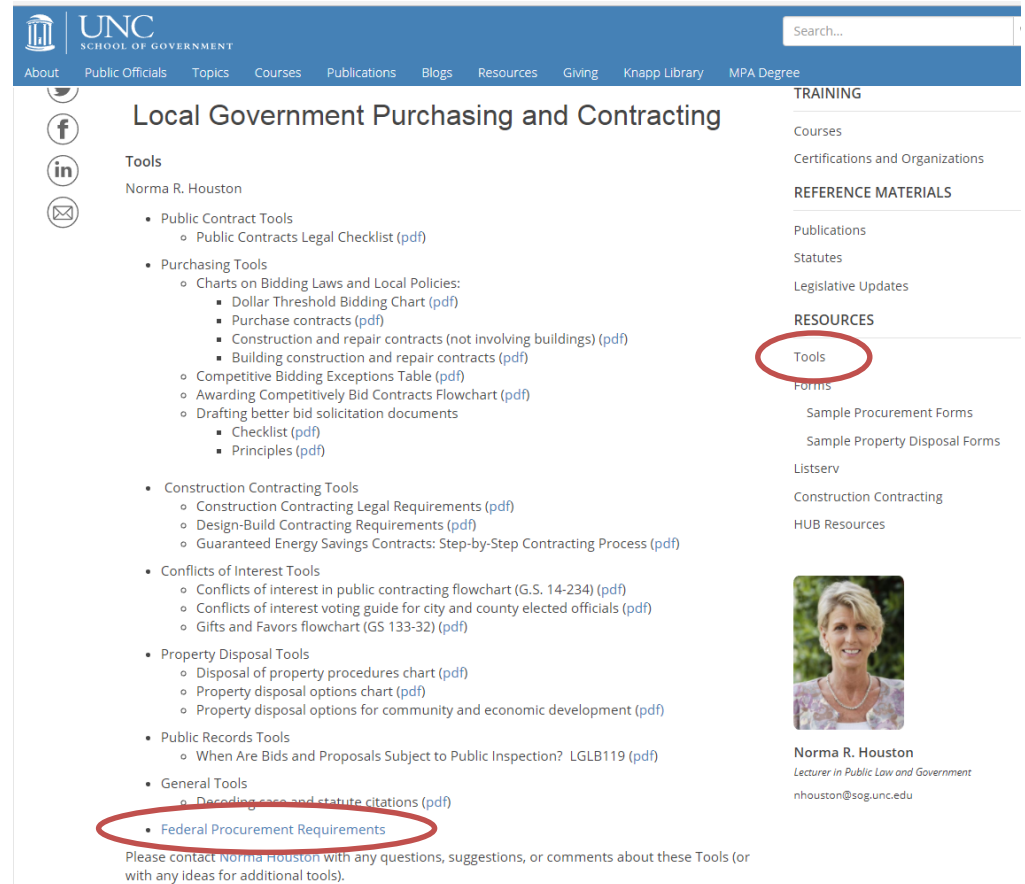
If you didn't  
**document**  
you didn't  
**do it.**



# Additional Resources

- Sample policies available on [SOG purchasing website](http://www.ncpurchasing.unc.edu)
- Thanks to:
  - Debbie Anderson, Catawba County
  - Laura Jones, Onslow County

*Thank you*



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# 8. CONTRACT PROVISIONS

■ ■ ■ ■ ■ ■ ■ ■ ■ ■



Can you do another draft of this? There's still a couple of sentences people might actually understand...



# UG Contract Provisions

- Contracts must contain certain “boilerplate” provisions
- Must have provisions in writing in some form
- Requirements vary depending on cost of the contract
- Can include in standard T&Cs
- Can include in bid specs for vendors to certify agreement when submitting bids



**Grantor agency may  
require additional  
provisions – check with  
your grantor agency!**



# UG Requires Contract Provisions On:

1. Remedies for breach
2. Termination for cause and convenience
3. EEO
4. Bacon-Davis wage requirements
5. Work Hours and Safety Standards
6. Clean Air Act and Federal Water Pollution Control Act
7. Debarment and Suspension

8. Byrd Anti-Lobbying
9. Recovered Materials

## ***Others:***

1. Changes and modifications to contract
2. Access to records
3. Compliance with federal law, regulations, and executive orders
4. Federal government hold-harmless
5. Fraud and False Statements

# Give Your Attorney Something To Do!

- Work with your attorney to develop UG boilerplate provisions
- Contract provisions listed in Appendix II to Part 200  
[https://www.ecfr.gov/cgi-bin/text-idx?SID=956b5e913f2dd41cc9ec031c4cfab670&mc=true&nnode=ap2.1.200\\_1521.ii&rgn=dv9](https://www.ecfr.gov/cgi-bin/text-idx?SID=956b5e913f2dd41cc9ec031c4cfab670&mc=true&node=ap2.1.200_1521.ii&rgn=dv9) (more resources available on SOG purchasing website)



## 9. CONFLICTS OF INTEREST



# Conflicts of Interest

	UG (2 C.F.R. § 200.318(c)(1))	State (G.S. 14-234(a)(1))
Who is covered	Officers, employees, and agents of recipient and subrecipient involved in contracting	Officers, employees involved in contracting
Who else is covered	Spouse, immediate family, partners, current or soon-to-be employer	Spouse
What kind of interest	Real or apparent financial or other interest or personal tangible benefit	Direct benefit
Exceptions	Financial interest that is not substantial	<ol style="list-style-type: none"> <li>1. Banks &amp; utilities</li> <li>2. “Friendly” condemnation</li> <li>3. Spouse employment</li> <li>4. Public assistance</li> <li>5. Small jurisdictions</li> </ol>
Penalties	<ol style="list-style-type: none"> <li>1. Loss of federal funds</li> <li>2. Disciplinary action</li> <li>3. Other remedies for noncompliance listed at 2 C.F.R. §200.338</li> </ol>	<ol style="list-style-type: none"> <li>1. Class 1 misdemeanor</li> <li>2. Void Contract</li> </ol>

# Gifts & Favors

	UG (2 C.F.R. § 200.318(c)(1))	State (G.S. 133-32)
Prohibited giver	Current or future contractor or vendor	Past (w/in 1 year), present, or future contractor or vendor
Prohibited receiver	All officers, employees, agents of recipients and subrecipients	Officers and employees involved in: <ol style="list-style-type: none"> <li>1. Preparing plans</li> <li>2. Awarding or administering contracts</li> <li>3. Inspecting or supervising construction</li> </ol>
Exceptions	Unsolicited gift of nominal value	<ol style="list-style-type: none"> <li>1. Honoraria</li> <li>2. Nominal advertising items</li> <li>3. Meals at banquets</li> <li>4. Professional groups</li> <li>5. Family and friends</li> </ol>
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# 10. NON-PROFIT PROCUREMENT



# UG Requirements Apply to Nonprofits!

- Same rules that apply to local governments *also* apply to nonprofits, *including your subrecipients*
- **You** are still responsible for monitoring your subrecipient's compliance with UG requirements
- Have written MOA or contract between local government and nonprofit to
  - verify nonprofit is acting on your behalf
  - require subrecipient compliance

# THINGS TO DO WHEN YOU GET BACK TO YOUR OFFICE





# Be Prepared . . .

- ✓ Become familiar with 2 CFR Part 200
- ✓ Adopt/update local purchasing policies
- ✓ Adopt/update conflict of interest/gift ban policies
- ✓ Ensure procedures are in place to fully document procurement processes
- ✓ Work with your attorney to develop federal contract provision templates
- ✓ Review relationships with nonprofit partners (is an MOU or contract in place? Are you monitoring compliance?)
- ✓ Where applicable (such as disaster recovery), bid prepositioned contracts (debris removal, etc.)
- ✓ Review terms and conditions of federal grant awards to confirm all requirements – check with your grantor agency!

# RESOURCES



# CFR Website



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[gpo.gov](#)  
[govinfo.gov](#)

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## e-CFR Navigation Aids

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## Electronic Code of Federal Regulations

e-CFR data is current as of **April 11, 2018**

[Title 2](#) → [Subtitle A](#) → [Chapter II](#) → [Part 200](#)

TITLE 2—Grants and Agreements

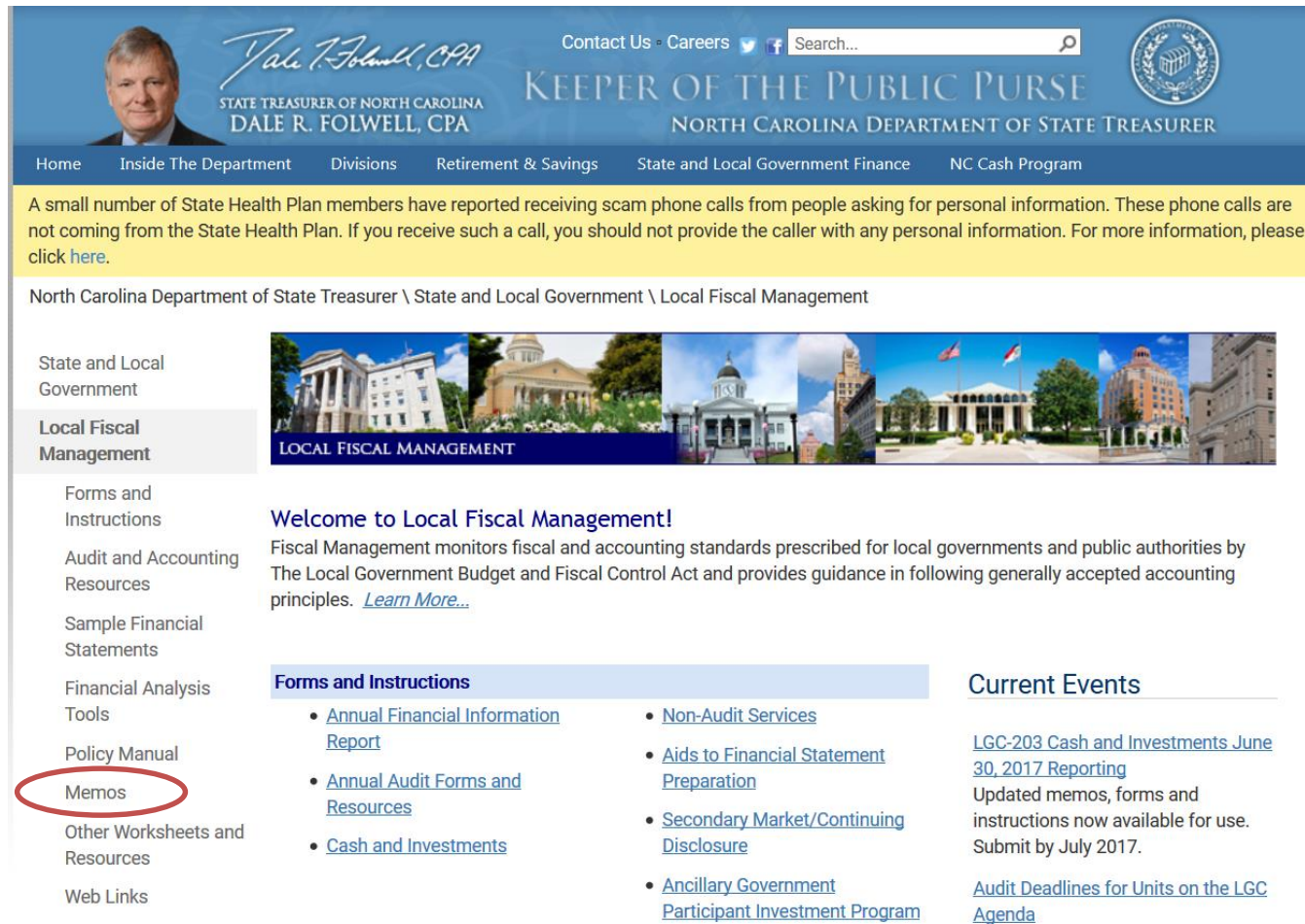
Subtitle A—OFFICE OF MANAGEMENT AND BUDGET GUIDANCE FOR GRANTS  
AND AGREEMENTS

CHAPTER II—OFFICE OF MANAGEMENT AND BUDGET GUIDANCE

PART 200—UNIFORM ADMINISTRATIVE REQUIREMENTS, COST PRINCIPLES, AND  
AUDIT REQUIREMENTS FOR FEDERAL AWARDS

[https://www.ecfr.gov/cgi-bin/text-idx?SID=6214841a79953f26c5c230d72d6b70a1&tpl=/ecfrbrowse/Title02/2cfr200\\_main\\_02.tpl](https://www.ecfr.gov/cgi-bin/text-idx?SID=6214841a79953f26c5c230d72d6b70a1&tpl=/ecfrbrowse/Title02/2cfr200_main_02.tpl)

# NC Treasurer's Office Website



The screenshot shows the official website of the North Carolina Department of State Treasurer. The header features a portrait of Dale R. Folwell, CPA, and the text "KEEPER OF THE PUBLIC PURSE" and "NORTH CAROLINA DEPARTMENT OF STATE TREASURER". A navigation bar includes links for Home, Inside The Department, Divisions, Retirement & Savings, State and Local Government Finance, and NC Cash Program. A yellow banner contains a warning about scam phone calls. The main content area is titled "North Carolina Department of State Treasurer \ State and Local Government \ Local Fiscal Management". A sidebar on the left lists various resources, with "Memos" circled in red. The main content area includes a "Welcome to Local Fiscal Management!" message, a "Forms and Instructions" section with links to annual reports, audit forms, and cash investments, and a "Current Events" section with links to LGC-203 reporting and audit deadlines.

STATE TREASURER OF NORTH CAROLINA  
DALE R. FOLWELL, CPA

KEEPER OF THE PUBLIC PURSE  
NORTH CAROLINA DEPARTMENT OF STATE TREASURER

Home Inside The Department Divisions Retirement & Savings State and Local Government Finance NC Cash Program

A small number of State Health Plan members have reported receiving scam phone calls from people asking for personal information. These phone calls are not coming from the State Health Plan. If you receive such a call, you should not provide the caller with any personal information. For more information, please click [here](#).

North Carolina Department of State Treasurer \ State and Local Government \ Local Fiscal Management

State and Local Government

Local Fiscal Management

Forms and Instructions

Audit and Accounting Resources

Sample Financial Statements

Financial Analysis Tools

Policy Manual

**Memos**

Other Worksheets and Resources

Web Links

LOCAL FISCAL MANAGEMENT

**Welcome to Local Fiscal Management!**

Fiscal Management monitors fiscal and accounting standards prescribed for local governments and public authorities by The Local Government Budget and Fiscal Control Act and provides guidance in following generally accepted accounting principles. [Learn More...](#)

**Forms and Instructions**

- [Annual Financial Information Report](#)
- [Annual Audit Forms and Resources](#)
- [Cash and Investments](#)
- [Non-Audit Services](#)
- [Aids to Financial Statement Preparation](#)
- [Secondary Market/Continuing Disclosure](#)
- [Ancillary Government Participant Investment Program](#)

**Current Events**

[LGC-203 Cash and Investments June 30, 2017 Reporting](#)  
Updated memos, forms and instructions now available for use. Submit by July 2017.

[Audit Deadlines for Units on the LGC Agenda](#)

[www.nctreasurer.com/slglfm](http://www.nctreasurer.com/slglfm)

# SOG Purchasing Website

- “Most Restrictive Rule” summary
- Detailed comparison chart
- Treasurer’s guidance memo
- Link to 2 CFR Part 200
- Federal contract provisions
- Sample policies
- Webinar slides

UNC SCHOOL OF GOVERNMENT

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## Local Government Purchasing and Contracting

Tools

Norma R. Houston

- Public Contract Tools
  - Public Contracts Legal Checklist (pdf)
- Purchasing Tools
  - Charts on Bidding Laws and Local Policies:
    - Dollar Threshold Bidding Chart (pdf)
    - Purchase contracts (pdf)
    - Construction and repair contracts (not involving buildings) (pdf)
    - Building construction and repair contracts (pdf)
  - Competitive Bidding Exceptions Table (pdf)
  - Awarding Competitively Bid Contracts Flowchart (pdf)
  - Drafting better bid solicitation documents
    - Checklist (pdf)
    - Principles (pdf)
- Construction Contracting Tools
  - Construction Contracting Legal Requirements (pdf)
  - Design-Build Contracting Requirements (pdf)
  - Guaranteed Energy Savings Contracts: Step-by-Step Contracting Process (pdf)
- Conflicts of Interest Tools
  - Conflicts of interest in public contracting flowchart (G.S. 14-234) (pdf)
  - Conflicts of interest voting guide for city and county elected officials (pdf)
  - Gifts and Favors flowchart (GS 133-32) (pdf)
- Property Disposal Tools
  - Disposal of property procedures chart (pdf)
  - Property disposal options chart (pdf)
  - Property disposal options for community and economic development (pdf)
- Public Records Tools
  - When Are Bids and Proposals Subject to Public Inspection? LGLB119 (pdf)
- General Tools
  - Decoding case and statute citations (pdf)
- **Federal Procurement Requirements**

Please contact Norma Houston with any questions, suggestions, or comments about these Tools (or with any ideas for additional tools).

TRAINING

Courses

Certifications and Organizations

REFERENCE MATERIALS

Publications

Statutes

Legislative Updates

RESOURCES

**Tools**

Forms


Sample Procurement Forms

Sample Property Disposal Forms

Listserv

Construction Contracting

HUB Resources



**Norma R. Houston**  
Lecturer in Public Law and Government  
nhouston@sog.unc.edu

[www.ncpurchasing.unc.edu](http://www.ncpurchasing.unc.edu)

# SOG Emergency Management Website

[www.sog.unc.edu/ncem](http://www.sog.unc.edu/ncem)

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in

Sample Documents

Legislative Updates

Publications

Blog

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MICROSITE

## NC Emergency Management

Overview Contributors Roles / Topics

Are You Prepared? Legal Issues Facing North Carolina Public Employers in Disasters and Other Emergencies

Are You Prepared? Legal Issues Facing North Carolina Public Employers in Disasters and Other Emergencies

### Welcome to the School of Government Emergency Management Law Microsite

The NC Emergency Management microsite provides information for North Carolina emergency managers and those interested in emergency management in North Carolina. The navigation links on the right-hand side of this page will take you to laws and authorities related to emergency management, sample documents such as template state of emergency declarations, legislative updates, School of Government publications, emergency management law blogs, and links to other emergency management resources.

### Disaster Recovery Resources for Local Governments

- **SOG faculty with expertise** in various subjects related to disaster recovery, [click here](#).
- **Sample documents** such as state of emergency declarations and debris removal contracts are available at the "Sample Documents" link.
- **FEMA procurement guidelines** and contracting check-list are available at the "Sample Documents" link.
- **FEMA Procurement Disaster Assistance Team (PDAT)** resources (including procurement guidelines, contract provisions, etc.), [click here](#)
- **Business disaster assistance** resources (SBTDC and other partner organizations), [click here](#)
- UNC-Chapel Hill's Hurricane Matthew disaster relief efforts, [click here](#)
- NC Association of County Commissioners Hurricane Matthew recovery information, [click here](#)
- NC League of Municipalities Emergency Preparedness resources, [click here](#)



# US Chief Financial Officers Council



[CFO Council](#) [CXO Fellows](#) [MyCareer](#) [Q](#)

## UNIFORM GUIDANCE

In December 2014, OMB together with Federal awarding agencies issued an interim final rule to implement the Uniform Administrative Requirements, Cost Principles, and Audit Requirements for Federal Awards (Uniform Guidance). This guidance and implementing regulations delivers on President Obama's second term management agenda and his first term directives under Executive Order 13520, the February 28, 2011 Presidential Memorandum, and the objectives laid out in OMB Memorandum M-13-17 to better target financial risks and better direct resources to achieve evidence-based outcomes. The final guidance, originally published December 26, 2013 (available at 78 FR 78589) simultaneously improves performance, transparency, and oversight for Federal awards. The COFAR will measure the impact of this guidance as described under M-14-17.

Questions about the Uniform Guidance? Check the [Frequently Asked Questions](#), and then our [Training](#) page.

**UNIFORM  
GUIDANCE:  
PROMISING  
PRACTICES IN  
IMPLEMENTATION  
JUNE 2016**

JULY 2016: UNIFORM  
GUIDANCE: PROMISING  
PRACTICES IN

**UNIFORM  
GUIDANCE  
IMPLEMENTATION:  
A SERIES OF  
DIALOGUES  
OCTOBER 2014**

JULY 2015: OMB UNIFORM  
GUIDANCE TRAINING  
SERIES HOSTED BY ECIVIS

OCTOBER 2014: UNIFORM  
GUIDANCE  
IMPLEMENTATION: A

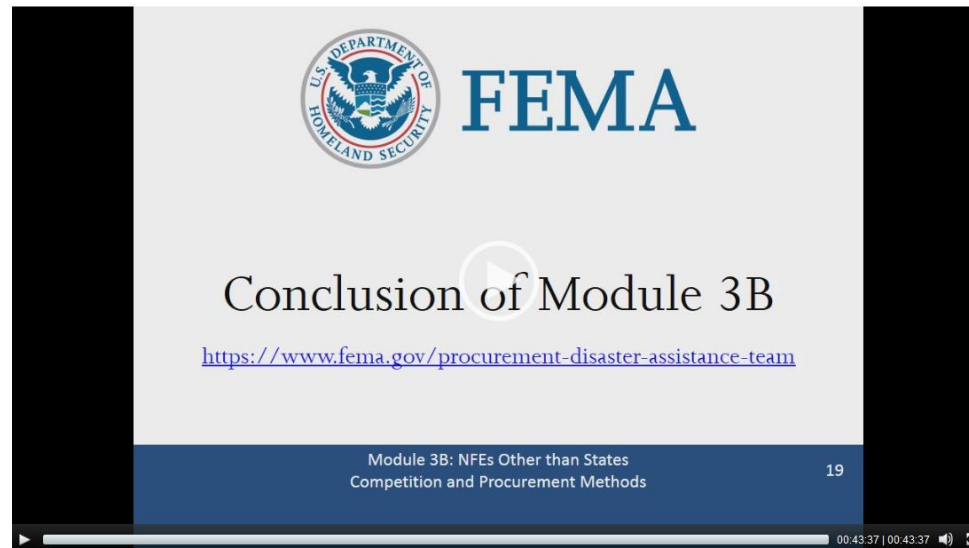
[www.cfo.gov/grants/uniform-guidance/](http://www.cfo.gov/grants/uniform-guidance/)

# FEMA PDAT

## FEMA Procurement Disaster Assistance Team

[www.fema.gov/procurement-disaster-assistance-team](https://www.fema.gov/procurement-disaster-assistance-team)

Procurement Under Grants 2.0 Webinar Module 3B: NFES  
Other than States – Competition and Procurement Methods



The Federal Emergency Management Agency (FEMA) Procurement Disaster Assistance Team (PDAT) has put together a series of webinar modules on the rules for procurement under grants. There are eight (8) modules in this series. This webinar series



# Thank You!

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**Evaluation:** [https://unc.az1.qualtrics.com/jfe/form/SV\\_9X0uh1zLqgVJCVn](https://unc.az1.qualtrics.com/jfe/form/SV_9X0uh1zLqgVJCVn)